

REVISED

Association of Bay Area Governments

Representing City and County Governments of the San Francisco Bay Area

Revised Agenda

ABAG Executive Board Meeting No. 390

Thursday, January 17, 2013, 7:00 PM

MetroCenter Auditorium

101 8th Street (at Oak Street)

Oakland, California

The ABAG Executive Board may act on any item on this agenda.

Agenda and attachments available at [ABAG Home Page](#)

For information, contact Fred Castro, Clerk of the Board, at (510) 464 7913.

- 1. CALL TO ORDER & Pledge of Allegiance**
- 2. PUBLIC COMMENT**
- 3. ANNOUNCEMENTS**
- 4. PRESIDENT S REPORT**
- 5. EXECUTIVE DIRECTOR S REPORT**
- 6. CONSENT CALENDAR**

ACTION: Unless there is a request by a Board member to take up an item on the consent calendar separately, the calendar will be acted upon in one motion.

A. Approval of Executive Board Summary Minutes

Summary Minutes of Meeting No. 389 held on November 15, 2012.

Attachment: [Summary Minutes](#)

B. Grant Applications

With Board consent, ABAG will transmit the attached list of federal grant applications to the State Clearinghouse. These applications were circulated in ABAG's Intergovernmental Review Newsletter since the last Board meeting.

Attachment: Grant Application

C. Appointment to Committees

President Luce requests Board approval of appointments to the following committees:

San Francisco Bay Restoration Authority West Bay Seat

San Mateo County Supervisor David Pine (Replaces Phil Ting, former SF Assessor)

Regional Planning Committee

Walnut Creek Councilmember Kristina Lawson

Dublin Mayor Tim Sbranti

Oakland Councilmember Desley Brooks

Solano County Supervisor Erin Hannigan

Legislation and Governmental Organization Committee

Fremont Mayor Bill Harrison

Finance and Personnel Committee

Fremont Mayor Bill Harrison

Contra Costa County Supervisor Karen Mitchoff

San Mateo County Supervisor Dave Pine

Mountain View Councilmember Ronit Bryant

Administrative Committee

San Mateo County Supervisor Dave Pine, Executive Board Alternate

Brisbane Councilmember Sepi Richardson

Joint Policy Committee

Brisbane Councilmember Sepi Richardson

Metropolitan Transportation Commission

Napa County Supervisor Mark Luce, ABAG President

California Council of Governments (CalCOG)

Napa County Supervisor Mark Luce, ABAG President

RHNA Appeals Committee

Novato Mayor ProTem Pat Eklund

Napa County Supervisor Mark Luce

Clayton Mayor Julie Pierce

Palo Alto Mayor Greg Scharff

One Additional Nominee to be Named

D. Approval of Resolution No. 01-13 Authorizing Submittal of Grant Application to California Dept. of Water Resources for San Francisco Bay Region Integrated Regional Water Management Plan (IRWMP) Prop 84 Round 2 Implementation Projects

Authorization is requested for the Executive Director or designee to file IRWMP application, and, if funded to execute a grant agreement with the California Department of Water Resources for Prop 84 Round 2 Implementation Projects.

Attachment: Executive summary and Resolution 01-13

7. EXTENDED PRODUCER RESPONSIBILITY

Information/ACTION: Heidi Sanborn, Executive Director, California Product Stewardship Council will brief the Board on extended producer responsibility issues and request Board support in obtaining resolutions and letters of support from cities, towns, and counties in the region. The Council is a coalition of local governments founded in 2007 to promote producer responsibility for discarded computers, batteries, paints, and similar products with hazardous or toxic properties. Ms. Sanborn will report on the Council's work, including legislative advocacy, implementation support for recently enacted stewardship laws and seek support for the Council's strategic initiatives for 2013 and beyond.

Attachments: Executive summary and staff memo on Extended Producer Responsibility

8. OVERVIEW OF ABAG FINANCIAL SERVICES

Information: Clarke Howatt, ABAG Public Finance Director, and Peggy Caruso, ABAG Housing Finance Manager, will present a brief overview of the Agency's Financial Services Program with focus on the activities of the ABAG Finance Authority for Nonprofit Corporations and highlighting the Authority's highly successful program for financing affordable multi-family housing. Case studies of mixed-use, multi-family housing capital financing projects completed by the Authority on behalf of the City and County of San Francisco will be presented.

Attachment: Staff memo on ABAG Financial Services

9. REGIONAL PLANNING PROGRAM UPDATE

Information: Miriam Chion, ABAG Acting Planning Director, will provide an update on the Regional Priority Development Plan (PDA) Implementation Program and Priority Conservation Plan (PCA) Grant proposals and the Public Engagement Strategy for Plan Bay Area.

10. BAY AREA REGIONAL PROSPERITY PLAN

Information: Vikrant Sood, Program Manager, Regional Prosperity Plan, will provide an overview of the three-year regional initiative grant from the U.S. Department of Housing and Urban Development (HUD) to the Association of Bay Area Governments (ABAG) and the Metropolitan Transportation Commission (MTC).

Attachment: Regional Prosperity Plan

11. LEGISLATION & GOVERNMENTAL ORGANIZATION COMMITTEE REPORT

Information/ACTION: Committee Chair Julie Pierce, Mayor, City of Clayton, will report on Committee activities and request Board approval of Committee recommendations.

Attachment: L&GO Committee agenda

12. FINANCE & PERSONNEL COMMITTEE REPORT

Information/ACTION. The Committee Chair will report on Committee activities and request Board approval of Committee recommendations, including:

A. FY 2013-14 Budget and Work Program

Attachment: F&P Committee agenda

Attachment: Proposed Membership Dues

13. Report on The status of the design and renovation of 390 Main street

Information. Andrew Fremier, Deputy Executive Director, Bay Area Headquarters Authority, will give a presentation on the 390 Main Street, San Francisco, focusing on the design of the physical plant and the status of the development project, as background for the closed session on the proposed real estate transaction.

14. CLOSED SESSION

The following items will be discussed in closed session pursuant to the requirements of the Ralph M. Brown Act:

The ABAG Executive Board will meet in closed session pursuant to Government Code Section 54956.8 to confer with real property negotiators to discuss building co-location and the acquisition of real property:

Agency Negotiators: Ezra Rapport, Executive Director; Pat Jones, Assistant Executive Director; Kenneth Moy, Legal Counsel; Herb Pike, Finance Director; Brian Kirking, Information Services Director; and Administrative Committee.

MTC Negotiating Parties: Steve Heminger, MTC Executive Director; Adrienne Tissier, MTC Chair; Amy Worth, MTC Vice Chair; James Spering, MTC Commissioner; Bay Area Headquarters Authority/Metropolitan Transportation Commission.

Under negotiation: Terms and conditions regarding proposed real estate exchange of ABAG Condominium interest at MetroCenter, 101 8th Street, Oakland for condominium interest at Regional Facility, 390 Main Street, San Francisco.

15. ADJOURNMENT

The next meeting of the Executive Board is March 21, 2013.

Ezra Rapport, Secretary-Treasurer

REVISED

ABAG Executive Board Roster

ABAG Executive Board Meeting Schedule

ABAG Calendar

ABAG CALENDAR – January & February 2013

ASSOCIATION OF BAY AREA GOVERNMENTS [ABAG]

Joseph P. Bort MetroCenter, 101 Eighth Street, Oakland, CA 94607-4756

ABAG Receptionist: 510/464-7900

ABAG FAX: 510/464-7985

E-mail: info@abag.ca.gov

URL: <http://www.abag.ca.gov>

JANUARY

[ABAG / BAAQMD / MTC Joint Policy Executive Committee](#)

1/10 @ 2:00 pm, MetroCenter, Auditorium

[Bay Area Regional Prosperity Plan Steering Committee](#)

1/11 @ 12 pm, MetroCenter, Room 171

[Legislation & Governmental Organization](#)

1/17 @ 3:30 pm, MetroCenter, ABAG Conference Room B

[Finance & Personnel Committee](#)

1/17 @ 5:00 pm, MetroCenter, ABAG Conference Room B

[EXECUTIVE BOARD](#)

1/17 @ 7:00 pm, MetroCenter, Auditorium

[ABAG / BAAQMD / MTC Joint Policy Committee](#)

1/18 @ 10:00 am, MetroCenter, Auditorium

[San Francisco Restoration Authority Governing Board](#)

1/23 @ 12 Noon, MetroCenter, Room 171

[Regional Airport Planning Committee \(RAPC\)](#)

1/25 @ 9:30 am, MetroCenter, Auditorium

FEBRUARY

[Regional Advisory Working Group](#)

12/4 @ 9:30 am, MetroCenter, Auditorium.

[PLAN Executive Committee](#)

12/5 @ 10:00 a.m., MetroCenter, ABAG Conference Room B

[Regional Planning Committee \(RPC\)](#)

2/6 @ 1:00 p.m., MetroCenter, Auditorium.

[Bay Trail Steering Committee](#)

2/14 @ 1:30 pm, MetroCenter, ABAG Conference Room B

[ABAG Power Executive Board](#)

2/20 @ 12 Noon, MetroCenter, Auditorium

[SFEP Implementation Committee](#)

2/27 @ 9:30 am, Elihu M. Harris State Building, Room 10

** ABAG programs for which a fee is charged and pre-registration is required. To register or for further information, contact **ABAG Receptionist** at 510/464-7900.

For ABAG Training Center information contact **Chanell Gumbs** at 510/464-7964.

SUMMARY MINUTES (DRAFT)

ABAG Executive Board
No. 389, November 15, 2012
Joseph Bort MetroCenter
101 8th Street, Oakland, California

1. CALL TO ORDER AND PLEDGE OF ALLEGIANCE

President Mark Luce, Supervisor, County of Napa, called the meeting to order at approximately 7:12 p.m.

A quorum of the Board was present.

Representatives and Alternates Present

Supervisor Susan L. Adams
Councilmember Desley Brooks
Councilmember Ronit Bryant
Jeff Buckley, Office of the Mayor
Councilmember Kansen Chu
Supervisor David Cortese
Mayor Pro Tem Pat Eklund
Jason Elliott, Dir, Leg/Gov Affairs
Mayor Jack Gingles
Supervisor John Gioia
Mayor Mark Green
Supervisor Scott Haggerty
Councilmember Dave Hudson
Supervisor Rose Jacobs Gibson
Supervisor Barbara Kondylis
Supervisor Mark Luce
Supervisor Karen Mitchoff
Councilmember Julie Pierce
Supervisor Dave Pine
Mayor Harry Price
Supervisor David Rabbitt
Vice Mayor Tiffany Renee
Councilmember A. Sepi Richardson
Mayor Tim Sbranti
Vice Mayor Greg Scharff
Joaquin Torres, Office of the Mayor

Jurisdiction

County of Marin
City of Oakland
City of Mountain View
City of San Francisco
City of San Jose
County of Santa Clara
City of Novato
City of San Francisco
City of Calistoga
County of Contra Costa
City of Union City
County of Alameda
City of San Ramon
County of San Mateo
County of Solano
County of Napa
County of Contra Costa
City of Clayton
County of San Mateo
City of Fairfield
County of Sonoma
City of Petaluma
City of Brisbane
City of Dublin
City of Palo Alto
City of San Francisco

Representatives Absent

Councilmember Jane Brunner
Supervisor Carmen Chu
Vice Mayor Richard Garbarino
Councilmember Ash Kalra
Councilmember Rebecca Kaplan
Councilmember Sam Liccardo
Supervisor Christina Olague
Supervisor Richard Valle
Supervisor Mike Wasserman
Vice Chair Terry Young

Jurisdiction

City of Oakland
County of San Francisco
City of South San Francisco
City of San Jose
City of Oakland
City of San Jose
County of San Francisco
County of Alameda
County of Santa Clara
RWQCB

President Luce led the Board and the public in the Pledge of Allegiance.

2. PUBLIC COMMENT

There was no public comment.

3. ANNOUNCEMENTS

There were no announcements.

4. PRESIDENT'S REPORT

President Luce recognized Rose Jacobs Gibson, Supervisor, County of San Mateo; Mark Green, Mayor, City of Union City; Barbara Kondylis, Supervisor, County of Solano; and Susan Adams, Supervisor, County of Marin who were leaving the Board and thanked them for their service.

President Luce reported that he, with Julie Pierce, ABAG Vice President and Councilmember, City of Clayton, participated in meetings of the Joint Policy Committee Executive Committee and a joint meeting of the MTC Planning Committee and ABAG Administrative Committee. Will Travis, staff to the Joint Policy Committee announced his retirement and the JPC will consider recruitment process for his successor at the next meeting. Election of JPC President and Vice President will also be held at the meeting on November 16, 2012.

President Luce will present nominations for replacements to committees at the January Board meeting, including an opening for the West Bay seat on the San Francisco Bay Restoration Authority.

5. EXECUTIVE DIRECTOR'S REPORT

Ezra Rapport, ABAG Executive Director, commented on the November election outcomes and legislative opportunities.

6. ABAG CONSENT CALENDAR

President Luce recognized a motion by Pat Eklund, Mayor Pro Tem, City of Novato, which was seconded by Pierce, to approve the Consent Calendar. The motion passed by consensus, with Pat Eklund, Mayor Pro Tem, City of Novato, abstaining from voting on Item 6.B. and 6.C.

A. Approval of Executive Board Summary Minutes**

Summary of Minutes of Meeting No. 388 held on September 20, 2012.

B. Grant Applications**

A list of grant applications was approved for submission to the State Clearinghouse, having been circulated in ABAG's "Intergovernmental Review Newsletter" since the last Executive Board meeting.

C. Approval of Proposal Submittal and Authorization to Enter into Agreement with US Department of Homeland Security if Funded**

Approved an application for funding under FEMA's 2012 Community Resilience Innovation Challenge and authorized the Executive Director or designee to enter into a new cooperative agreement with FEMA to develop and distribute a local government disaster recovery toolkit.

D. Authorization to Modify a Contract with Ariel Rubissow Okamoto to continue services as Editor of the SFEP Estuary News Newsletter**

Amended the contract with Ariel Rubissow Okamoto for a new total contract cost of \$68,900 and extended the term of the contract to 2013.

E. Committee Appointments to Joint Policy Committee

Mark Luce, ABAG President, Napa County Supervisor

Julie Pierce, ABAG Vice President, Clayton Councilmember

F. Authorization to Modify Contract with Steven Cochrane for Pumpout Survey and Data Entry Services Provided to Boater Outreach and Education Program**

Amended the contract with Steven Cochrane for a new total contract cost of \$53,226.

[With Board approval, agenda Item 7 was taken after agenda Item 9.]

7. REGIONAL PLANNING PROGRAM REVIEW**

Miriam Chion, ABAG Acting Planning Director, provided an overview of the Regional Planning Program, which includes the PDA Planning Grant, Technical Assistance and Transit-Oriented Affordable Housing. She reviewed the FOCUS Program and SCS Implementation; and reviewed the regional PDA planning activities, including a review of the PDA planning program, the Technical Assistance Program, the Transit-Oriented Affordable Housing Fund (TOAH), planning assistance to cities, and ongoing ABAG staff support.

Rapport reported on the MTC decision to allocate funds to the Congestion Management Authorities for PDA planning, and the remaining funds available to ABAG for regional PDA planning activities.

Members discussed the allocation of remaining funds available to ABAG, and ongoing ABAG staff support and priorities for regional planning activities.

Members directed the Executive Director to send a letter to MTC about ABAG's concerns and to meet with the MTC Executive Director, and form a committee to meet with MTC representatives to discuss the allocation of the \$21 million available for regional PDA planning activities.

Board directed staff to send a letter to MTC, and to meet with MTC staff, on ongoing ABAG staff support and priorities.

8. PLAN BAY AREA UPDATE**

Chion provided an overview of the Plan Bay Area efforts currently underway and an update on the overall schedule. The Metropolitan Transportation Commission and the ABAG Executive Board will consider certification of the final EIR, adoption of the Plan Bay Area, and adoption of the Air Conformity Determination in June 2013 at a special joint meeting. The Board will consider the final Regional Housing Needs Allocation in July.

Members discussed the public participation process which staff reported will be presented at the next Regional Planning Committee before coming to the Board.

9. REGIONAL HOUSING NEED ALLOCATION (RHNA) UPDATE**

Chion provided an update on RHNA process and requested formation of an Appeals Committee. She reviewed the adoption of the RHNA methodology and noted that

the RHNA must be consistent with the draft Sustainable Communities Strategy's land use criteria. She reviewed the revisions and appeals process and schedule.

Members discussed allocations within subregions; state housing data; Appeals Committee composition; and OneBayArea employment data.

10. UPDATE ON BAY AREA REGIONAL ENERGY NETWORK PROPOSAL (BAYREN)**

Jerry Lahr, ABAG POWER Program Manager presented and update on the Bay Area Regional Energy Network Proposal and CPUC decision. He reviewed the purpose of the San Francisco Bay Area Regional Energy Network and its member agencies, a timeline and proposed activities, the final decision by the California Public Utilities Commission and the approved activities and coordination with Local Government Partnerships, Marin Energy Authority, and PG&E, and presented staff recommendation regarding a funding agreement for implementation.

Members discussed project governance, energy reductions, marketing costs, and compliance training.

President Luce recognized a motion by Barbara Kondylis, Supervisor, County of Solano, which was seconded by Tim Sbranti, Mayor, City of Dublin, to enter into a funding agreement to implement the San Francisco Bay Area Regional Energy Network for 2013 to 2014. The motion passed unanimously.

11. LEGISLATION & GOVERNMENTAL ORGANIZATION COMMITTEE REPORT**

Committee Chair Julie Pierce, Councilmember, City of Clayton, reported on Committee activities and asked Board approval of Committee recommendations and pending legislation, including the following: a report on the 2012 Legislative priorities, review of a scorecard showing how Bay Area legislators voted 80 percent to 90 percent in support of ABAG bill positions, and establishing Legislative priorities for 2013, including lowering the two-thirds supermajority vote threshold for infrastructure taxes and bonds and focusing on SB 375 implementation; a report on the 2013 legislative workshop and reception in February.

President Luce recognized a motion by Committee Chair Pierce, which was seconded by Supervisor Kondylis, to accept the committee report. The motion passed unanimously.

12. FINANCE & PERSONNEL COMMITTEE REPORT**

Committee Chair Rose Jacobs Gibson, Supervisor, County of San Mateo, reported on Committee activities and asked Board approval of Committee recommendations, including the following: a report on the Financial Reports for July, August and September; recommendation to approve a proposal to pay per diem for attending regular Bay Area Council Economic Institute meetings; a report on June 2012 Audited Financial Reports; and, in closed session, a conference with labor negotiators and a public employee performance evaluation for Executive Director.

President Luce recognized a motion by Committee Chair Jacobs Gibson, which was seconded by Councilmember Pierce, to accept the committee report. The motion passed unanimously.

13. CLOSED SESSION

There was no closed session.

14. ADJOURNMENT

President Luce adjourned the meeting of the Board at approximately 9:01 p.m.


Ezra Rapport, Secretary-Treasurer

*** Attachments sent to ABAG Executive Board Members.*

For information on the L&GO Committee, contact Patricia Jones at (510) 464 7933 or PatJ@abag.ca.gov, or Kathleen Cha at (510) 464 7922 or KathleenC@abag.ca.gov.

All ABAG Executive Board meetings are recorded. To arrange for review of audio recordings, please contact Fred Castro, Clerk of the Board, at (510) 464-7913 or FredC@abag.ca.gov.

**Association of Bay Area Governments
Executive Board
Thursday, January 17, 2013
Project Review**

**.1 Federal Grant Applications Being Transmitted to the State Clearinghouse
ABAG staff has transmitted the following federal grant applications to the State Clearinghouse. These applications were circulated in ABAG's Intergovernmental Review Newsletter since the last Executive Board meeting. No comments were received on these projects. If the Executive Board wishes to take a position on any of these projects, it should so instruct the staff.**

Sonoma

Applicant:

Program:

Project: Palomino Lakes Mutual Water Company Water Supply and Treatment Improvements

Description: Water Supply and Treatment Improvements

Cost:	Total	Federal	State:
		Applicant	Local
			Other

Contact: Mr. John Locey (707) 576-1322
ABAG Clearinghouse Numbe 15641

Submitted by: Judy Kelly
Director, San Francisco Estuary Partnership

Subject: Authorization to Submit a Grant Application to the California Dept. of
Water Resources for the San Francisco Bay Region IRWM Prop 84 Round 2
Implementation Projects

Date: January 4, 2013

Executive Summary

In response to state statutes, the San Francisco Bay Integrated Regional Water Management Plan was developed to encourage integrated regional strategies for management of water resources and to provide funding for implementation projects that support the plan. The ABAG Executive Board adopted the Plan by Resolution No. 11-06 dated November 16, 2006. San Francisco Bay Area water, wastewater, flood protection and stormwater management agencies; cities and counties; watershed management interests, planning agencies and organizations, and non-governmental organizations are involved in the Bay Area IRWMP. They voluntarily participate in the Coordinating Committee (CC), which is the Regional Water Management Group for the Bay Area IRWMP. The Coordinating Committee selected the Association of Bay Area Governments/San Francisco Estuary Partnership to be the Applicant for the next round of IRWMP Proposition 84 Round 2 funding. There is \$20 million available for projects in this round.

ABAG procured a consultant, Horizon Water and Environment, to write the grant application which is due to DWR on March 29, 2013. Agencies with projects in the application will pay the cost of the consultant, \$105,000.

The CC selected 20 regionally located projects for this application. As part of the application, DWR requires a Resolution from the ABAG Executive Board designating an authorized representative to submit the application and execute a grant agreement with the State of California.

Recommended Action

The ABAG Executive Board adopt the Resolution designating an authorized representative to submit the application and execute a grant agreement with the State of California for the San Francisco Bay Region IRWM Prop 84 Round 2 Implementation Projects.

Attachment

**ASSOCIATION OF BAY AREA GOVERNMENTS
EXECUTIVE BOARD**

RESOLUTION NO. 01-13

**AUTHORIZING THE EXECUTIVE DIRECTOR OR DESIGNEE, TO SUBMIT AN
APPLICATION AND EXECUTE AN AGREEMENT WITH THE CALIFORNIA
DEPARTMENT OF WATER RESOURCES ON BEHALF OF THE SAN FRANCISCO
BAY REGION IRWMP PROJECT PROPONENTS**

WHEREAS, the Association of Bay Area Governments (ABAG) is the home agency for the San Francisco Estuary Partnership SFEP, a coalition of resource agencies, non-profits, citizens, and scientists working to protect, restore, and enhance water quality and fish and wildlife habitat in and around the San Francisco Bay Delta Estuary, and

WHEREAS, ABAG is eligible to apply to the California Department of Water Resources to obtain an Integrated Regional Water Management Implementation Grant pursuant to the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Public Resource Code Section 75001 et seq.), and

WHEREAS, the ABAG Executive Board adopted the San Francisco Bay Integrated Regional Water Management Plan to encourage integrated regional strategies for management of water resources and to provide funding for implementation projects that support the plan by Resolution No. 11-06; and

WHEREAS, the Coordinating Committee (CC), the Regional Water Management Group for the Bay Area IRWMP selected ABAG/SFEP to be the Applicant for the next round of IRWMP Proposition 84 Round 2 funding and selected 20 regionally located projects for this application; and

WHEREAS, the total amount of state funding requested under the grant application is \$20,000,000 and \$4,000,000 in project match will be met by project partners and SFEP from compatible grants or in-kind services.

**ASSOCIATION OF BAY AREA GOVERNMENTS
RESOLUTION NO. 01-13**

NOW THEREFORE BE IT RESOLVED, by the Executive Board of the Association of Bay Area Governments that application be made to the California Department of Water Resources to obtain an Integrated Regional Water Management Implementation Grant pursuant to the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Public Resource Code Section 75001 et/seq.), and to enter into an agreement to receive a grant for the San Francisco Bay IRWM Prop 84 Round 2 Implementation Projects Initiative. The Executive Director, or designee, of the Association of Bay Area Governments is hereby authorized and directed to prepare the necessary data, conduct investigations, file such application, and execute a grant agreement with the California Department of Water Resources.

The foregoing was adopted by the Executive Board this 17th day of January, 2013.

Mark Luce
President

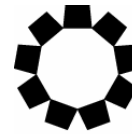
Certification of Executive Board Approval

I, the undersigned, the appointed and qualified Secretary-Treasurer of the Association of Bay Area Governments (Association), do hereby certify that the foregoing resolution was adopted by the Executive Board of the Association at a duly called meeting held on the 17th day of January, 2013.

Ezra Rapport
Secretary-Treasurer

Approved as To Legal Form

Kenneth K. Moy
Legal Counsel



Submitted by: Ceil Scandone
Subject: California Product Stewardship Council Presentation
Date: January 17, 2013

Executive Summary

In 2006, California designated as hazardous waste computers, batteries, mercury thermostats, televisions and similar consumer products, making it illegal to dispose of these products in landfills. Local governments bear the responsibility for proper management of these discarded products as well as paints, pesticides and other household hazardous wastes. The costs are considerable and mounting.

The California Product Stewardship Council (CPSC) is a 501c3 nonprofit organization established in 2007 by a coalition of local governments “to shift California’s product waste management system from one focused on government funded and ratepayer financed waste diversion to one that relies on producer responsibility in order to reduce public costs and drive improvements in product design.” Heidi Sanborn, CPSC’s Executive Director, has worked with legislators, industry representatives, advocacy organizations, and government agencies to develop, and implement state legislation and local initiatives.

Ms. Sanborn recently reported to the Hazardous Waste Management Facility Allocation Committee (HWMC) on product stewardship successes, challenges and CPSC’s current strategies. She stated that local product stewardship initiatives are expected to play an increasingly important role in bringing industry groups to the table to support comprehensive stewardship plans for their products.

The HWMC recommended that Ms. Sanborn present to the Executive Board and seek support for actions the Executive Board might take to advance product stewardship in the Bay Area and the state.

Recommended Action

1. Endorse the work of the California Product Stewardship Council
2. Direct staff to draft a letter to be signed by ABAG President and Chair of the Hazardous Waste Management Committee and sent to all Bay Area cities and counties recommending they take the following actions:

- a. Adopt an Extended Producer Responsibility resolution or legislative policy, if they have not already done so.
- b. Support the work and consider joining the California Product Stewardship Council.
- c. Develop a countywide or model local take-back ordinance for producers and/or retailers of one or more of the following products: architectural paint, sharps, fluorescent lamps, pharmaceuticals, treated wood and non-rechargeable batteries.

Next steps

Staff will draft letter to cities and counties for President Luce review.

Attachments: Memo

Bay Area Hazardous Waste Management Facility Allocation Committee



Administered by:


Association of Bay Area Governments

101 Eighth Street, Oakland, CA 94607-4756
<http://www.abag.ca.gov/hazwaste>

P. O. Box 2050, Oakland, CA 94604-2050
510/464-7961

Date: January 17, 2013

To: Executive Board

From: Ceil Scandone, Senior Regional Planner 

Re: California Product Stewardship Council Update on
Extended Producer Responsibility Initiatives

Overview

When the Hazardous Waste Management Facility Allocation Committee (HWMC) was founded over two decades ago, the focus was on wastes which are shipped offsite by industrial generators, at their expense, to hazardous waste management facilities for processing. Since 2006, when California designated commonly-used consumer products such as computers, batteries, and fluorescent lights as universal or U- wastes that are banned from landfills and require special handling, the Committee has also been tracking initiatives and advocating for action to address this high-volume hazardous waste stream.

Local governments bear the responsibility for proper management of U-wastes and other household hazardous wastes such as paints and pesticides. Because of growing concerns about the environmental and health effects of pharmaceutical residues in waterways, it is likely those too will be banned from landfill disposal. The costs to collect and dispose of these products are considerable.

In response to the financial burden and with concern about the environmental and health effects that may occur when these items are disposed of improperly, in 2007 a coalition of local governments formed the California Product Stewardship Council (CPSC) (<http://www.calpsc.org/>), a non-profit, 501(c)3 organization, to promote Extended Producer Responsibility (EPR) for products that end up in the waste stream. CPSC describes its mission as follows: "To shift California's product waste management system from one focused on government funded and ratepayer financed waste diversion to one that relies on producer responsibility in order to reduce public costs and drive improvements in product design."

On the recommendation of the HWMC, Heidi Sanborn, Executive Director of the CPSC, will provide an EPR update for the Executive Board. She will describe CPSC's work, identify successes and current strategy, including actions local agencies can consider taking. Ms. Sanborn recently said that local actions may provide the catalyst needed to bring industries to the table to seek statewide solutions and inspire the state to take action where political will has been lacking.

This memo summarizes recent EPR activity, and lists actions the HWMC recommends the Executive Board take to advance product stewardship in the Bay Area and the state.

Legislation

The HWMC reviews Extended Producer Responsibility (EPR) and other relevant legislation and forwards recommended positions to ABAG's Legislation and Governmental Organizations Committee. Staff works with the CPSC and Technical Advisory Committee members to identify bills for consideration.

In recent years, a number of EPR bills were introduced. Stewardship for mercury thermostats and a green chemistry framework passed in 2008, and for paints and carpets in 2010. However, a comprehensive product stewardship act introduced in 2010 and bills on mercury lamps, sharps and batteries introduced in 2011/12 were unsuccessful. Fewer bills were introduced in 2012. That is not expected to change in 2013.

One bill germane to the Committee's mission and supported by ABAG passed in 2012: ***AB 1442, Wieckowski, Unwanted Pharmaceuticals Reverse Distribution***. It redefines pharmaceutical waste and allows common carriers (instead of medical waste haulers) to transport pharmaceutical waste. This will make it easier and cheaper to recover unwanted pharmaceuticals by reducing transport costs, and bring California one step closer to EPR.

Other Initiatives

The HWMC also follows local initiatives and implementation of previously enacted legislation. Two successful initiatives are described below.

Local Producer Responsibility Ordinances:

While statewide legislation faltered, CPSC worked first with the City of San Francisco to draft the first EPR ordinance for pharmaceuticals in the U.S. This passed first reading and after heavy lobbying from the Pharmaceutical Research and Manufacturers Association (PHRMA), was not taken up for the final reading but instead, PHRMA donated \$110,000 to the City to establish a drug take-back program.

Basing their efforts on San Francisco's first attempt and with technical support from CPSC, in July of 2012 Alameda County took significant local action and adopted the Alameda County Safe Drug Disposal Ordinance. Approved by unanimous vote of the Board of Supervisors, the ordinance requires any person who produces a drug for sale in Alameda County to participate in an approved drug stewardship program for the collection and disposal of unwanted drugs from residential sources.

The ordinance is the first in the nation to make pharmaceutical manufacturers take responsibility for the lifespan of their products. The goals are to reduce teenage prescription drug abuse, expand local medication collection sites in the county and prevent discarded drugs from entering waterways and landfills. View the adopted ordinance at http://calpsc.org/admin-document-upload/doc_download/258-alameda-county-safe-medication-disposal-ordinance-12-11-12. Several counties are considering following Alameda's lead.

On December 7, 2012, PHRMA sued Alameda County and made the announcement in the New York Times, starting a national discussion.

Paint Stewardship

According to a technical report published in 2004 by the Product Stewardship Initiative, paint represents 40-60%, by volume, of all Household Hazardous Waste collected at public facilities. Paint management at public facilities in California is estimated to cost \$20 million each year.

In 2010, California passed AB1343 creating the first permanent paint stewardship program in the United States. CPSC was involved in the effort to enact and is helping to implement the paint stewardship legislation.

The legislation required that on or before April 1, 2012, a manufacturer or designated stewardship organization shall submit an architectural paint stewardship plan to CalRecycle. It defines a "stewardship organization" as a nonprofit organization created by the manufacturers to implement the architectural paint stewardship program.

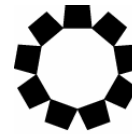
PaintCare® Inc. ("PaintCare") was formed to serve as the architectural paint industry's stewardship organization and to fulfill the obligations of participating manufacturers under the California Paint Stewardship Law. In July 2012, CalRecycle approved the California Architectural Paint Program Stewardship Plan submitted by PaintCare. The plan is available at <http://www.calrecycle.ca.gov/EPR/PolicyLaw/PntCare0604.pdf>. The PaintCare website at <http://www.paintcare.org/california/index.php> has links to fact sheets for consumers, retailers, manufacturers and municipal paint management programs with details about the California program. Currently, the state has 350 operating take-back locations mostly at retail sites and plans to have 750 by the end of 2013.

Conclusion

The product stewardship campaign has had many notable successes thanks to the efforts of CPSC, committed legislators, local agency officials and staff, and other stakeholders. However there has been significant resistance on the part of some industries. New approaches and wider support are needed to continue the progress. The HWMC is therefore requesting that the Committee consider the following actions.

Action Requested:

1. Endorse the work of the California Product Stewardship Council
2. Direct staff to draft a letter to be signed by ABAG's President and Chair of the Hazardous Waste Management Committee and sent to all Bay Area cities and counties recommending they take the following actions:
 - a. Adopt an Extended Producer Responsibility resolution and ensure EPR is in the legislative policy platform, if they have not already done so.
 - b. Support the work and consider joining the California Product Stewardship Council.
 - c. Develop a model ordinance for producers and/or retailers of one or more of the following products: household batteries, sharps, fluorescent lamps, or pharmaceuticals.



To: ABAG Executive Board

From: Clarke J. Howatt, ABAG Public Finance Director
Peggy Caruso, ABAG Housing Finance Manager

Date: 2 January 2013

Re: ABAG Financial Services • ABAG Finance Authority for Nonprofit Corporations •
Affordable Housing Financing Program Highlights

Summary

At the January 2013 Meeting of the ABAG Executive Board, ABAG Staff is scheduled to present information concerning the Agency's Financial Services Program that has to date provided more than \$8 Billion in capital financing on behalf of its membership. The presentation will focus on the activities of the ABAG Finance Authority for Nonprofit Corporations; highlighting the Authority's highly successful program for financing affordable multi-family housing with case studies of two capital project financings completed on behalf of the City and County of San Francisco. This memorandum provides background for that presentation.

ABAG Financial Services

The nationally award winning ABAG Financial Services Program is unique among councils of governments, offering an unparalleled level of financial services to its members. In these critical times of limited room in state and local budget, the program brings together a range of public agencies and private organizations to maximize resources, and opens up the municipal bond market and other financing opportunities, providing better access to capital at low interest rates. This innovative program offers ABAG members an opportunity to save considerably on public financing costs for their own needs and provides expertise and turnkey assistance when ABAG members want to serve non-profits and other qualifying organizations in their own jurisdictions.

These activities include:

ABAG Credit Pooling ABAG's longest running financial services program. To date, 46 credit pools have funded more than 120 projects totaling more than \$300 million. This program is important because public entities often need to fund acquisitions or small projects where costs are not high enough to make stand-alone public financing cost effective. The Credit Pooling Program consolidates the capital funding needs of participating municipal borrowers so that transaction costs and market interest rates are significantly lowered for all borrowers.

ABAG Leasing, started in 1996 to offer the lowest possible market lease rates, professional management, and fair terms to cities, counties, and school districts. The program is designed to provide public agencies with a simple and cost-effective means of financing or refinancing equipment and facilities. Each lease transaction is competitively bid, either individually or on a pooled basis, providing the public agency the lowest possible interest rate from the several companies responding.

The *ABAG Special Assessment Bond Roundup Program (SABR)* offers an efficient and inexpensive way for local government agencies and developers working with those agencies to issue Special Assessment and Mello-Roos Bonds. SABR pools lower the cost of issuance for participants while allowing for customized terms and conditions. To date, ABAG has provided more than \$260 million in SABR issuances.

The *ABAG Finance Authority for Nonprofit Corporations (the "Authority")* provides economical conduit financing to nonprofit organizations and other borrowers serving the public in the areas of health and social services, affordable housing, and education. The Authority simplifies the debt issuance process of both the borrower and the jurisdiction in which the borrower is located by issuing conduit tax-exempt bonds. All financings are coordinated with the Authority member in whose jurisdiction the project is located. Projects financed by the Authority have involved facility acquisition and rehabilitation, equipment acquisition, and new construction. Borrowers include both nonprofit entities such as hospitals, schools, California 501(c)(3) nonprofit housing developers, as well as for-profit entities such as tax credit partnerships. The flexibility of working with the Authority and its low-cost structure allows borrowers efficient and economical access to the tax-exempt bond market. To date the Authority has provided more than \$6.45 billion in low cost capital financing on behalf of its member jurisdictions.

ABAG Finance Authority Multifamily Housing Bond Program

An important component of the Authority's work, its *Multifamily Housing Bond Program* has, to date, provided more than a billion dollars in capital financing to either build or preserve more than 12,000 units in nearly one hundred mixed-use, mixed-income, multifamily housing projects – each with an affordable component.

The need for affordable housing in the Bay Area remains a pressing concern. For many working class people, a residence within reasonable commuting distance of work is still a financial impossibility. This well documented concern -- directly related to many of the other current challenges facing local policymakers and planners: employment growth, land use, and transportation issues to name only a few -- is often at the forefront of the ABAG discussion. In a recent survey of ABAG's Membership, affordability and location of housing was marked as the number one issue of concern.

Legislation has also renewed ABAG's obligation to calculate and disseminate affordable housing needs determination for its Members. Although this task carries with it some controversy for the Agency, ABAG maintains a long-standing, overarching commitment to policy supporting affordable housing.

In an effort to complement ABAG's housing policy and planning efforts, the ABAG Finance Authority's housing finance program offers a practical tool for local governments dealing with the increasing shortage of affordable housing in their own jurisdictions. The program seeks to

provide low-cost financing for smaller urban projects and an efficient, competitive lending vehicle for larger developments.

Providing conduit bond financing for the acquisition, construction and rehabilitation of multifamily and senior housing, the program offers assistance in overcoming the many obstacles to completing projects that serve the working class and other less fortunate families in our communities. The flexibility of working with the Authority and its low-cost structure allows borrowers efficient and economical access to the tax-exempt bond market. At the same time, the Authority's program preserves the accountability of developments to local government, since all multifamily financing projects issued through the Authority must carry the sponsorship of a local jurisdiction that is also an Authority Member.

Basis for Tax-Exemption and Regulation of Affordability

Federal tax code and state housing regulation allow both nonprofit entities (California 501(c)(3) housing developers) as well as various for-profit entities to borrow in the tax-exempt housing bond market. Both types of owners must develop projects that demonstrate public benefit by either preserving or providing new affordable housing stock. A 501(c)(3) borrower must provide housing facilities consistent with its nonprofit mission. The relatively complex restrictions governing non-501(c)(3)-owned projects (usually structured as partnerships in order to accommodate tax-incentivized equity investment: please see the discussion below under "Project Ownership and Financing Structures"), require that to qualify for 100% tax-exempt bond financing, a new acquisition or construction project must reserve at least 40% of its units for low income residents or reserve at least 20% of its units for very low income residents. The rents for the restricted units must also be limited to affordable levels.

Although some of the for-profit partnership-owned projects financed through the Authority have been structured at or around the above minimums, many of these projects have reserved all or nearly all their units in permanent affordable status. As stated above, to qualify for tax-exempt financing, projects must meet specific federal and state regulatory minimum thresholds for affordability. Moreover, every multi-family housing project financed through the Authority (unlike many projects financed through other conduit issuers in California) is subject to the input and regulation of the local jurisdiction (Authority Member) sponsoring the project.

In order to serve the diverse preferences of its individual Member jurisdictions, the Authority sets no additional affordability thresholds above statutory minimums. As with decisions concerning land use and project design, the Authority defers to its local Member jurisdiction that is sponsoring the project if the jurisdiction desires to impose additional requirements on the project. While in certain situations sponsoring jurisdictions may impose above-minimum restrictions, in fact, the policies of many Authority member jurisdictions direct development toward mixed use/mixed resident income levels rather than toward development of neighborhoods with concentrated very low-income residents. Nearly all of the projects financed through the Authority include one or more non-housing components (mixed-use) such as retail, commercial, or community space.

Project Ownership and Financing Structures

The typical applicant for multi-family housing financing through the Authority is a single purpose partnership sponsored by either a nonprofit developer or a non-exempt developer together with a nonprofit partner acting as General Partners. Generally, but not in all cases, the General

Partner(s) will take on a limited partner for tax-credit equity investment (so called 4% tax credits) which can provide for up to about 30% of the cost basis of an affordable apartments project. Many projects also rely on additional equity investment by the developer and/or additional sources of capital such as grants and loans from local jurisdiction(s).

Partnering with the State of California

Under State Regulations and the Federal Tax Code, if an affordable housing project is to be allocated the rights to tax-credits for investment equity, it is precluded from issuing tax-exempt debt other than Private Activity Bonds, the interest on which is subject to the Federal Alternative Minimum Tax. Federal law has also set a cap for each state on the amount of allocation that can be granted for Private Activity Bonds. In California, Private Activity Bond allocation (restricted by the State's per capita limit) is awarded by the California Debt Limit Allocation Committee ("CDLAC"). CDLAC allocates to bond issuers, so the Authority actually applies for and is granted such allocations on behalf of developers (financing applicants). Unlike in the highly competitive "9%" federal tax credit program (which prohibits co-use of tax-exempt bonds) which may supply a majority of project costs through tax-credit equity investment, the rights to 4% tax credits are received automatically if a project receives a bond allocation from CDLAC. It is important to note that in Authority Financings CDLAC Private Activity Bond allocation is not applied for nor is it awarded on behalf of local jurisdictions, nor are CDLAC's bond allocations capped at the local jurisdictional level. The Authority becomes a party to a Bond Regulatory Agreement in connection with each application it submits to CDLAC and receives bond allocation. This agreement, between the Authority (as bond issuer) and the developer, binds the parties to the commitments to low and/or very low income tenants made in the CDLAC application that resulted in an allocation.

Recent Federal Support

The Authority, along with all other local housing finance agencies ("HFAs"), experience significant challenges during the course of the housing downturn of the last few years. The downturn compromised the ability to continue HFAs' established role in providing affordable housing resources for working families. To stabilize the U.S. housing market, the Obama Administration sponsored an initiative for state and local HFAs to help with mortgage rate reduction and provide families with access to affordable rental housing and homeownership. Using authority provided to the Treasury Department under the Housing and Economic Recovery Act, the initiative offered the Multifamily New Bond Issuance program (the "HFA Program"). The HFA Program afforded otherwise unavailable access to capital for new development and helped reduce borrowing costs through direct federal government purchase of housing bonds at interest rates more favorable than HFAs might achieve in the private market.

The Authority submitted an application and received allocation for participation in two phases of the HFA Program. In the first phase the Authority was one of only nine agencies nationwide to close a loan using the new Program. In the Treasury's more successful second phase, the Authority and was one of only four California agencies to receive and close with Program allocation. About the same time details of this Program were being made public, the Authority received applications from a San Francisco-based developer for two mixed income, mixed use, transit oriented developments that were to run on a concurrent financing timeframe. Furthermore, the financing terms for both proposals qualified under the Program's parameters. These two projects are highlighted below.

Arc Light Co. Apartments

Arc Light Co. Apartments (“Arc Light”) is a 94-unit multifamily development located at the northeast corner of Townsend Street and Clarence Place (between Second and Third) one block from the Ballpark in the South Beach Neighborhood of the City and County of San Francisco. The project site is the former California Electric Light Company Station B building, a 22,000 square foot brick faced commercial structure built in 1888 and now listed as a “Contributory Building” in the City’s South End Historic District.



The Arc Light project preserved the majority of the existing historic building exterior and now provides 12 studio apartments, 43 one-bedroom, 38 two-plus bedrooms, 1 three-bedroom unit (approximately 71,484 square feet of floor area), underground storage for up to 46 vehicles, and roughly 4,000 square feet of ground floor retail/restaurant space within and above the existing brick walls of the historic building. Twenty percent of the units are rented to qualified residents at restricted rents. The new five-story concrete structure is set back approximately 40 feet from the Townsend Street façade to diminish Arc Light’s visual impact on

the neighborhood. The style of the new addition is contemporary in design and utilizes modern and visually “light” materials that differentiate the new construction from the old portions of the existing building.



The multi-award winning Arc Light project includes many sustainable features: a 40kw rooftop solar PV system; 5,500 square feet of usable green open space (two roof decks, two interior courtyards, private outdoor balconies); environmentally conscious products; high efficiency appliances, fixtures and fittings, including flow restrictors on all faucets; Energy Star Qualified appliances, and energy-efficient lighting, windows, and water heating systems. This level of high quality construction resulted in Arc Light becoming San Francisco's first LEED For Homes Mid-rise Gold certified residential project. *LEED certification provides independent, third-party verification that a building project meets the highest green building and performance measures, and the Gold Certification is the highest recognition level of these standards.* Indeed, approximately \$483,000 in solar tax credits and grants were secured by the developer

for the Arc Light project. Additional sources of funds for construction of Arc Light include a California Department of Housing and Community Development (“HCD”) Infill Infrastructure (Proposition 1C) grant in the amount of \$3,561,360. The Authority issued \$32,462,900 in tax-exempt bond financing for the Arc Light project.

Potrero Launch Apartments

Potrero Launch Apartments, located on Third Street between 19th and 20th Streets in the City of San Francisco, is a new development of three new mixed-use buildings tied into the preservation and renovation of two existing historic masonry buildings. The housing development encompasses 255,000 total square feet and includes 196 residential units—35 studio apartments, 82 one-bedroom, and 79 two-bedroom units. Approximately twenty percent (39) of the units are reserved for qualified residents and offered at restricted rents so as to be affordable to families not earning in excess of 50% of the San Francisco County median income adjusted for household size. The development also consists of approximately 17,000 square feet of ground floor retail including a neighborhood-serving grocery store and 2,400 square feet of on-site day care for the neighborhood. Additional features include a landscaped rooftop with a sun deck, custom spa and grilling area, an ultra-modern social lounge, and a fitness center with adjoining yoga studio, and open-air Zen garden. The community also has access to a below-grade garage with approximately 157 parking spaces (37 independently accessed and 120 stacked), 57 bicycle spaces, one City Carshare space and two off-street loading spaces. Public transportation is also easily accessible, as the new Muni Third Street Light Rail Station is immediately adjacent to the project at 20th Street.



The Potrero Launch developer was instrumental in launching the GreenTrust. The GreenTrust is San Francisco’s first non-profit, neighborhood-based open space trust fund. Its purpose is to promote the maintenance and expansion of green spaces in the Central Waterfront beginning with the development of a strategic “Greenprint” plan that would provide a detailed baseline conditions analysis, open space/greening projects analysis, and financial tools for funding neighborhood greening efforts within the context of the Draft Central Waterfront Plan. Also a component of the Potrero Launch community is approximately 11,500 square feet in usable open space in

the form of: a large internal landscaped courtyard, a shared roof-top open space, private terraces and balconies; and, several new publicly accessible “pocket plazas” along the Third Street frontage.

The Potrero Launch is a LEEDTM Gold-Certified green residential project that has many sustainable building elements, including the following sample list: flow restrictors on all faucets; photovoltaic panels; material for all cabinets and countertops free of added formaldehyde; high efficient heating system; fully commissioned building systems, and water efficient landscaping.

The Authority issued \$58,385,000 in Multifamily Housing Revenue Bonds for the construction funding of Potrero Launch in December 2010. Additional sources of funds included \$810,790 in solar tax credits and a



California Department of Housing and Community Development (“HCD”) Infill Infrastructure (Proposition 1C) grant in the amount of \$7,378,080. Potrero Launch was given the 2010 San Francisco Business Times Real Estate Deals of the Year Award, in part because of its contribution to increase housing and business activity in the city during an economically challenging time when very few housing projects were getting done.

san francisco bay area

Regional Prosperity Plan



November 2012

For more information about the Prosperity Plan, visit the project website at: onebayarea.org/regional-initiatives/Bay-Area-Prosperity-Plan.html


Or contact Vikrant Sood, Program Manager, MTC, at vsood@mtc.ca.gov.

overview

The Bay Area Regional Prosperity Plan (Prosperity Plan) is a three-year regional initiative made possible by a \$5 million grant from the U.S. Department of Housing and Urban Development (HUD) to the Association of Bay Area Governments (ABAG) and the Metropolitan Transportation Commission (MTC). The grant is funded through HUD's Sustainable Communities Partnership Program which aims to create stronger, more sustainable communities by integrating housing and jobs planning, fostering local innovation, and building a clean energy economy.

our partners

The Prosperity Plan will be developed and implemented through an open and collaborative process. So far, the following organizations have signed on to participate in this regional effort: all nine counties in the Bay Area; more than 18 local jurisdictions including the cities of San Francisco, Oakland, and San Jose; more than 18 local and regional community-based and non-profit organizations representing business and housing interests; and philanthropic organizations such as The San Francisco Foundation and the Silicon Valley Community Foundation. More partners are expected to join over the course of the project.

 Association of
Bay Area Governments

 METROPOLITAN
TRANSPORTATION
COMMISSION

the work plan

The Prosperity Plan will build on local and regional planning efforts such as the FOCUS program¹ and Plan Bay Area² and includes three key, interconnected areas of work:

The Economic Opportunity Strategy will create a regional approach for expanding economic opportunities for low- and moderate-income workers in the region and provide more than \$1 million in sub-grants for pilot projects.

Housing the Workforce Initiative will provide tools and resources for housing production and preservation in transit-served areas, support neighborhood stabilization in communities at risk of displacement, and provide more than \$1 million in sub-grants for pilot projects.

Equity Initiative will, in coordination with the other working groups, integrate equity principles in the development and implementation of the Prosperity Plan, and provide more than \$750,000 in sub-grants for pilot projects.

¹ The FOCUS program is a regional development and conservation strategy that promotes a more compact land use pattern for the Bay Area. For more information, see: www.bayarearevision.org/initiatives/PDFs/FOCUS_Brochure_12.08.pdf

² Plan Bay Area presents a coordinated land use, housing and transportation plan for the Bay Area. For more information, see: www.onebayarea.org/plan_bay_area/

get involved!

MTC and ABAG have formed the following committees and working groups to engage local and regional stakeholders:

Economic Prosperity Working Group (EPWG) – is composed of non-profit and community-based organizations, labor and business groups, and economic development and workforce training agencies. The EPWG will provide oversight on the economic prosperity work plan, help direct technical research and analysis, conduct additional outreach, and develop guidelines for pilot projects.

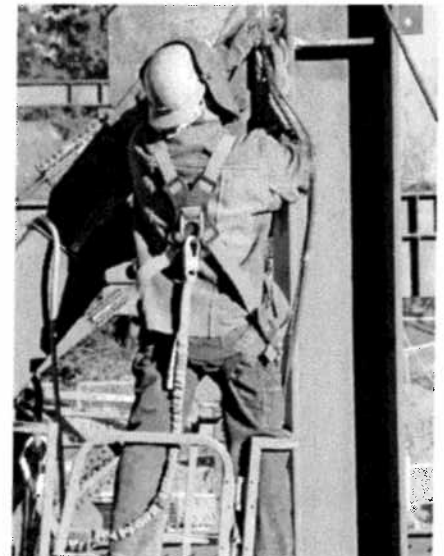
Housing Working Group (HWG) – is composed of non-profit and community-based organizations, housing authority staff, and tenant rights groups. The HWG will

provide oversight on the housing the workforce work plan, advise staff and consultants on technical research and analysis, conduct additional outreach, and develop guidelines for pilot projects.

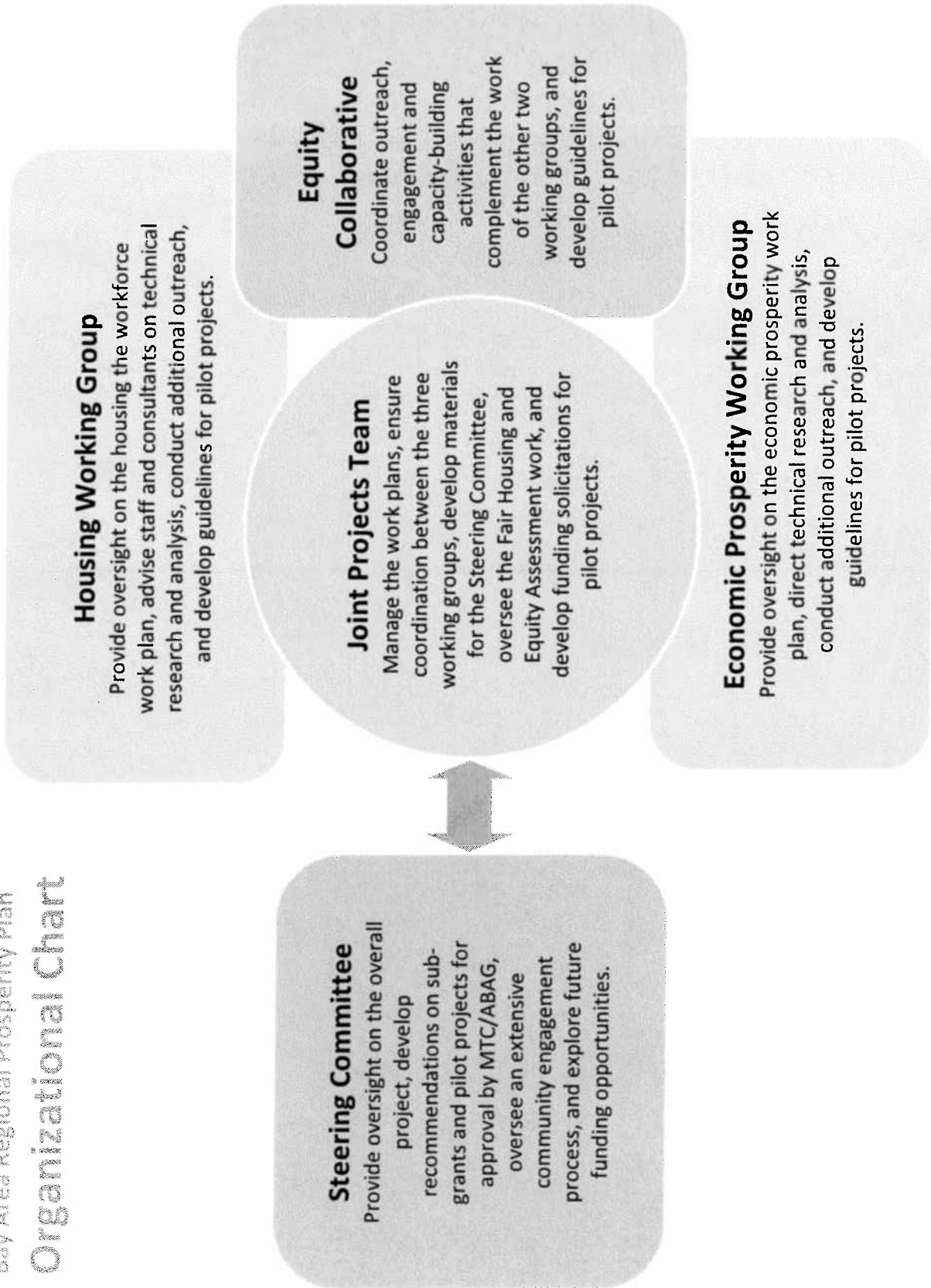
Equity Collaborative (EC) – is composed of non-profit and community-based organizations that represent under-represented and disadvantaged communities in the region. The EC will coordinate outreach, engagement and capacity-building activities that complement the work of the other two working groups.

Steering Committee – is composed of MTC and ABAG members, community-based organizations, philanthropic organizations, and co-chairs of the three working groups. The Committee will provide

oversight on the project, develop recommendations on sub-grants and pilot projects, oversee an extensive community engagement process, and explore future funding opportunities. The Committee will operate on a consensus-based model for decision-making.



Bay Area Regional Prosperity Plan
Organizational Chart



San Francisco Bay Area Regional Prosperity Plan

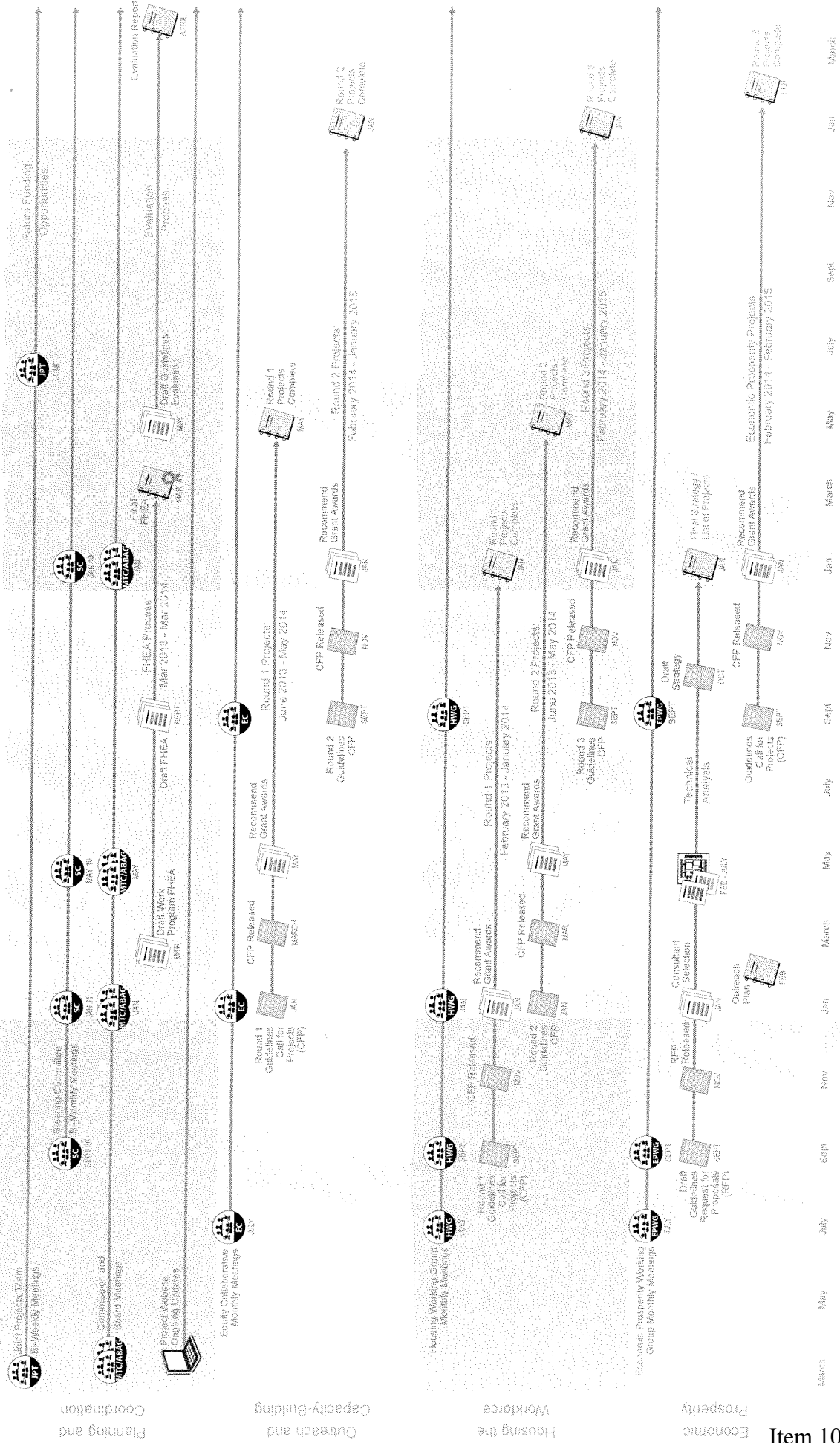
Excerpt, December 11, 2012

2012

2013

2014

2015



LEGISLATION & GOVERNMENTAL ORGANIZATION COMMITTEE

Committee Chair: Councilmember Julie Pierce—City of Clayton

Committee Vice Chair: Supervisor David Rabbit—Sonoma County

Staff: Patricia Jones – Assistant Executive Director 510/ 464-7933; FAX 510/464-7970; PatJ@abag.ca.gov

Kathleen Cha – Senior Communications Officer 510/ 464-7922; KathleenC@abag.ca.gov

Thursday, January 17, 2013 – 3:30 p.m. to 5:00 p.m.
ABAG Large Conference Room B, MetroCenter, 101 Eighth Street, Oakland

AGENDA*

1.	OPEN AGENDA Committee members may raise issues for consideration; members of the public may speak.	Information/ Action
2.	APPROVAL OF MINUTES Committee will review and approve the minutes of the November 15, 2012, L&GO meeting.	Information/ Action
3.	ELECTION OF 2013 L&GO CHAIR AND VICE CHAIR	Information/ Action
4.	NEW LEGISLATION PROPOSED IN 2013 STATE LEGISLATIVE SESSION—FOR CONSIDERATION SB 1 (Steinberg) Sustainable Communities Investment Authority SB 33 (Wolk) Infrastructure Financing Districts: Voter Approval—Repeal SCA 9 (Corbett) Local Government: Economic Development—Special Taxes—Voter Approval SCA 4 (Liu) Local Government: Transportation Projects: Special Taxes—Voter Approval SCA 8 (Corbett) Transportation Projects: Special Taxes—Voter Approval AB 39 (Skinner & John A. Perez) Proposition 39: Implementation AB 48 (Skinner) Firearms: Ammunition—Sales AB 22 (Blumenfeld) Sidewalks: Repairs	Information/ Action
5.	FINALIZE DRAFT 2013 LEGISLATIVE PRIORITIES	Information/ Action
6.	REVIEW OF FEDERAL BUDGET SEQUESTER PROCESS AND IMPACT ON LOCAL GOVERNMENT	Information/ Action
	UPDATE ON 2013 LEGISLATIVE WORKSHOP AND RECEPTION ON FEBRUARY 27th IN SACRAMENTO	Information
	ADJOURNMENT Next meeting is scheduled for March 21, 2013.	Action
	Agenda and other written materials are available at ABAG/Front Desk, 101 8th Street, Oakland, or at http://www.abag.ca.gov/meetings	

* The Committee may take any action on any item on the agenda

** Full California Bill Texts and actions can be read and printed out from state website: www.leginfo.ca.gov.



ASSOCIATION OF BAY AREA GOVERNMENTS
Representing City and County Governments of the San Francisco Bay Area

LEGISLATION
2013 State Legislative Session
Legislation & Governmental Organization Committee
January 7, 2013

Bill Author	Subject	Status	Staff Recommendation	L&GO Position	Legislation Summary
*NEW BILLS					Bold Face/Shading in Legislation Summary indicates change/ amendments.

Bills to be reviewed are listed in numeric order with Assembly bills listed first, followed by Senate bills

	New Bills				
*SB 1 Steinberg	Sustainable Communities Investment Authority	SEN From printer, may be acted upon on or after January 3 rd .	Support		<p>This bill would authorize certain public entities (a city, county, city and county, or a special district) of a Sustainable Communities Investment Area to form a Sustainable Communities Investment Authority (authority) to carry out the Community Redevelopment Law. The bill would require the authority to adopt a Sustainable Communities Investment Plan for a Sustainable Communities Investment Area and authorize the authority to include in that plan a provision for the receipt of tax increment funds provided that certain economic development and planning requirements are met. <i>(bill says that a "Sustainable Communities Investment Area shall include the following: 1) Transit priority project areas; 2) Areas that are small walkable communities..."</i>)</p> <p>The bill would authorize the legislative body of a city or county forming an authority to dedicate any portion of its net available revenue, as defined, to the authority through its Sustainable Communities Investment Plan. The bill would establish prequalification requirements for entities that will receive more than \$1,000,000 from the Sustainable Communities Investment Authority and would require the Department of Industrial Relations to monitor and enforce compliance with prevailing wage requirements for specified projects within a Sustainable Communities Investment Area.</p> <p>The bill would deposit moneys received by the department from developer charges related to the costs of monitoring and enforcement in the State Public Works Enforcement Fund. By depositing a new source of revenue in the State Public Works</p>

*SB 33 Wolk	Infrastructure Financing Districts: Voter Approval— Repeal	SEN From printer, may be acted upon on or after January 3 rd .	Support LCC Support		<p>Enforcement Fund, a continuously appropriated special fund, the bill would make an appropriation.</p> <p><i>A measure to update Infrastructure Financing District law, making it a more useful tool for helping cities maintain, repair, and rebuild critical infrastructure and create economic development:</i> This bill would revise and recast the provisions governing infrastructure financing districts. The bill would eliminate the requirement of voter approval for creation of the district and for bond issuance, and would authorize the legislative body to create the district subject to specified procedures.</p> <p>The bill would instead authorize a newly created public financing authority, consisting of 5 members, 3 of whom are members of the city council or board of supervisors that established the district, and 2 of whom are members of the public, to adopt the infrastructure financing plan, subject to approval by the legislative body, and issue bonds by majority vote of the authority by resolution.</p> <p>The bill would authorize a public financing authority to enter into joint powers agreements with affected taxing entities with regard to nontaxing authority or powers only. The bill would authorize a district to finance specified actions and projects, and prohibit the district from providing financial assistance to a vehicle dealer or big box retailer.</p> <p>The bill would create a public accountability committee to review the actions of the public financing authority. This bill would specify that the date on which the district would cease to exist would not be more than 40 years from the date on which the public financing authority adopted the resolution adopting the infrastructure financing plan. The bill would also impose additional reporting requirements after the adoption of an infrastructure financing plan.</p>
*SCA 9 Corbett	Local Government: Economic Development—Special Taxes – Voter Approval	SEN Introduced to Rules Com for assignment—to print.	Support		<p>This measure would provide that the imposition, extension, or increase of a special tax by a local government <u>for the purpose of providing funding for community and economic development projects</u> requires the approval of 55% of its voters voting on the proposition. <i>(resolution to propose constitutional amendment for vote by people of California)</i></p>
* SCA 4 Liu	Local Government: Transportation Projects: Special Taxes – Voter Approval	SEN From printer, may be acted upon on or after January 3 rd .	Support LCC Watch MTC recommends support (with possible amendment)		<p>This measure would provide that the imposition, extension, or increase of a special tax by a local government <u>for the purpose of providing funding for local transportation projects</u> requires the approval of 55% of its voters voting on the proposition. <i>(resolution to propose constitutional amendment for vote by people of California)</i></p>

Bill Author	Subject	Status	Staff Recommendation	L&GO Position	Legislation Summary Page 3
*SCA 8 Corbett	Transportation Projects: Special Taxes – Voter Approval	SEN From printer, may be acted upon on or after January 17 th	Support LCC Watch		<p>This measure would provide that the imposition, extension, or increase of a special tax by a local government for the purpose of <u>providing funding for transportation projects</u> requires the approval of 55% of its voters voting on the proposition.</p> <p><i>(resolution to propose constitutional amendment for vote by people of California)</i></p>
*AB 39 Skinner & John A Perez	Proposition 39: Implementation	ASM From printer. May be heard in Committee January 3 rd .	Support		<p>This bill would require the State Energy Resources Conservation and Development Commission (Energy Commission) to administer grants, no-interest loans, or other financial assistance to an eligible institution, defined as a public school providing instruction in kindergarten or grades 1 to 12, inclusive, for the purpose of projects that create jobs in California by reducing energy demand and consumption at eligible institutions. This bill would continuously appropriate for prescribed fiscal years an unspecified amount to the Energy Commission for this purpose in each year that at least that amount of money is transferred to the Job Creation Fund. This bill would require the Energy Commission to administer the grants, no-interest loans, or other financial assistance program to ensure that projects satisfy the prescribed criteria that apply to all expenditures from the Job Creation Fund. This bill would require an eligible institution that receives a grant, no-interest loan, or other financial assistance to report the amount of energy saved to the Energy Commission and to compute the cost of energy saved as a result of implementing projects funded by the grant, as prescribed.</p> <p>This bill would set forth certain criteria to be used to prioritize projects to be funded from moneys in the Job Creation Fund relative to public schools, school districts, public colleges and universities, and other public buildings and facilities. This bill would require moneys for job training and workforce development to be available from the Job Creation Fund, upon appropriation by the Legislature, to the California Conservation Corps, Certified Community Conservation Corps, Youth Build, and other existing workforce development programs, as specified, consistent with the requirements of the California Clean Energy Jobs Act.</p> <p>This bill would require moneys for <u>public-private partnerships</u> to be available from the Job Creation Fund, <u>upon appropriation by the Legislature, for assistance to certain local governments to establish and implement Property Assisted Clean Energy programs or similar financial and technical assistance consistent with the requirements of the California Clean Energy Jobs Act.</u></p>
*AB 48 Skinner	Firearms: Ammunition—Sales	ASM From printer.	Watch		<p>This bill would make it a misdemeanor, punishable by a fine of not more than \$1,000 or imprisonment in a county jail not to</p>

Bill Author	Subject	Status	Staff Recommendation	L&GO Position	Legislation Summary Page 4
		May be heard in Com by 1/20			<p>exceed 6 months, or by both that fine and imprisonment, to knowingly manufacture, import, keep for sale, offer or expose for sale, or give or lend any device that is capable of converting an ammunition feeding device into a large-capacity magazine.</p> <p>The bill would revise the definition of "large-capacity magazine" to mean any ammunition feeding device with the capacity to accept more than 10 rounds, including a readily restorable, as defined, disassembled large-capacity magazine, and an oversize magazine body that appears to hold in excess of 10 rounds.</p> <p>This bill would require anyone in the state, prior to selling, transferring, or otherwise furnishing ammunition to an individual or business entity in this state or any other state to require proper identification, as prescribed, to be an authorized firearms dealer, and to report the sales to the Department of Justice. An individual who fails to make the required report or who knowingly makes a report with false or fictitious information would be guilty of a misdemeanor.</p> <p>The bill would require the department to alert local law enforcement entities in the community in which the purchaser resides if an individual purchaser who is not a peace officer obtains more than ____ rounds within a 5-day period. <i>(By creating a new crime, this bill would impose a state-mandated local program.)</i></p>
*AB 22 Blumenfield	Sidewalks: Repairs	ASM From printer. May be heard in Com 1/3	Oppose LCC Watch		<p><i>Under existing law, the Improvement Act of 1911, the owners of lots or portions of lots fronting on any portion of a public street or place are required to maintain any sidewalk in such condition that the sidewalk will not endanger persons or property and maintain it in a condition that will not interfere with the public convenience in the use of those works or areas, except as to those conditions created or maintained by persons other than the owner. This law imposes a duty of repair on the abutting property owners for defects in sidewalks, regardless of who created the defects, but does not of itself create tort liability to injured pedestrians or a duty to indemnify municipalities, except where a property owner created the defect or exercised dominion or control over the abutting sidewalk. This bill would prohibit a city, county, or city and county that has an ordinance in operation that requires the city, county, or city and county to repair or reconstruct streets, sidewalks, or driveways that have been damaged as a result of tree growth <u>from repealing the ordinance without the concurrence of the local electorate by majority vote.</u> The bill would also declare that this is a matter of statewide concern.</i></p>

PROPOSED ABAG MEMBERSHIP DUES

Assessments include increase in membership fee from \$668 for FY 12-13 to \$683 for FY 13-14 in accordance with CPI increase of 2.29 percent.

For further information, contact Herbert Pike at 510-464-7902 or e-mail HerbertP@abag.ca.gov

JURISDICTION	Population 1/1/12	Approved FY 12-13 Dues	Proposed FY 13-14 Dues
COUNTY OF ALAMEDA	1,532,137	\$137,662	\$141,736
Alameda	74,640	\$13,514	\$13,919
Albany	18,488	\$3,953	\$4,019
Berkeley	114,821	\$19,455	\$19,991
Dublin	46,785	\$8,915	\$9,126
Emeryville	10,200	\$2,454	\$2,524
Fremont	217,700	\$30,587	\$31,454
Hayward	147,113	\$23,096	\$23,774
Livermore	82,400	\$14,785	\$15,246
Newark	43,041	\$8,213	\$8,450
Oakland	395,341	\$45,123	\$46,358
Piedmont	10,807	\$2,560	\$2,633
Pleasanton	71,269	\$12,939	\$13,343
San Leandro	86,053	\$15,421	\$15,870
Union City	70,646	\$12,807	\$13,236
COUNTY OF CONTRA COSTA	1,065,117	\$99,514	\$102,553
Antioch	103,833	\$18,196	\$18,704
Brentwood	52,575	\$9,828	\$10,146
Clayton	10,996	\$2,598	\$2,667
Concord	123,206	\$20,443	\$20,973
Danville	42,450	\$8,116	\$8,344
El Cerrito	23,774	\$4,840	\$4,973
Hercules	24,272	\$4,929	\$5,063
Lafayette	24,159	\$4,907	\$5,043
Martinez	36,225	\$7,012	\$7,220
Moraga	16,152	\$3,504	\$3,598
Oakley	36,532	\$7,019	\$7,276
Orinda	17,819	\$3,793	\$3,899
Pinole	18,560	\$3,925	\$4,032
Pittsburg	64,706	\$11,784	\$12,220
Pleasant Hill	33,440	\$6,539	\$6,718
Richmond	104,887	\$18,329	\$18,827
San Pablo	29,105	\$5,772	\$5,935
San Ramon	74,378	\$13,352	\$13,874
Walnut Creek	65,233	\$11,947	\$12,311
COUNTY OF MARIN	254,790	\$33,784	\$34,566
Belvedere	2,090	\$1,035	\$1,060
Corte Madera	9,351	\$2,313	\$2,371
Fairfax	7,522	\$1,991	\$2,040
Larkspur	12,058	\$2,788	\$2,859
Mill Valley	14,172	\$3,149	\$3,241
Novato	52,447	\$9,875	\$10,125
Ross	2,443	\$1,098	\$1,124
San Anselmo	12,468	\$2,860	\$2,933
San Rafael	58,305	\$10,849	\$11,126
Sausalito	7,138	\$1,923	\$1,971
Tiburon	9,059	\$2,261	\$2,318

PROPOSED ABAG MEMBERSHIP DUES

Assessments include increase in membership fee from \$668 for FY 12-13 to \$683 for FY 13-14 in accordance with CPI increase of 2.29 percent.

For further information, contact Herbert Pike at 510-464-7902 or e-mail HerbertP@abag.ca.gov

JURISDICTION	Population 1/1/12	Approved FY 12-13 Dues	Proposed FY 13-14 Dues
COUNTY OF NAPA	138,255	\$22,157	\$22,736
American Canyon	19,809	\$4,142	\$4,258
Calistoga	5,200	\$1,583	\$1,621
Napa	77,805	\$14,080	\$14,460
St. Helena	5,875	\$1,700	\$1,743
Yountville	2,999	\$1,197	\$1,224
COUNTY AND CITY OF SAN FRANCISCO			
County	812,538	\$79,563	\$81,361
City	812,538	\$79,563	\$81,361
COUNTY OF SAN MATEO	729,443	\$72,335	\$74,389
Atherton	6,888	\$1,888	\$1,926
Belmont	26,123	\$5,261	\$5,397
Brisbane	4,347	\$1,432	\$1,467
Burlingame	29,106	\$5,786	\$5,936
Colma	1,789	\$986	\$1,006
Daly City	102,593	\$18,066	\$18,558
East Palo Alto	28,467	\$5,672	\$5,820
Foster City	30,895	\$6,100	\$6,258
Half Moon Bay	11,478	\$2,682	\$2,754
Hillsborough	11,006	\$2,596	\$2,669
Menlo Park	32,513	\$6,370	\$6,550
Millbrae	22,069	\$4,499	\$4,666
Pacifica	37,658	\$7,289	\$7,479
Portola Valley	4,411	\$1,443	\$1,479
Redwood City	78,244	\$14,121	\$14,535
San Bruno	42,451	\$8,050	\$8,344
San Carlos	28,719	\$5,716	\$5,866
San Mateo	98,298	\$17,506	\$17,964
S. San Francisco	64,307	\$11,840	\$12,152
Woodside	5,386	\$1,609	\$1,655
COUNTY OF SANTA CLARA	1,816,486	\$160,318	\$165,593
Campbell	39,882	\$7,666	\$7,880
Cupertino	59,022	\$10,906	\$11,249
Gilroy	50,158	\$9,382	\$9,733
Los Altos	29,460	\$5,815	\$5,999
Los Altos Hills	8,027	\$2,076	\$2,132
Los Gatos	29,854	\$5,899	\$6,071
Milpitas	66,966	\$12,410	\$12,607
Monte Sereno	3,373	\$1,261	\$1,292
Morgan Hill	39,127	\$7,480	\$7,744
Mountain View	75,275	\$13,621	\$14,027
Palo Alto	65,544	\$11,987	\$12,364
San Jose	971,372	\$91,536	\$94,687
Santa Clara	118,813	\$19,927	\$20,458
Saratoga	30,363	\$5,995	\$6,162
Sunnyvale	142,896	\$22,553	\$23,280

PROPOSED ABAG MEMBERSHIP DUES

Assessments include increase in membership fee from \$668 for FY 12-13 to \$683 for FY 13-14 in accordance with CPI increase of 2.29 percent.

For further information, contact Herbert Pike at 510-464-7902 or e-mail HerbertP@abag.ca.gov

JURISDICTION	Population 1/1/12	Approved FY 12-13 Dues	Proposed FY 13-14 Dues
COUNTY OF SOLANO	413,786	\$46,893	\$47,906
Benicia	26,919	\$5,452	\$5,541
Dixon	18,282	\$3,920	\$3,982
Fairfield	106,379	\$18,398	\$19,002
Rio Vista	7,418	\$1,979	\$2,022
Suisun City	27,978	\$5,645	\$5,732
Vacaville	92,092	\$16,678	\$16,903
Vallejo	115,928	\$19,737	\$20,120
COUNTY OF SONOMA	487,011	\$52,849	\$54,049
Cloverdale	8,629	\$2,197	\$2,240
Cotati	7,276	\$1,957	\$1,996
Healdsburg	11,442	\$2,692	\$2,748
Petaluma	58,165	\$10,880	\$11,102
Rohnert Park	40,846	\$7,936	\$8,054
Santa Rosa	168,841	\$25,732	\$26,319
Sebastopol	7,405	\$1,978	\$2,019
Sonoma	10,665	\$2,558	\$2,608
Windsor	27,003	\$5,420	\$5,556
Totals	7,249,563	\$1,718,725	\$1,766,602
DUES PER CAPITA RATES			
First 50,000		0.1764247	0.1804648
Next 50,000		0.1671392	0.1709667
Next 100,000		0.1145213	0.1171438
Remaining Population over 200,000		0.0820220	0.0839003

* Dues are up from the prior year because CA Dept. of Finance population projections reflect an increase of 43,480 (0.6 percent) from the prior year in addition to the CPI increase.



EV Ready Workshop

ABAG FINANCE AND PERSONNEL COMMITTEE

Thursday, January 17, 2013, 5:00 p.m.

ABAG Conference Room B
 MetroCenter—8th and Oak Streets
 Oakland, CA

		<u>Recommendation</u>
1.	Call to Order	***
2.	Public Comments	Information
*3.	Minutes of the November 15, 2012 Meeting	Action
*4.	Financial Reports – ABAG <i>The October and November 2012 Financial reports will be presented and reviewed.</i>	Action
**5.	Review of Proposed Work Program, Budget and Membership Dues--FY 2013-14 <i>The Committee will make a recommendation to the Executive Board on the proposed FY 13-14 operating budget and membership dues. Membership dues detail attached.</i>	Action
*6.	Update on Achieving More Timely FPPC Form 700 Compliance <i>The attached report advises the Committee what actions are being undertaken to ensure more timely submission of FPPC Form 700 reports.</i>	Information
THE FOLLOWING ITEMS WILL BE DISCUSSED IN CLOSED SESSION PURSUANT TO THE REQUIREMENTS OF THE RALPH M. BROWN ACT.		
7.	Public Employee Performance Evaluation <i>Title: Executive Director</i>	Action/ Information
8.	Adjournment	Action

* Attachments enclosed with packet.

** Supporting documentation will be sent under separate cover.

*** The Committee may take action on any item on the agenda, which action may be the recommended action, any other action or no action.

ABAG FINANCE AND PERSONNEL COMMITTEE

Summary Minutes

November 15, 2012

Members Present

Supervisor Jacobs Gibson, Chair
Supervisor David Cortese
Mayor Mark Green
Supervisor Scott Haggerty
Supervisor John Gioia
Supervisor Barbara Kondylis
Supervisor Mark Luce
Vice Mayor Pete McHugh
Councilmember Julia Pierce
Supervisor David Rabbitt
Councilmember A. Sepi Richardson

Jurisdiction

County of San Mateo
County of Santa Clara
City of Union City
County of Alameda
County of Contra Costa
County of Solano
County of Napa
City of Milpitas
City of Clayton
County of Sonoma
City of Brisbane

Members Not in Attendance

None

Officers and Staff Present

Ezra Rapport, Executive Director
Patricia Jones, Assistant Executive Director
Kenneth Moy, Legal Counsel
Herbert Pike, Finance Director
Susan Hsieh, Assistant Finance Director
Brian Kirking, Information Services Director

- 1) Chair Jacobs Gibson called the meeting to order at 5:00 p.m.
- 2) There were no public comments.
- 3) Summary Minutes of the September 20, 2012 meeting were approved.
/M/McHugh/S/Green/C/approved.
- 4) Pike provided an overview of the Financial Reports for the months of July, August and September 2012.
/M/Green/S/Kondylis/C/approved.

- 5) Committee members discussed the proposal to pay per diem for ABAG Board Members who attend regular BACEI meetings.
/M/Gioia/S/Richardson/C/approved forwarding resolution to the Executive Board with a recommendation to approve.
- 6) The Committee discussed a proposed ABAG resolution to pay per diem to members who attend a regular ABAG Board or committee meeting for which a quorum is not achieved.
/M/Richardson/S/McHugh/C/approved.
- 7) Pike presented the major elements of the audit of ABAG's annual Financial Report, Single Audit and Memorandum of Internal Control for the fiscal year ending of June 30, 2012. Staff then left the meeting to enable the Committee to speak with Cory Biggs of Maze & Associates regarding the audit. Upon staff's return, the staff inquired about a finding regarding the lack of timely collection of FPPC Form 700 forms and a report at the next meeting was requested. /M/Richardson/S/Jacobs-Gibson/C/approved motion to recommend Executive Board receipt and approval of the reports.
- 8) In closed session, the Committee discussed with Agency negotiators regarding the status of the expiring labor contract with SEIU Local 1021 and the Employee Performance Evaluation of the Executive Director. There was no reportable action from either discussion.
- 9) The meeting was adjourned at 6:30 p.m.

TO: Finance and Personnel Committee

DT: January 2, 2013

FM: Herbert Pike, Finance Director

Re: Financial Reports
--October 2012

The following are highlights of the financial reports for October 2012.

Overall Summary (Figures 3, 4, 7 & 8)

October 2012 represents the fourth month of the new fiscal year. ABAG fund equity went down over the course of the last year by \$229 thousand. Over the last couple of months, there has been more than usual turnover in employees, as well as continued high leave usage, which may be contributing to a higher level of unbillable personnel costs as employees leave benefits are paid out, and other benefit payouts upon their departure. Further investigation is being undertaken to assess the factors contributing to loss of equity. The high level of receivables is a separate problem that is being addressed in the Accounting division. While some areas of receivables showed a reduction (services receivables and miscellaneous receivables), they were overshadowed by an increase of \$1.5 million in unbilled grant receivables. Accounting staff is working on catching up, despite the extra work in closing some large projects approaching their conclusion. Accounting Division is making every effort to reduce unbilled receivables to normal levels by the end of November which, if accomplished, should result in funding from our grantors to arrive in December and see real reductions in outstanding receivables.

Cash on Hand (Figure 1)

Cash on hand increased to \$2.67 million as of October 31st from \$2.34 million on September 30th. The increase of \$327 thousand is attributed primarily to the increase in grant recoveries from July and August billings. The October balance includes approximately \$1.37 million invested in the Local Agency Investment Fund (LAIF). Currently, ABAG does not hold any other investments. The October 31st cash balance is approximately \$946 thousand less than the prior year. This is attributable to a very high amount in receivables, especially compared to the year prior.

Receivables (Figure 2)

Receivables from grant and service programs amounted to about \$7.23 million on October 31st, an increase of \$821 thousand over the month prior. The unbilled grants receivables increased \$1.5 million, services receivable decreased \$492 thousand, and "billed" grants receivable decreased \$145 thousand compared to the prior month. Part of the overall increase is attributable to delays in the Accounting area due to the unexpected turnover in one of its five positions; a temporary replacement has been secured to get back to full strength until a full-time replacement can be recruited and hired. Staff has also been diverted toward the audit of our

ancillary programs. Receivables are approximately \$2.82 million higher than they were a year prior reflecting higher activity levels over the last several months and the unexpected delays in Accounting in processing receivables. As stated at the last meeting of the Finance & Personnel Committee, the Accounting Department is committed to getting caught up with its billings by the end of November and to realize reduction in overall receivables by the end of December.

Actual vs. Budgeted Expenses (Figure 9)

Total expenses through October 31st, the fourth month of the new fiscal year, amounted to about \$8.99 million, or 37.0 percent, of the adjusted, budgeted annual expense of \$24.30 million for FY 2012-13. This appears to reflect the frenzy of activity that accompanies the ending of several major projects. It exceeds the 33.3 percent (four-twelfths) average and is opposite the normal trend where there is usually a slight lag in the onset of new expenses in the new fiscal year. One particular accelerating expense is the close by the end of November of the project where Trash Capture Devices in numerous jurisdictions within the region receive grant subsidies for their installation.

Actual vs. Budgeted Revenues (Figure 10)

As of October 31st, total revenues amounted to about \$8.88 million, or 36.5 percent, of the adjusted, budgeted annual revenue of \$24.35 million for FY 2012-13. That is slightly in excess of the normal 33.3 percent projected (four-twelfths). This is attributable to the higher level of expenditures noted above. The higher than expected first half charges to overhead may have contributed to the variance between expenditures and revenues. It is expected that this variance will be remediated by recent announcements that some significant, new projects are being awarded to ABAG that may cause some overhead staff to be diverted to direct project expense.

Fund Equity (Figure 5)

As of October 31st, general fund equity was approximately \$1.05 million, an increase of \$110 thousand from the prior month. The Agency's restricted fund equity, consisting of capital, self-insurance, building maintenance and reserves, is \$610 thousand. Total fund equity of \$1.66 million is \$229 thousand less than the year prior. Some of this downward trend may be attributable to higher than expected charges to overhead which are expected to decline as new projects are realized.

Indirect Cost (Figure 6)

The Agency's actual indirect cost (overhead) rate was 42.43 percent, or 0.52 percent below target. The less than expected rate appears to reflect the slowing down and/or completion of several projects. The rate may increase in December because the holidays engender higher leave usage against which no overhead is charged, even though overhead expenses continue unabated for items such as building maintenance, reception, utilities, etc. The realization of new projects looks promising with the announcement of intent to award major new grants on several fronts.

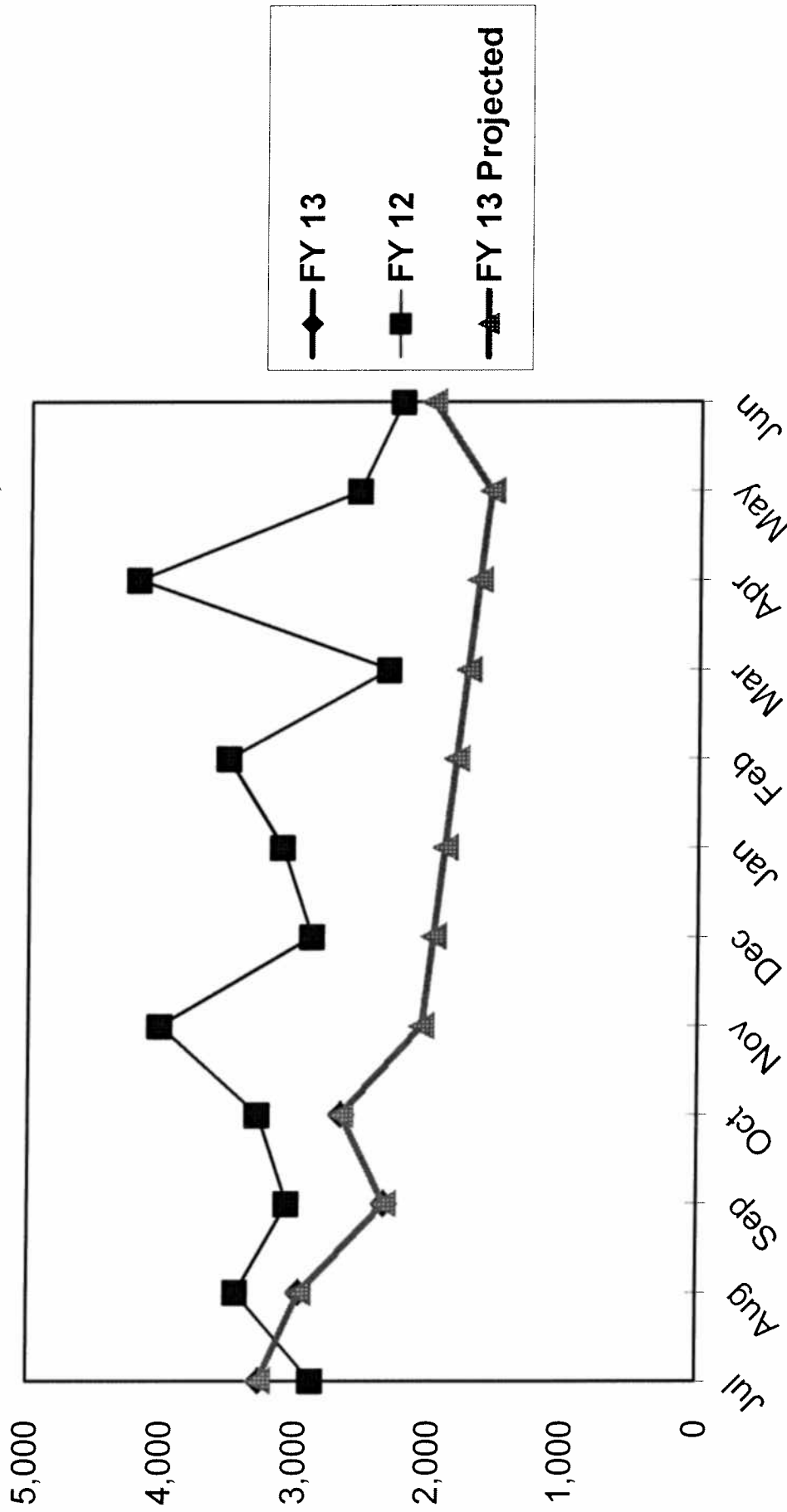
ABAG FINANCIAL REPORTS

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* Year-to-date Revenues and Expenses	Figure 4
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* Actual vs. Budgeted/Projected Expenses	Figure 9
* Actual vs. Budgeted/Projected Revenues	Figure 10
* Table of Financial Report Data Elements	Figure 11

ABAG Financial Indices

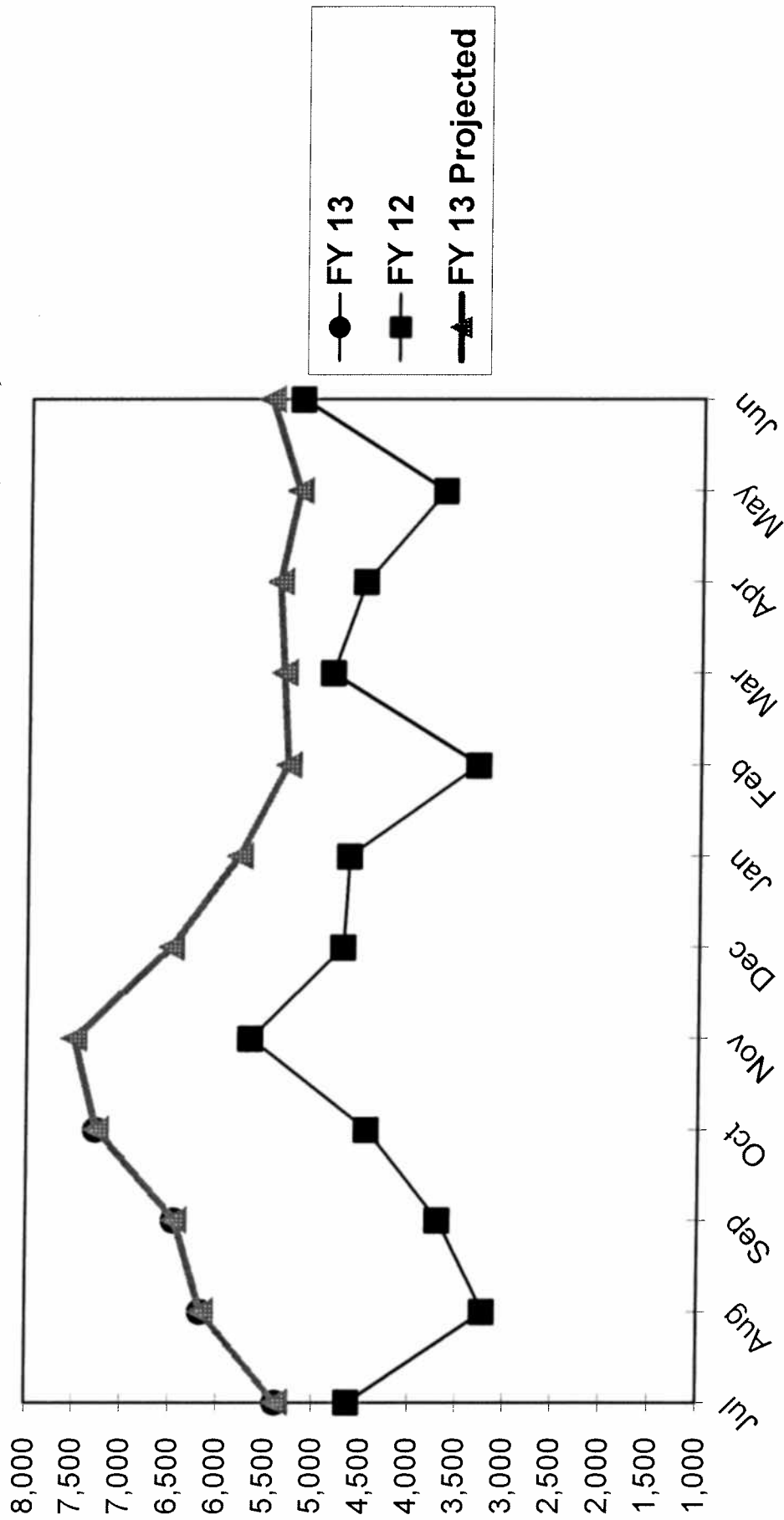
Figure 1--Cash on Hand--FY 12 and FY 13 (\$'000)



Represents the sum total of cash deposited at our bank and the Local Agency Investment Fund. This chart shows fluctuation patterns of cash on hand for the current and prior fiscal years.

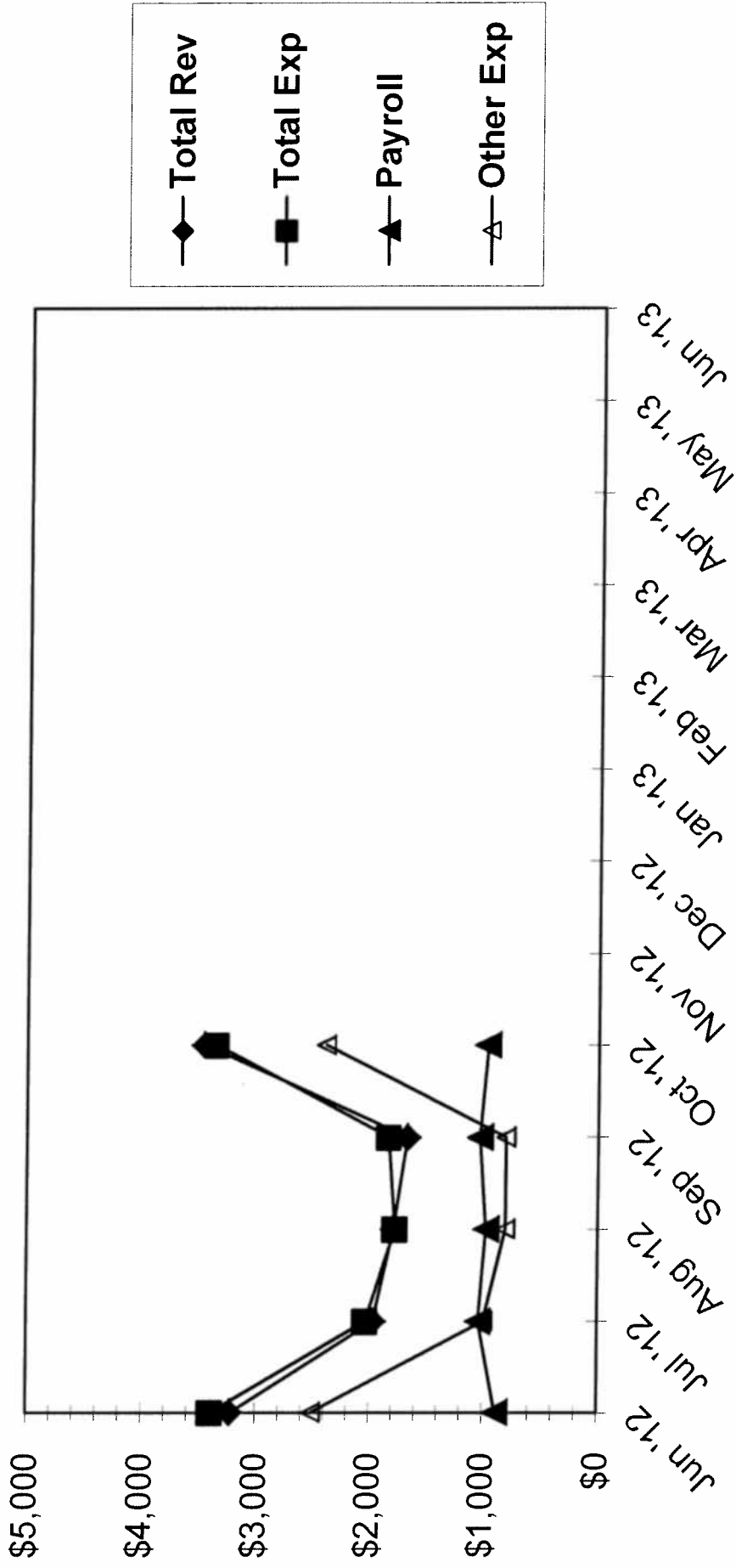
ABAG Financial Indices

Figure 2--Accounts Receivable--FY 12 and FY 13 (\$'000)



Accounts receivable include receivables generated by grants and service programs over two fiscal years. Reflects the reasonableness of our receivable levels; usually have about six weeks' worth of annual revenues in receivables.

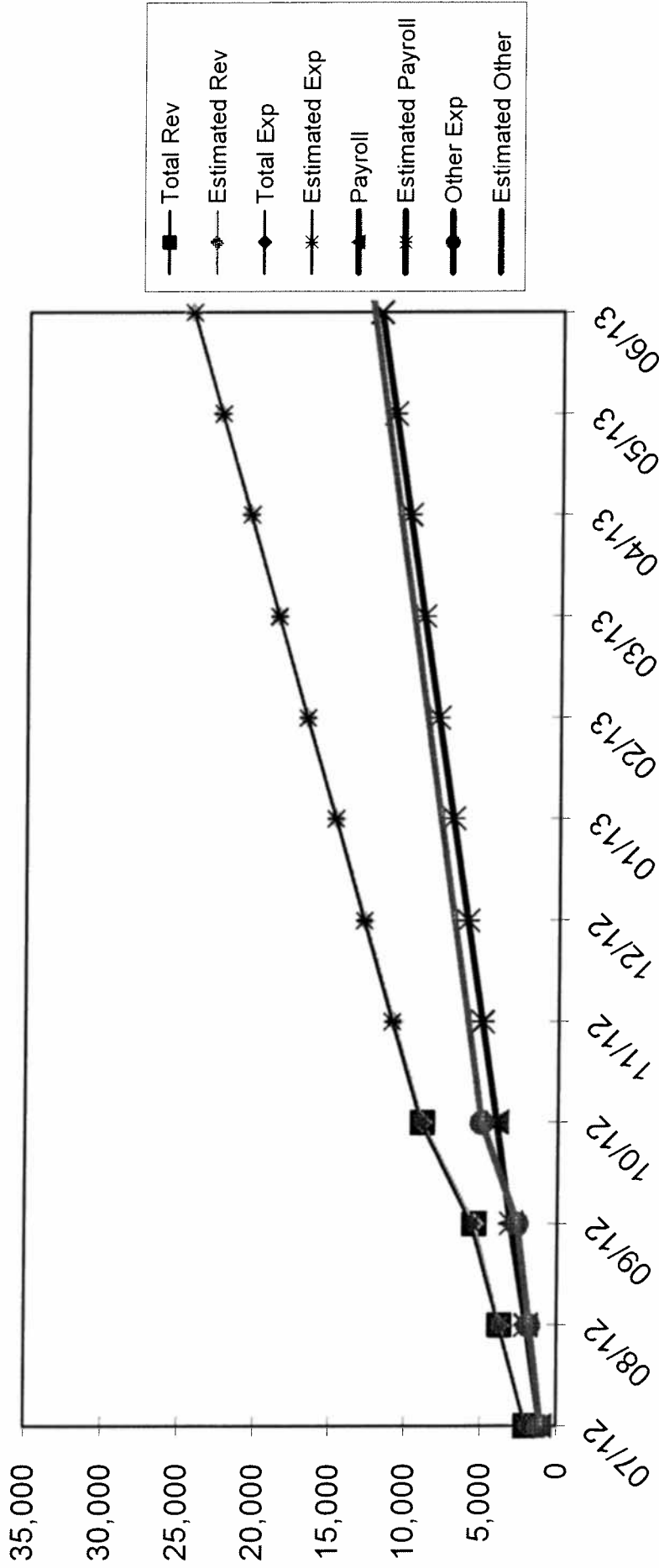
ABAG Financial Indices
Figure 3--Current Month Revenues & Expenses
FY 12-13 (\$'000)



Presents month by month total revenues, total expenses, payroll and other expenses for the current fiscal year. The difference between total revenues and total expenses lines represents the overall current month net surplus (or deficit) for the Association.

ABAG Financial Indices

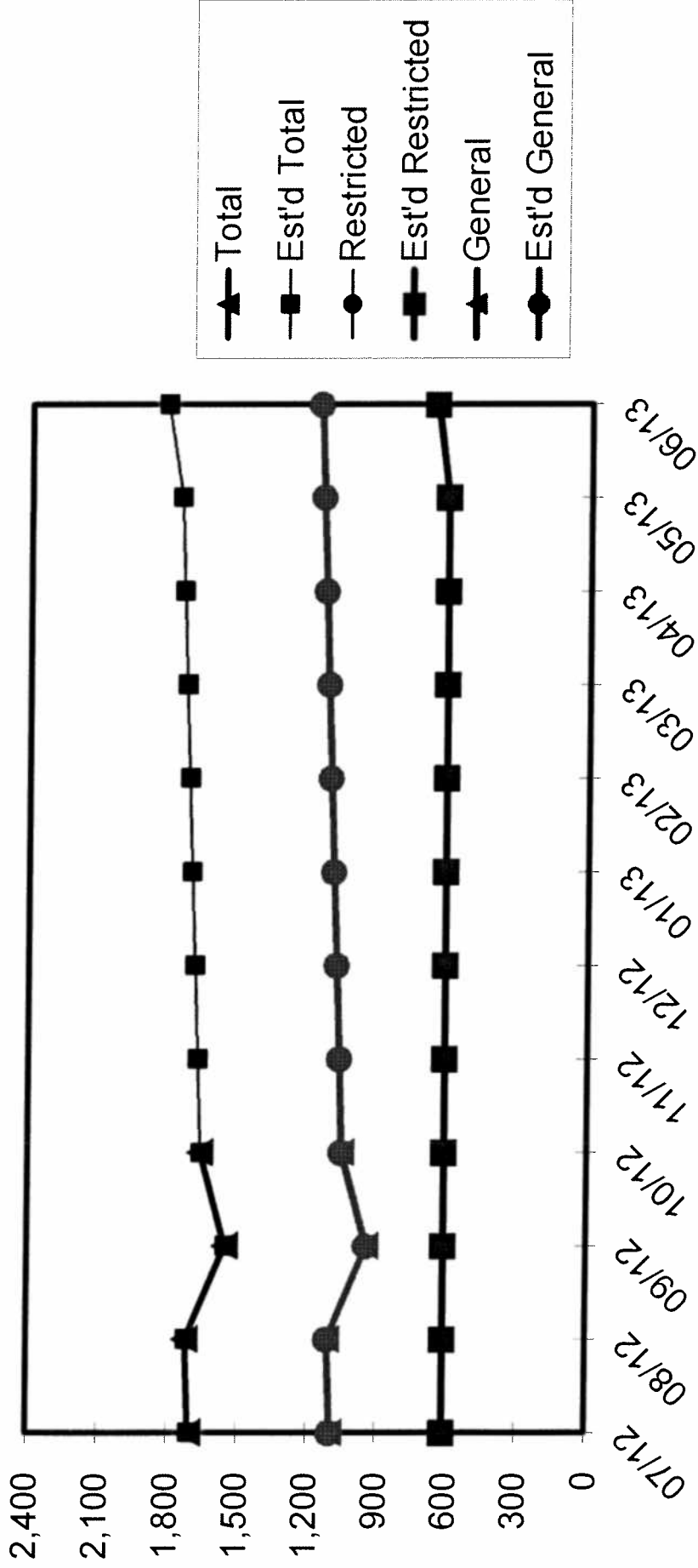
Figure 4--Year-to-date Revenues & Expenses
FY 12-13 (\$'000)



Presents year-to-date total revenues, total expenses, payroll and other expenses for the current fiscal year. The difference between total revenues and total expenses lines represents the overall year-to-date net surplus (or deficit) for the Association.

ABAG Financial Indices

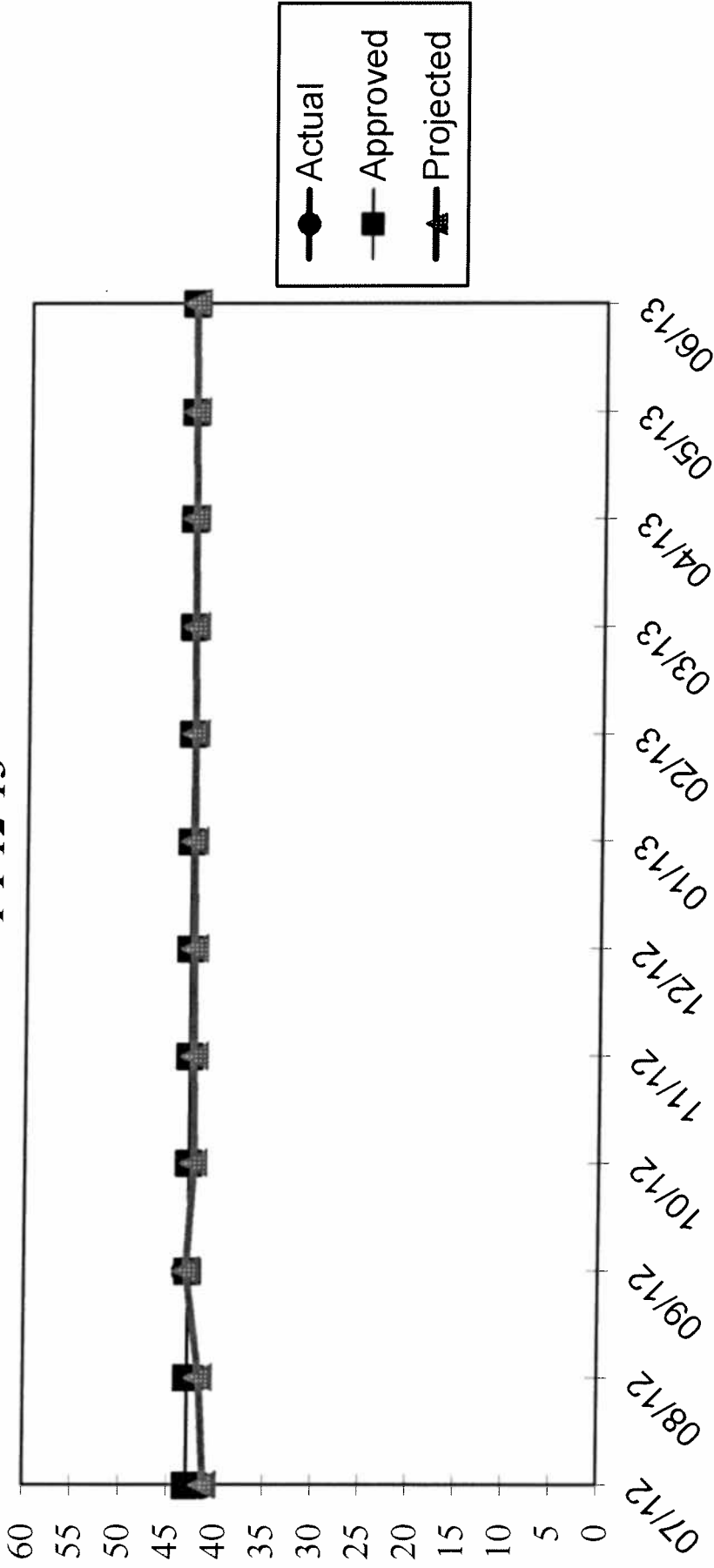
Figure 5--Fund Equity
FY 12-13 (\$'000)



Presents general, restricted and total fund equities for the current fiscal year. General fund equity represents unrestricted equity. Restricted equities include building improvement interest, building maintenance, self-insurance, capital and contingency reserve. These restricted equities represent the Association's equities set aside for specific purposes. Total equity is the sum total of general and restricted equities.

ABAG Financial Indices

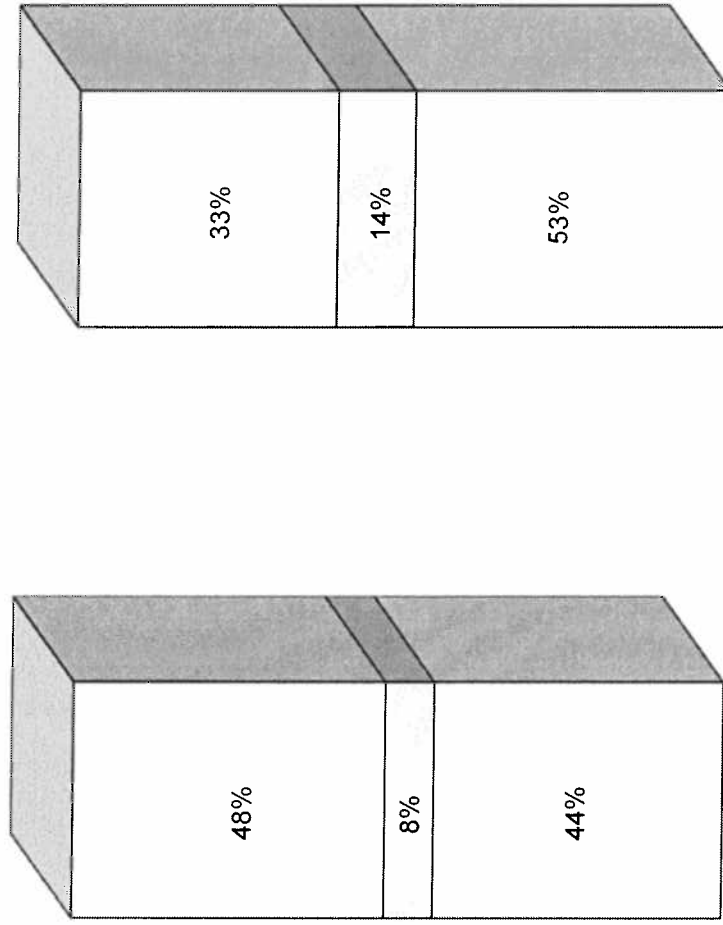
Figure 6--Indirect Cost Rate (% of Direct Labor Cost) FY 12-13



Shows a comparison between the actual indirect cost rate and the approved rate. The approved indirect cost rate is computed by dividing total estimated overhead expenses by total projected direct labor cost for a fiscal year. This rate is used as a standard overhead cost rate to allocate indirect costs to all projects. This process is performed in accordance with an indirect cost plan, which is prepared annually in accordance with OMB Circular A-87.

ABAG Financial Indices

Figure 7-- Composition of Expenses FY 12--FY 13
Year to Date
(\$'000)

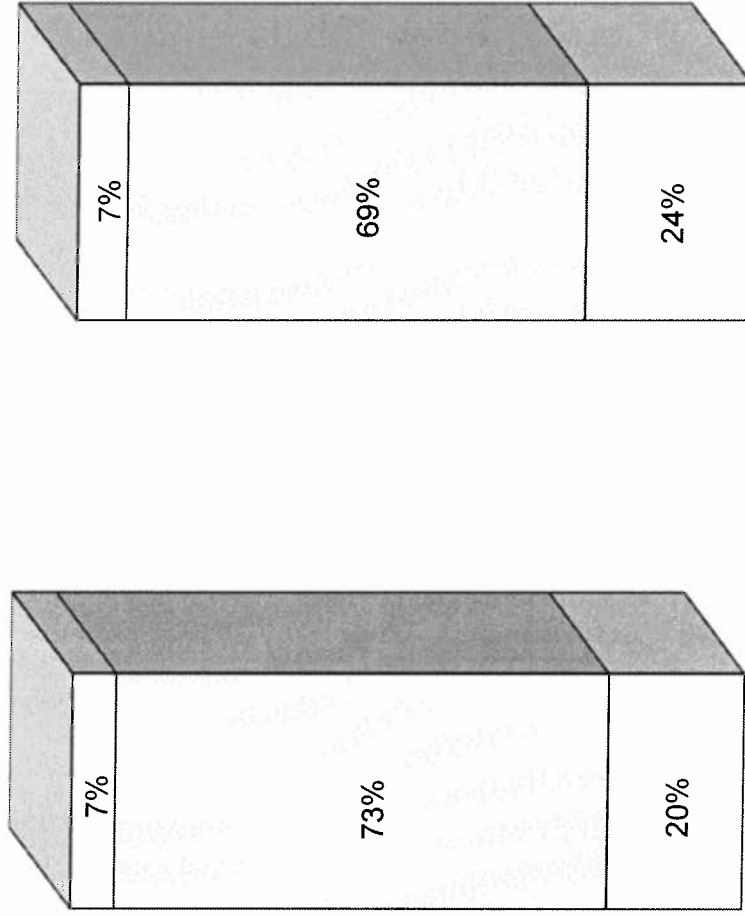


	FY12-13 Expenses (Total \$8,992)	FY11-12 Expenses (Total \$7,707)
□ Consultants	\$4,307	\$3,057
□ Others	\$682	\$916
□ Payroll	\$4,003	\$3,734

This chart compares expenses for current and prior fiscal year. It groups expenses into three broad categories--payroll costs, consultants and other expenses.

ABAG Financial Indices

**Figure 8-- Composition of Revenues FY 12--FY 13
Year to Date
(\$'000)**

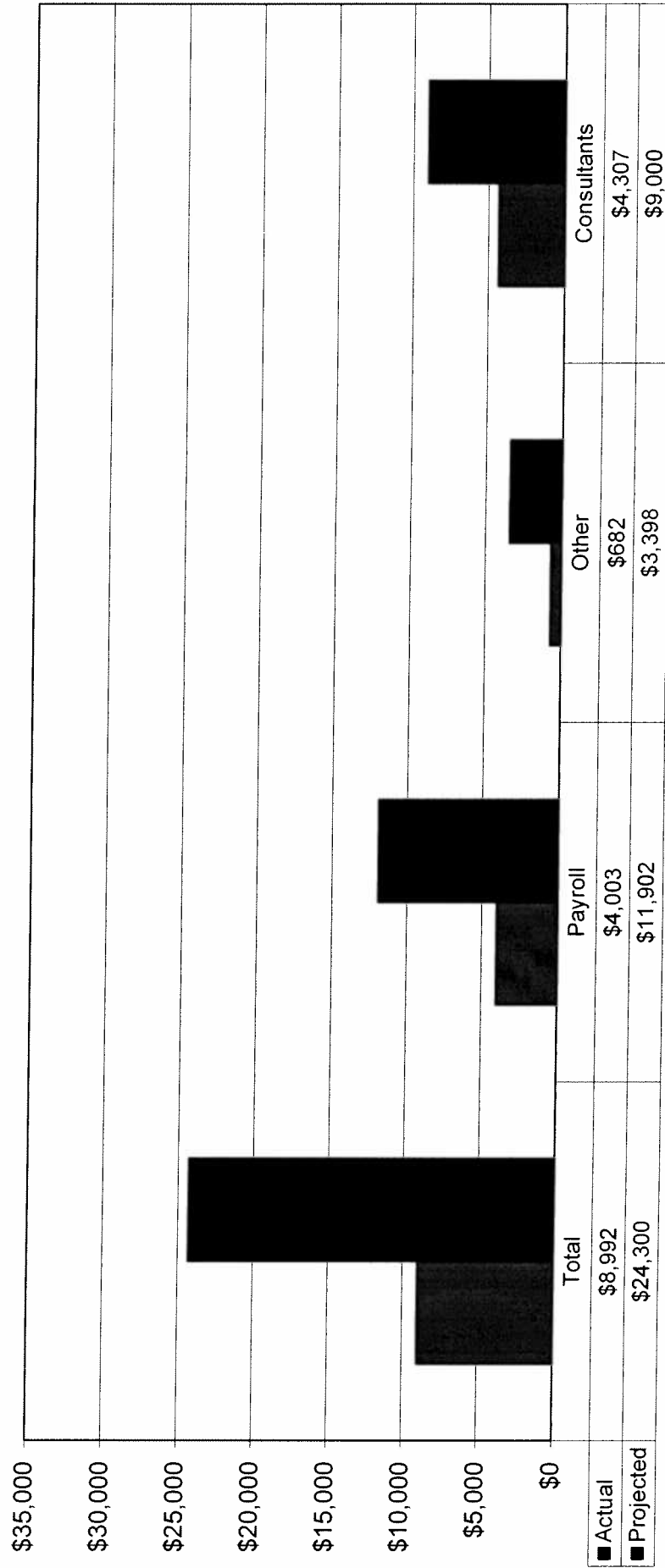


	FY 12-13 Revenue (Total \$8,884)	FY 11-12 Revenue (Total \$7,961)
□ Memberships	\$575	\$577
□ Grants	\$6,526	\$5,453
□ Services & Others	\$1,783	\$1,931

Presents a breakdown of total revenues into four main sources--membership, grants, services and others. This chart compares revenue sources between current and prior fiscal year.

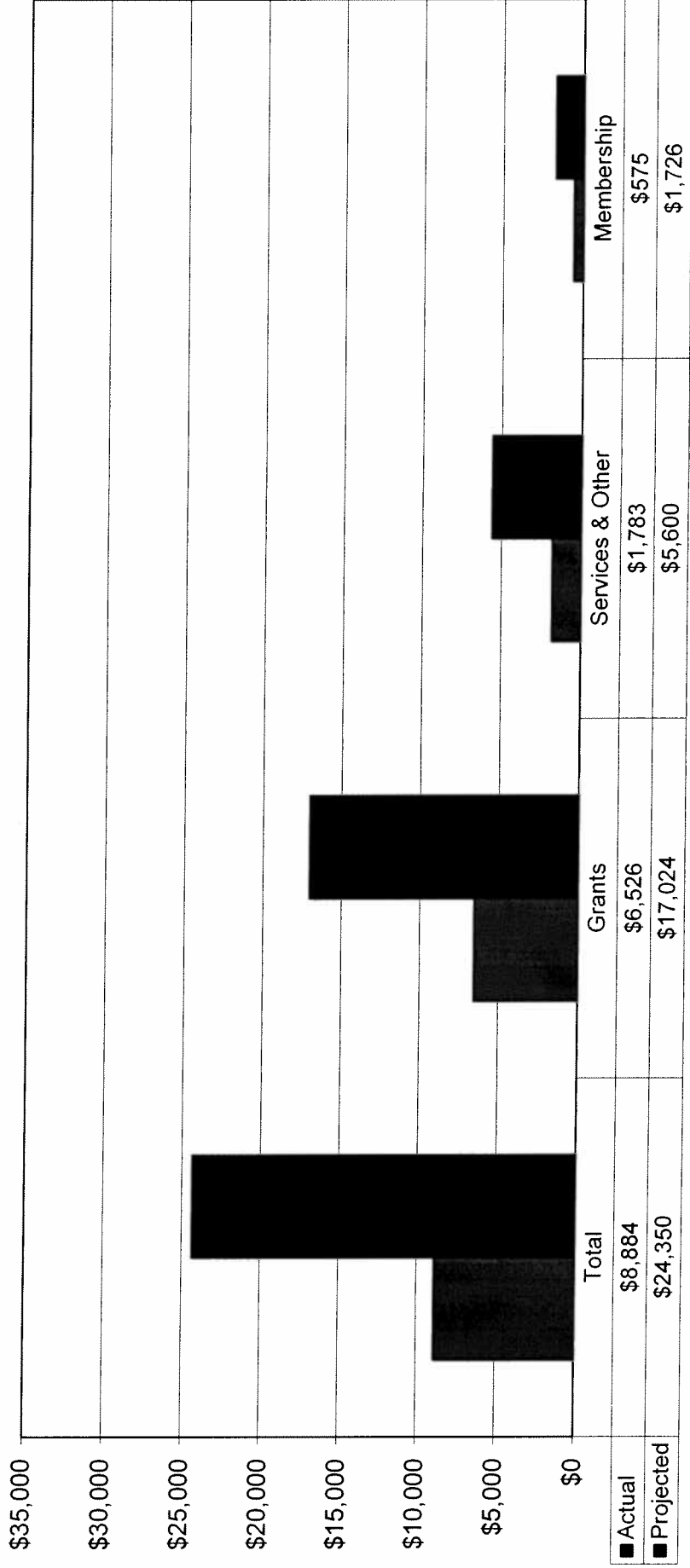
ABAG Financial Indices

Figure 9--Actual vs Projected Expenses--FY 12-13 Year to Date (\$'000)



Presents a comparison of actual and budgeted/projected total expenses as well as component categories: payroll costs, consultants and other expenses.

ABAG Financial Indices
Figure 10--Actual vs Projected Revenues--FY 12-13
Year to Date (\$'000)



Presents a comparison of actual and budgeted/projected total revenues as well as component categories: membership dues, grants, services and other.

TO: Finance and Personnel Committee

DT: January 8, 2013

FM: Herbert Pike, Finance Director

Re: Financial Reports
--November 2012

The following are highlights of the financial reports for November 2012.

Overall Summary (Figures 3, 4, 7 & 8)

November 2012 represents the fifth month of the new fiscal year. The most significant issue is and has been the increase in outstanding receivables reaching over \$7.5 million as of the end of November. However, relief appears to be forthcoming with a \$3.45 million reduction in unbilled receivables bringing unbilled receivables down to normal ranges. This should result in a dramatic decline in overall receivables by the end of December, expecting them to dip below \$5 million. In addition, some new project-funded staff is being hired that should result in more overhead recovery for ABAG. Major new projects, most notably BayREN, should require resources to be diverted from overhead expense to project funding which should further reduce the net overhead rate for the Agency. There is also an on-going review to determine if certain charges absorbed as Agency expense might more properly be expensed to indirect overhead which would improve the Agency's equity position.

Cash on Hand (Figure 1)

Cash on hand decreased to \$2.06 million as of November 30th from \$2.67 million on October 31st. The decrease of \$607 thousand is attributed primarily to the delayed billings of the prior two to three months. The November balance includes approximately \$1.37 million invested in the Local Agency Investment Fund (LAIF). Currently, ABAG does not hold any other investments. The November 30th cash balance is approximately \$1.96 million less than the prior year. This is attributable to a very high amount in receivables, especially compared to the year prior.

Receivables (Figure 2)

Receivables from grant and service programs amounted to about \$7.50 million on November 30th, an increase of \$232 thousand over the month prior. While the trend of increasing receivables was not reversed, the unbilled receivables decreased \$3.45 million. Now that the issuance of payment request is virtually current, ABAG can now expect the grantors to accelerate payments to ABAG in December and, thereby, both increase cash on hand and reduce overall receivables. A peek at the preliminary close of December indicates the reduction of receivables to below \$5 million. As of November 30th, receivables are approximately \$1.83 million higher than they were a year prior.

Actual vs. Budgeted Expenses (Figure 9)

Total expenses through November 30th, the fifth month of the new fiscal year, amounted to about \$11.02 million, or 45.3 percent, of the adjusted, budgeted annual expense of \$24.30 million for FY 2012-13. This appears to reflect the frenzy of activity that accompanies the ending of several major projects. It exceeds the 41.7 percent (five-twelfths) average and is opposite the normal trend where there is usually a slight lag in the onset of new expenses in the new fiscal year. One particular accelerating expense is the close by the end of November of the project where Trash Capture Devices in numerous jurisdictions within the region receive grant subsidies for their installation.

Actual vs. Budgeted Revenues (Figure 10)

As of November 30th, total revenues amounted to about \$10.85 million, or 44.6 percent, of the adjusted, budgeted annual revenue of \$24.35 million for FY 2012-13. That is slightly in excess of the normal 41.7 percent projected (four-twelfths). This is attributable to the higher level of expenditures noted above. The higher than expected first half charges to overhead may have contributed to the variance between expenditures and revenues. It is expected that this variance will be remediated by recent announcements that some significant, new projects are being awarded to ABAG that may cause some overhead staff to be diverted to direct project expense.

Fund Equity (Figure 5)

As of November 30th, general fund equity was approximately \$996 thousand, a decrease of \$58 thousand from the prior month. The Agency's restricted fund equity, consisting of capital, self-insurance, building maintenance and reserves, is \$610 thousand. Total fund equity of \$1.61 million is \$230 thousand less than the year prior. Some of this downward trend may be attributable to higher than expected charges to overhead which are expected to decline as new projects are realized.

Indirect Cost (Figure 6)

The Agency's actual indirect cost (overhead) rate was 43.20 percent, or 0.25 percent above target. The higher than expected rate appears to reflect the slowing down and/or completion of several projects. The rate normally increases in December because the holidays engender higher leave usage against which no overhead is charged, even though overhead expenses continue unabated for items such as building maintenance, reception, utilities, etc. The realization of new projects looks and an overall reduction in the rate looks promising with the announcement of intent to award major new grants on several fronts.

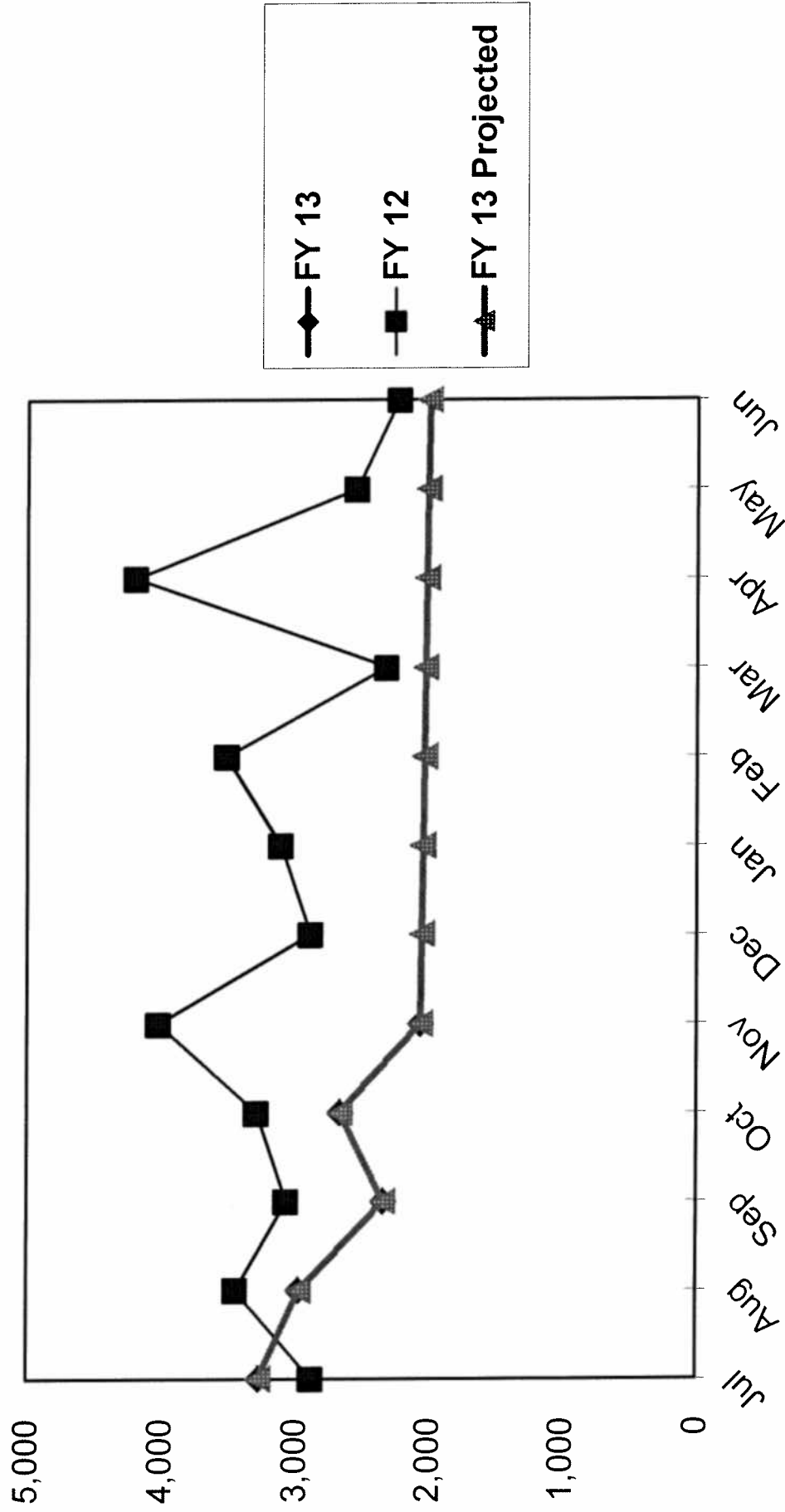
ABAG FINANCIAL REPORTS

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* Actual vs. Budgeted/Projected Revenues	Figure 10
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ABAG Financial Indices

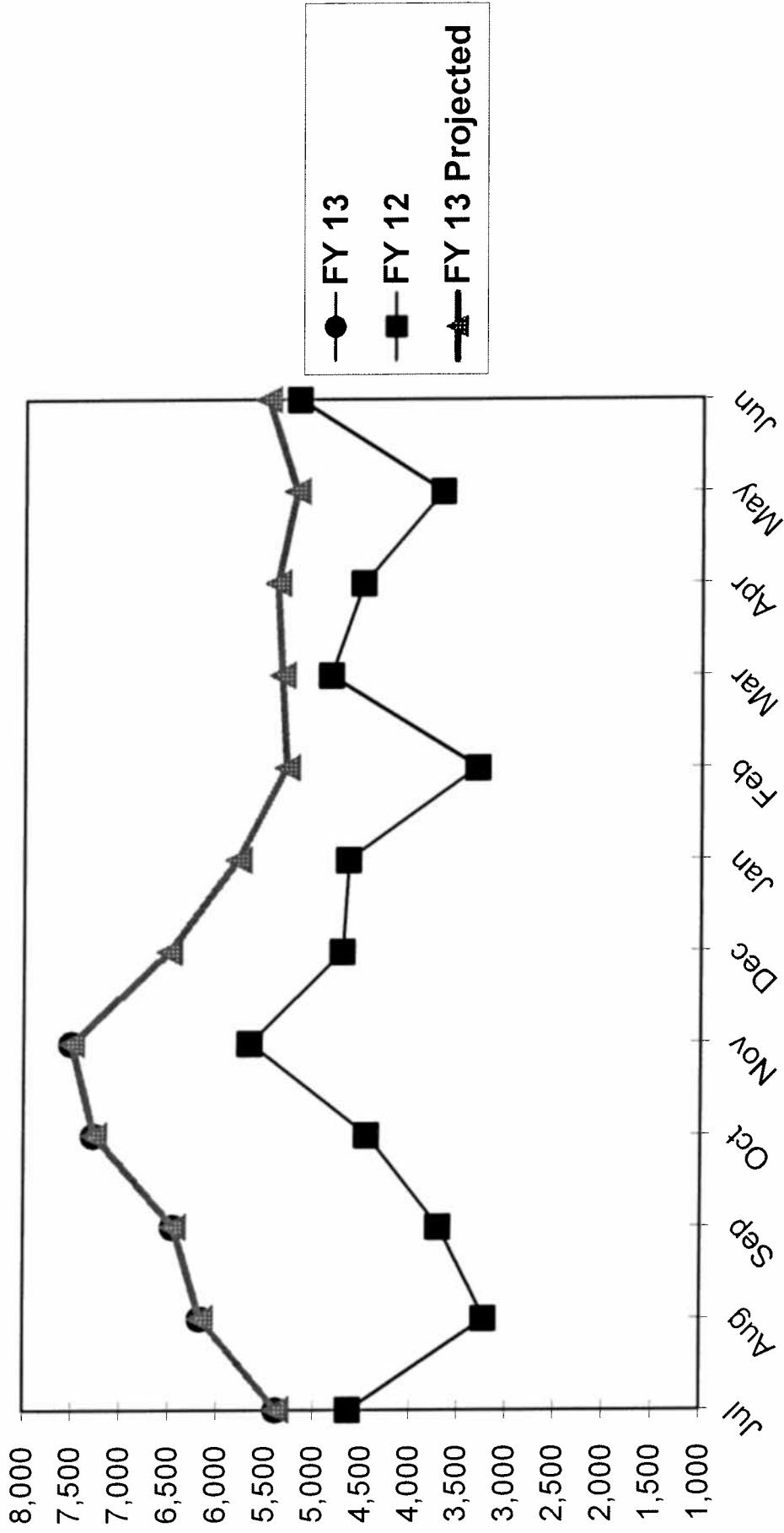
Figure 1--Cash on Hand--FY 12 and FY 13 (\$'000)



Represents the sum total of cash deposited at our bank and the Local Agency Investment Fund. This chart shows fluctuation patterns of cash on hand for the current and prior fiscal years.

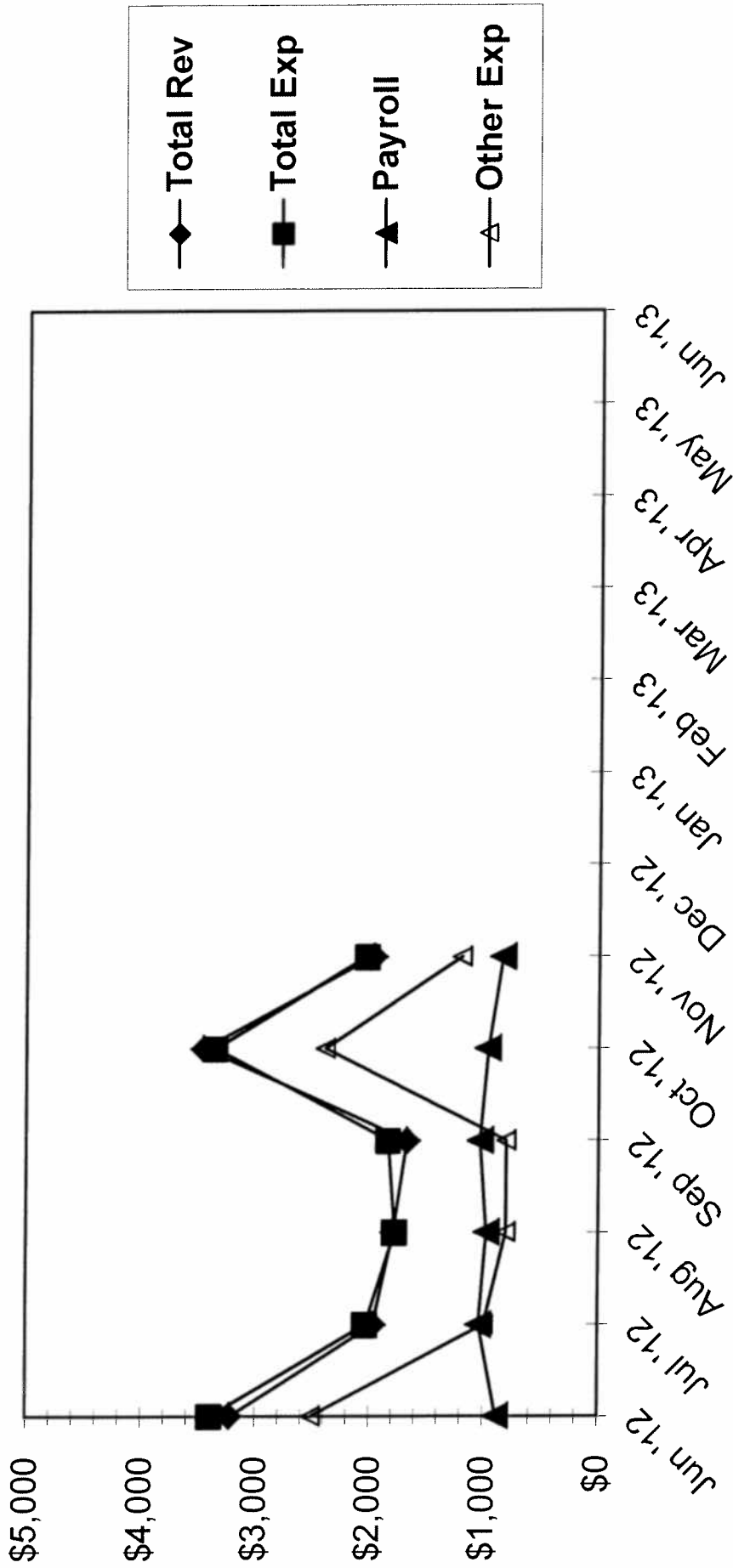
ABAG Financial Indices

Figure 2--Accounts Receivable--FY 12 and FY 13 (\$'000)



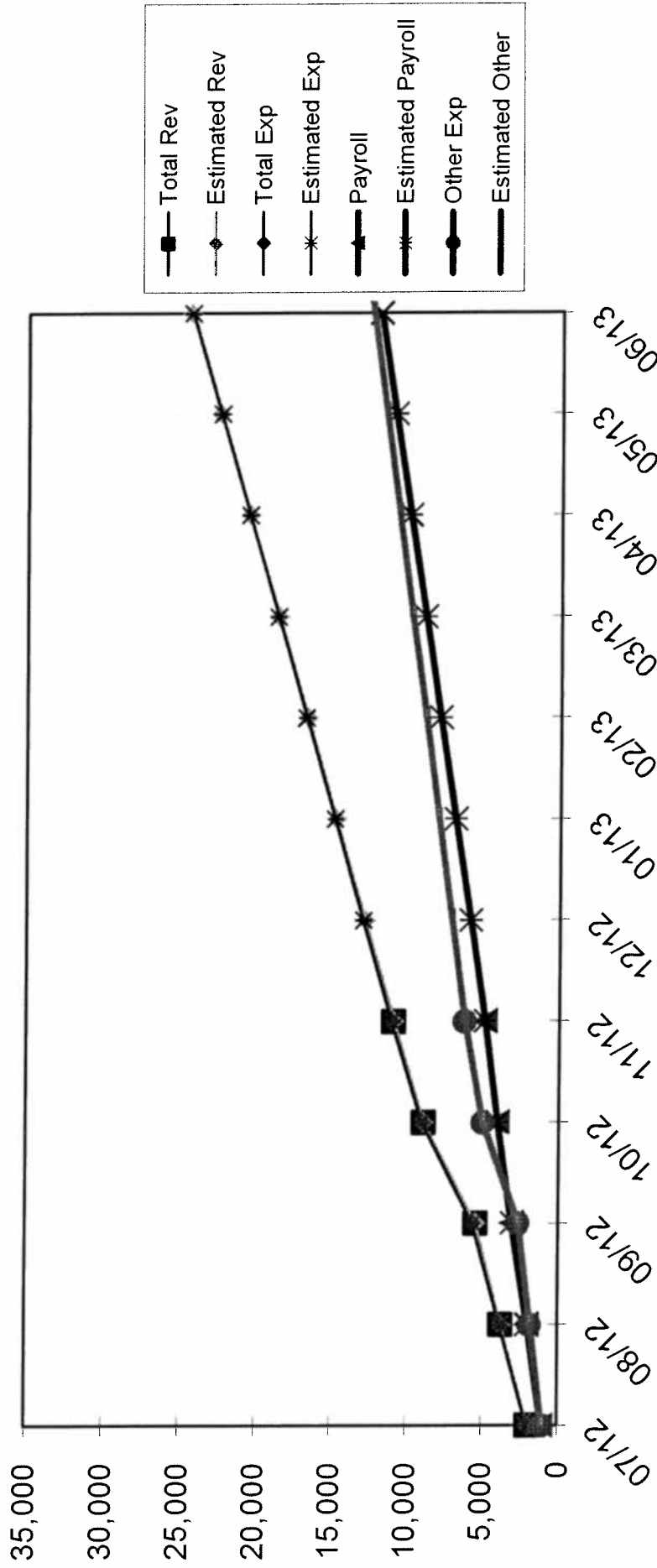
Accounts receivable include receivables generated by grants and service programs over two fiscal years. Reflects the reasonableness of our receivable levels; usually have about six weeks' worth of annual revenues in receivables.

ABAG Financial Indices
Figure 3---Current Month Revenues & Expenses
FY 12-13 (\$'000)



Presents month by month total revenues, total expenses, payroll and other expenses for the current fiscal year. The difference between total revenues and total expenses lines represents the overall current month net surplus (or deficit) for the Association.

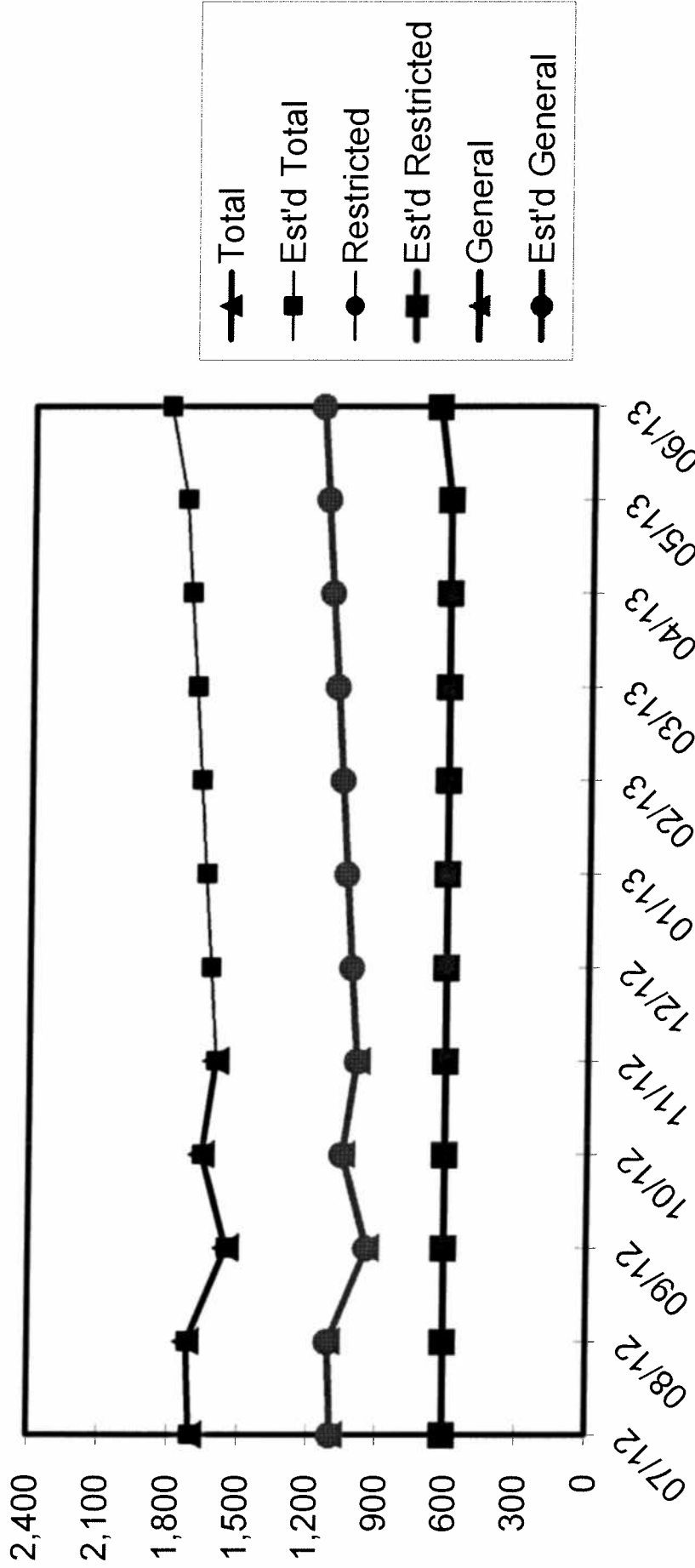
ABAG Financial Indices
Figure 4--Year-to-date Revenues & Expenses
FY 12-13 (\$'000)



Presents year-to-date total revenues, total expenses, payroll and other expenses for the current fiscal year. The difference between total revenues and total expenses lines represents the overall year-to-date net surplus (or deficit) for the Association.

ABAG Financial Indices

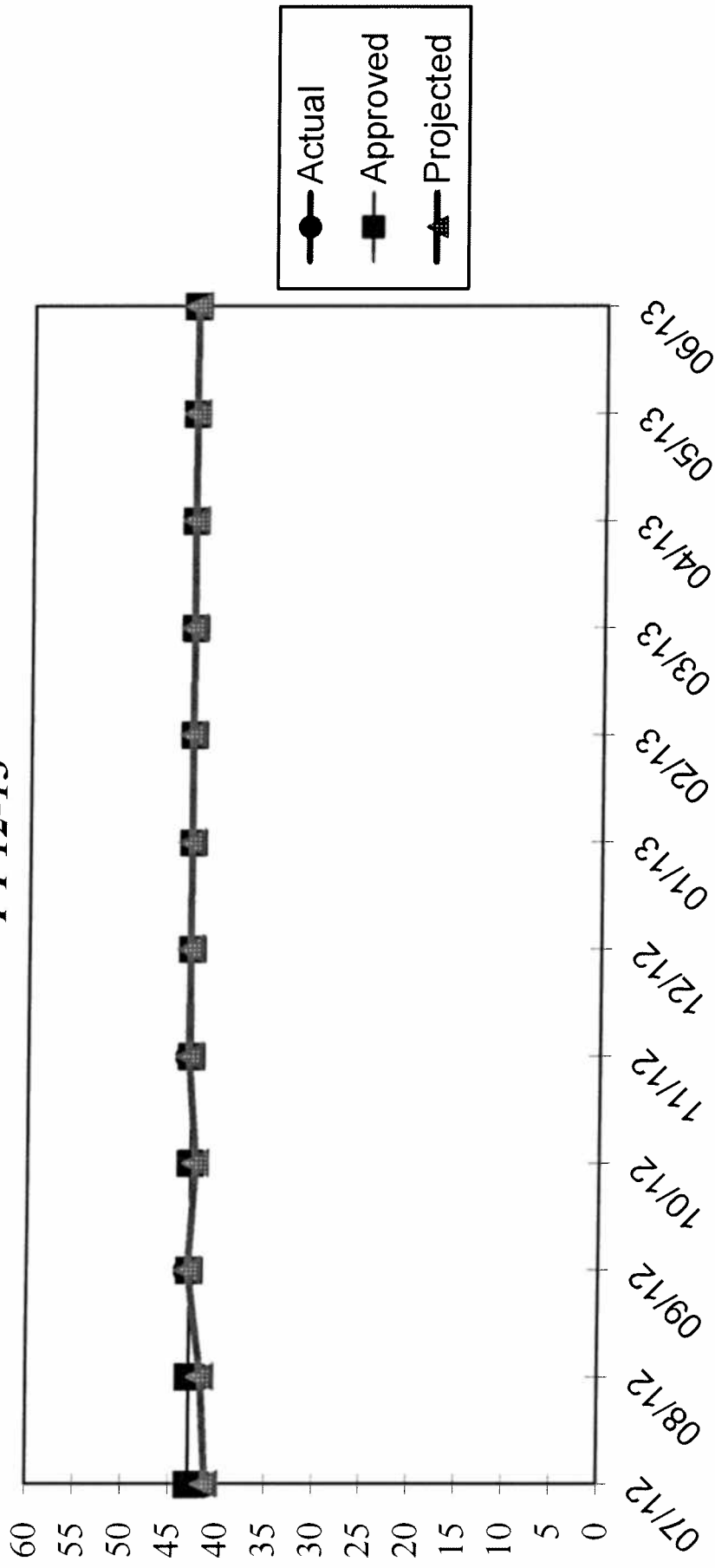
Figure 5--Fund Equity
FY 12-13 (\$'000)



Presents general, restricted and total fund equities for the current fiscal year. General fund equity represents unrestricted equity. Restricted equities include building improvement interest, building maintenance, self-insurance, capital and contingency reserve. These restricted equities represent the Association's equities set aside for specific purposes. Total equity is the sum total of general and restricted equities.

ABAG Financial Indices

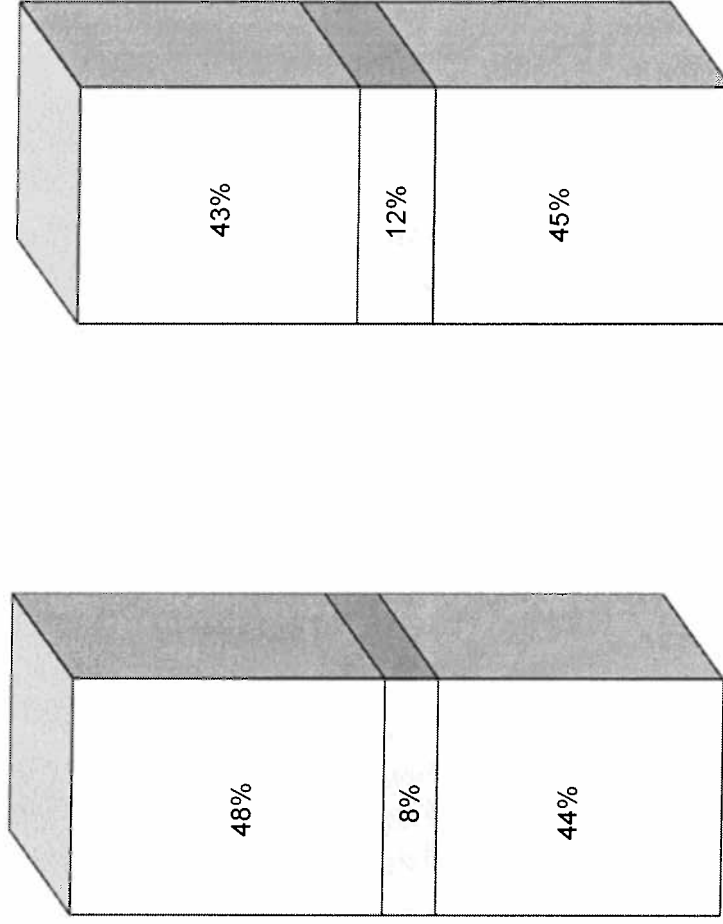
Figure 6--Indirect Cost Rate (% of Direct Labor Cost) FY 12-13



Shows a comparison between the actual indirect cost rate and the approved rate. The approved indirect cost rate is computed by dividing total estimated overhead expenses by total projected direct labor cost for a fiscal year. This rate is used as a standard overhead cost rate to allocate indirect costs to all projects. This process is performed in accordance with an indirect cost plan, which is prepared annually in accordance with OMB Circular A-87.

ABAG Financial Indices

Figure 7-- Composition of Expenses FY 12--FY 13
Year to Date
(\$'000)

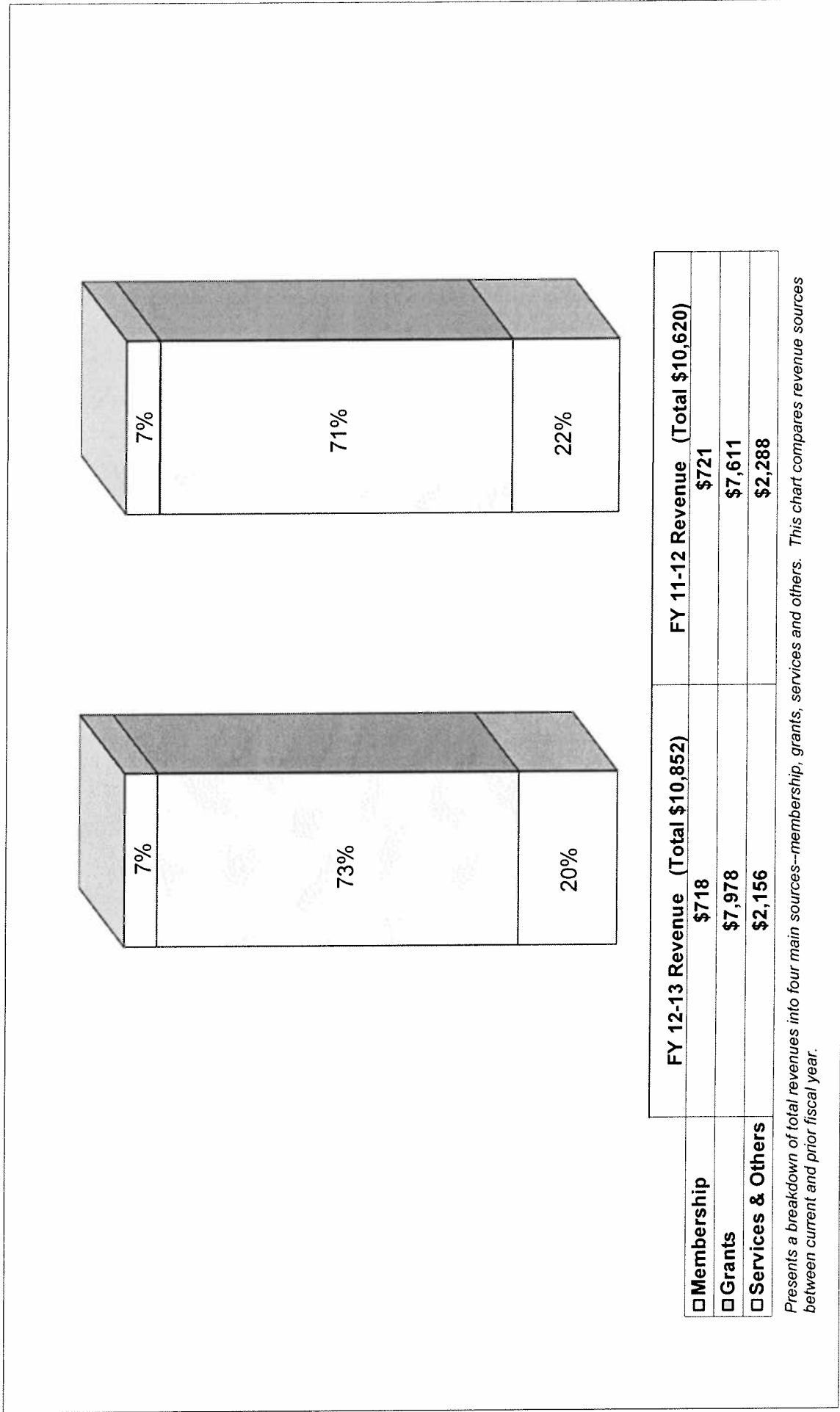


	FY12-13 Expenses (Total \$11,018)	FY11-12 Expenses (Total \$10,423)
□ Consultants	\$5,269	\$4,458
□ Others	\$909	\$1,217
□ Payroll	\$4,840	\$4,748

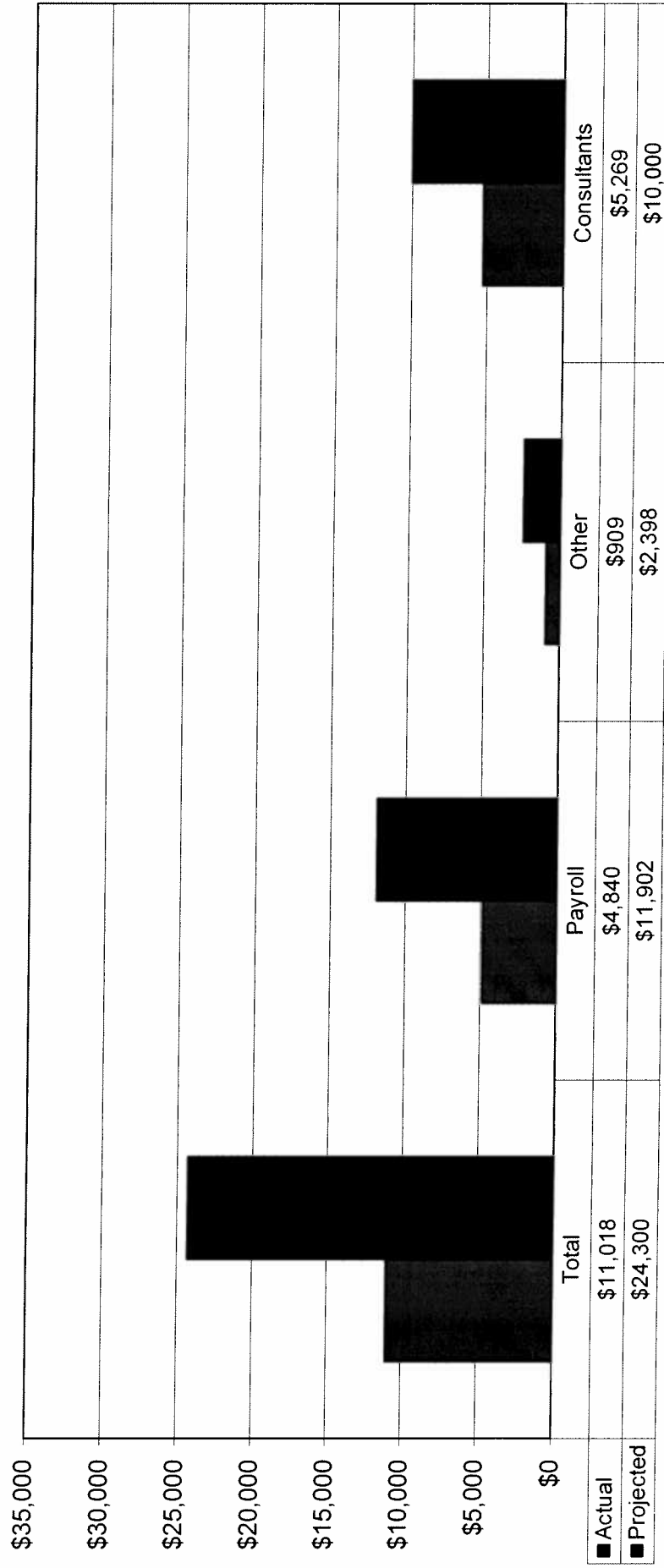
This chart compares expenses for current and prior fiscal year. It groups expenses into three broad categories--payroll costs, consultants and other expenses.

ABAG Financial Indices

**Figure 8-- Composition of Revenues FY 12--FY 13
Year to Date
(\$'000)**

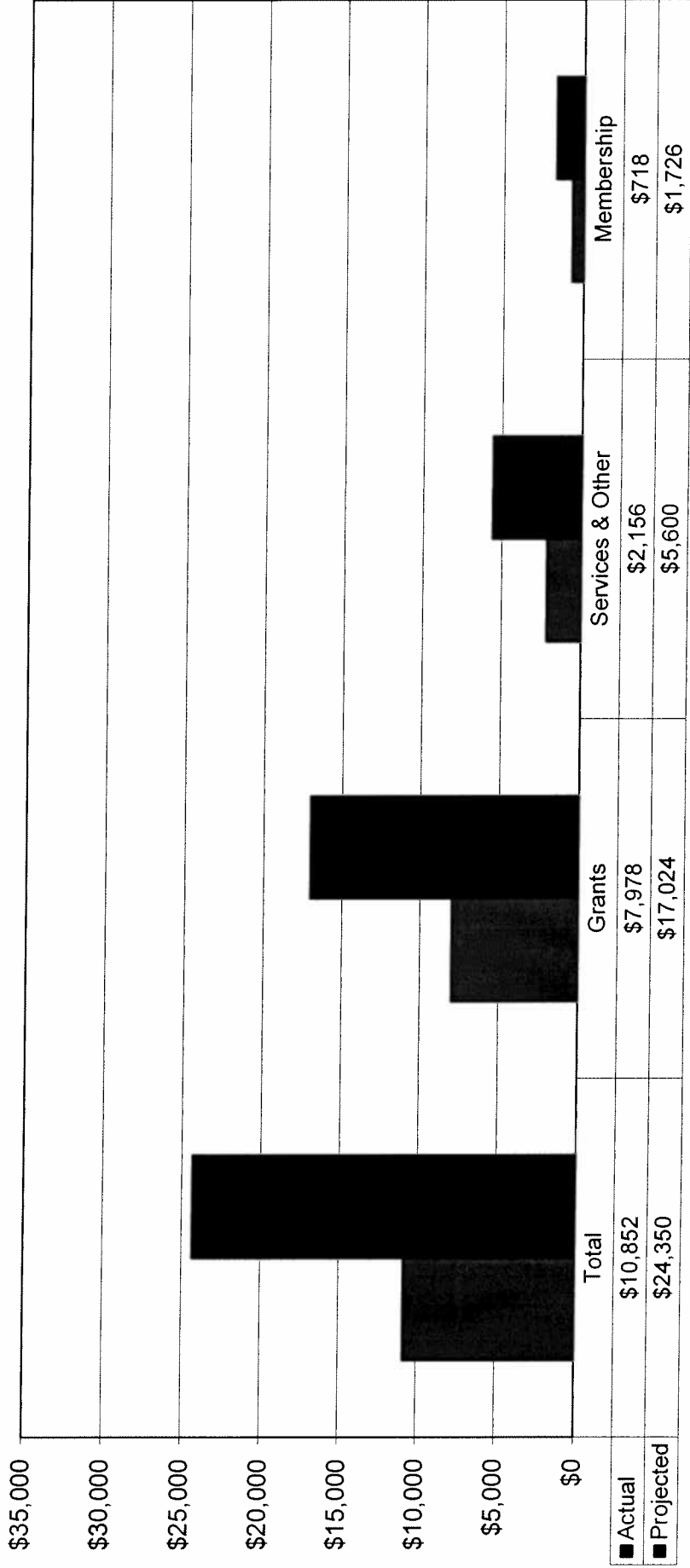


ABAG Financial Indices
Figure 9--Actual vs Projected Expenses--FY 12-13
Year to Date (\$'000)



Presents a comparison of actual and budgeted/projected total expenses as well as component categories: payroll costs, consultants and other expenses.

ABAG Financial Indices
Figure 10--Actual vs Projected Revenues--FY 12-13
Year to Date (\$'000)



Presents a comparison of actual and budgeted/projected total revenues as well as component categories: membership dues, grants, services and other.

**ASSOCIATION OF BAY AREA GOVERNMENTS
FINANCE & PERSONNEL COMMITTEE**

**TABLE OF FINANCIAL REPORT DATA ELEMENTS
(thousands of dollars)**

FOR THE MONTH ENDED OCTOBER 31, 2012

<u>Description</u>	<u>Year-To-Date Actual</u>	<u>Year-End Estimate</u>
ASSETS		
Cash	2,669	2,000
Receivables	7,273	5,500
REVENUES		
Membership Dues	575	1,726
Grants	6,526	17,024
Charges for Services	1,783	5,600
Total Revenues	<u>8,884</u>	<u>24,350</u>
EXPENSES		
Salaries and Related Benefits	4,003	11,902
Consultant Services	4,307	9,000
Other Expenses	682	3,398
Total Expenses	<u>8,992</u>	<u>24,300</u>
Change in Net Assets	(108)	50
Beginning Net Assets	1,772	1,772
Ending Net Assets	<u><u>1,664</u></u>	<u><u>1,822</u></u>
NET ASSET BREAKDOWNS		
Unrestricted	1,054	1,162
Restricted	610	660
Total Net Assets	<u><u>1,664</u></u>	<u><u>1,822</u></u>
INDIRECT OVERHEAD		
Approved Overhead	42.95%	42.95%
Overhead Rate %	42.43%	42.95%

**ASSOCIATION OF BAY AREA GOVERNMENTS
FINANCE & PERSONNEL COMMITTEE**

**TABLE OF FINANCIAL REPORT DATA ELEMENTS
(thousands of dollars)**

FOR THE MONTH ENDED NOVEMBER 30, 2012

<u>Description</u>	<u>Year-To-Date Actual</u>	<u>Year-End Estimate</u>
ASSETS		
Cash	2,062	2,000
Receivables	7,505	5,500
REVENUES		
Membership Dues	718	1,726
Grants	7,978	17,024
Charges for Services	2,156	5,600
Total Revenues	<u>10,852</u>	<u>24,350</u>
EXPENSES		
Salaries and Related Benefits	4,840	11,902
Consultant Services	5,269	9,000
Other Expenses	909	3,398
Total Expenses	<u>11,018</u>	<u>24,300</u>
Change in Net Assets	(166)	50
Beginning Net Assets	1,772	1,772
Ending Net Assets	<u>1,606</u>	<u>1,822</u>
NET ASSET BREAKDOWNS		
Unrestricted	996	1,162
Restricted	610	660
Total Net Assets	<u>1,606</u>	<u>1,822</u>
INDIRECT OVERHEAD		
Approved Overhead	42.95%	42.95%
Overhead Rate %	43.20%	42.95%

**ASSOCIATION OF BAY AREA GOVERNMENTS
FINANCE & PERSONNEL COMMITTEE**

**TABLE OF FINANCIAL REPORT DATA ELEMENTS
(thousands of dollars)**

<u>Index Description</u>	<u>Nov-12</u>	<u>Oct-12</u>	<u>Sep-12</u>	<u>Nov-11</u>	<u>Oct-11</u>
Cash	2,062	2,669	2,342	4,022	3,288
Receivables	7,505	7,273	6,452	5,671	4,451
Payroll Cost-YTD	4,840	4,003	3,042	4,748	3,734
-Month	837	961	1,033	1,014	984
Total Other Expense-YTD	6,178	4,989	2,598	5,675	3,973
-Month	1,189	2,391	802	1,702	1,553
Total Expenses-YTD	11,018	8,992	5,640	10,423	7,707
-Month	2,026	3,352	1,835	2,716	2,537
Total Revenues-YTD	10,852	8,884	5,432	10,620	7,961
-Month	1,968	3,452	1,669	2,659	2,521
Fund Equity-General	996	1,054	944	1,276	1,333
Total Restricted	610	610	610	560	560
Total Fund Equity	1,606	1,664	1,554	1,836	1,893
Approved Overhead	42.95%	42.95%	42.95%	42.95%	42.95%
Overhead Rate %	43.20%	42.43%	43.17%	38.73%	37.56%

F&PC AGENDA ITEM #4-D

Proposed ABAG Membership Dues

Assessments include increase in membership fee from \$668 for FY 12-13 to \$683 for FY 13-14 in accordance with CPI increase of 2.29 percent.

JURISDICTION	Population 1/1/12	Approved FY 12-13 Dues	Proposed FY 13-14 Dues
COUNTY OF ALAMEDA	1,532,137	\$137,662	\$141,736
Alameda	74,640	\$13,514	\$13,919
Albany	18,488	\$3,953	\$4,019
Berkeley	114,821	\$19,455	\$19,991
Dublin	46,785	\$8,915	\$9,126
Emeryville	10,200	\$2,454	\$2,524
Fremont	217,700	\$30,587	\$31,454
Hayward	147,113	\$23,096	\$23,774
Livermore	82,400	\$14,785	\$15,246
Newark	43,041	\$8,213	\$8,450
Oakland	395,341	\$45,123	\$46,358
Piedmont	10,807	\$2,560	\$2,633
Pleasanton	71,269	\$12,939	\$13,343
San Leandro	86,053	\$15,421	\$15,870
Union City	70,646	\$12,807	\$13,236
COUNTY OF CONTRA COSTA	1,065,117	\$99,514	\$102,553
Antioch	103,833	\$18,196	\$18,704
Brentwood	52,575	\$9,828	\$10,146
Clayton	10,996	\$2,598	\$2,667
Concord	123,206	\$20,443	\$20,973
Danville	42,450	\$8,116	\$8,344
El Cerrito	23,774	\$4,840	\$4,973
Hercules	24,272	\$4,929	\$5,063
Lafayette	24,159	\$4,907	\$5,043
Martinez	36,225	\$7,012	\$7,220
Moraga	16,152	\$3,504	\$3,598
Oakley	36,532	\$7,019	\$7,276
Orinda	17,819	\$3,793	\$3,899
Pinole	18,560	\$3,925	\$4,032
Pittsburg	64,706	\$11,784	\$12,220
Pleasant Hill	33,440	\$6,539	\$6,718
Richmond	104,887	\$18,329	\$18,827
San Pablo	29,105	\$5,772	\$5,935

San Ramon	74,378	\$13,352	\$13,874
Walnut Creek	65,233	\$11,947	\$12,311
COUNTY OF MARIN			
Belvedere	2,090	\$1,035	\$1,060
Corte Madera	9,351	\$2,313	\$2,371
Fairfax	7,522	\$1,991	\$2,040
Larkspur	12,058	\$2,788	\$2,859
Mill Valley	14,172	\$3,149	\$3,241
Novato	52,447	\$9,875	\$10,125
Ross	2,443	\$1,098	\$1,124
San Anselmo	12,468	\$2,860	\$2,933
San Rafael	58,305	\$10,849	\$11,126
Sausalito	7,138	\$1,923	\$1,971
Tiburon	9,059	\$2,261	\$2,318
COUNTY OF NAPA			
American Canyon	19,809	\$4,142	\$4,258
Calistoga	5,200	\$1,583	\$1,621
Napa	77,805	\$14,080	\$14,460
St. Helena	5,875	\$1,700	\$1,743
Yountville	2,999	\$1,197	\$1,224
COUNTY AND CITY OF SAN FRANCISCO			
County	812,538	\$79,563	\$81,361
City	812,538	\$79,563	\$81,361
COUNTY OF SAN MATEO			
Atherton	6,888	\$1,888	\$1,926
Belmont	26,123	\$5,261	\$5,397
Brisbane	4,347	\$1,432	\$1,467
Burlingame	29,106	\$5,786	\$5,936
Colma	1,789	\$986	\$1,006
Daly City	102,593	\$18,066	\$18,558
East Palo Alto	28,467	\$5,672	\$5,820
Foster City	30,895	\$6,100	\$6,258
Half Moon Bay	11,478	\$2,682	\$2,754
Hillsborough	11,006	\$2,596	\$2,669
Menlo Park	32,513	\$6,370	\$6,550
Millbrae	22,069	\$4,499	\$4,666
Pacifica	37,658	\$7,289	\$7,479
Portola Valley	4,411	\$1,443	\$1,479

Redwood City	78,244	\$14,121	\$14,535
San Bruno	42,451	\$8,050	\$8,344
San Carlos	28,719	\$5,716	\$5,866
San Mateo	98,298	\$17,506	\$17,964
S. San Francisco	64,307	\$11,840	\$12,152
Woodside	5,386	\$1,609	\$1,655
COUNTY OF SANTA CLARA	1,816,486	\$160,318	\$165,593
Campbell	39,882	\$7,666	\$7,880
Cupertino	59,022	\$10,906	\$11,249
Gilroy	50,158	\$9,382	\$9,733
Los Altos	29,460	\$5,815	\$5,999
Los Altos Hills	8,027	\$2,076	\$2,132
Los Gatos	29,854	\$5,899	\$6,071
Milpitas	66,966	\$12,410	\$12,607
Monte Sereno	3,373	\$1,261	\$1,292
Morgan Hill	39,127	\$7,480	\$7,744
Mountain View	75,275	\$13,621	\$14,027
Palo Alto	65,544	\$11,987	\$12,364
San Jose	971,372	\$91,536	\$94,687
Santa Clara	118,813	\$19,927	\$20,458
Saratoga	30,363	\$5,995	\$6,162
Sunnyvale	142,896	\$22,553	\$23,280
COUNTY OF SOLANO	413,786	\$46,893	\$47,906
Benicia	26,919	\$5,452	\$5,541
Dixon	18,282	\$3,920	\$3,982
Fairfield	106,379	\$18,398	\$19,002
Rio Vista	7,418	\$1,979	\$2,022
Suisun City	27,978	\$5,645	\$5,732
Vacaville	92,092	\$16,678	\$16,903
Vallejo	115,928	\$19,737	\$20,120
COUNTY OF SONOMA	487,011	\$52,849	\$54,049
Cloverdale	8,629	\$2,197	\$2,240
Cotati	7,276	\$1,957	\$1,996
Healdsburg	11,442	\$2,692	\$2,748
Petaluma	58,165	\$10,880	\$11,102
Rohnert Park	40,846	\$7,936	\$8,054
Santa Rosa	168,841	\$25,732	\$26,319
Sebastopol	7,405	\$1,978	\$2,019
Sonoma	10,665	\$2,558	\$2,608

Windsor	27,003	\$5,420	\$5,556
Totals	7,249,563	\$1,718,725	\$1,766,602
DUES PER CAPITA RATES			
First 50,000		0.1764247	0.1804648
Next 50,000		0.1671392	0.1709667
Next 100,000		0.1145213	0.1171438
Remaining Population over 200,000		0.0820220	0.0839003

* Dues are up from the prior year because CA Dept. of Finance population projections reflect an increase of 43,480 (0.6 percent) from the prior year in addition to the CPI increase.

TO: Finance & Personnel Committee

DT: January 2, 2013

FROM: Herbert Pike, Finance Director

Re: Update on Achieving More

Timely FPPC Form 700 Compliance

ABAG is committed to gather the requisite FPPC Form 700's in a timely manner from the Board Members of its various Boards. Staff met in December and a consensus was reached to be more diligent, broaden responsibility for collecting the information and to informally go beyond the minimum noticing requirements noted by the FPPC. ABAG will endeavor to provide 60-day, 30-day and one-week notices prior to the filing date. Similarly, the extended follow-up notifications to those whose filings have not been received will be shortened and telephonic contact will be added.

This will be enhanced with a more complete database of all those required to file, including more frequent follow-up of those beginning or ending their service on various Boards.

An Administrative Policy will be disseminated to the ABAG employees included within the process.

PRESIDENT	Supervisor Mark Luce, County of Napa
VICE PRESIDENT	Councilmember Julie Pierce, City of Clayton
IMMEDIATE PAST PRESIDENT	Mayor Mark Green, City of Union City
SECRETARY-TREASURER	Ezra Rapport
LEGAL COUNSEL	Kenneth K. Moy

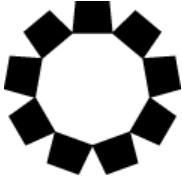
County of	Representative	Alternate
ALAMEDA	** Supervisor Richard Valle	Supervisor Keith Carson
ALAMEDA	** Supervisor Scott Haggerty	Supervisor Nathan Miley
CONTRA COSTA	* Supervisor Karen Mitchoff	Supervisor Candace Andersen
CONTRA COSTA	* Supervisor John Gioia	Supervisor Mary Piepho
MARIN	** To Be Appointed	Supervisor Judy Arnold
NAPA	** Supervisor Mark Luce	Supervisor Bill Dodd
SAN FRANCISCO	** To Be Appointed	Supervisor Eric Mar
SAN FRANCISCO	** Supervisor Carmen Chu	To Be Appointed
SAN MATEO	* To Be Appointed	To Be Appointed
SAN MATEO	* Supervisor Dave Pine	To Be Appointed
SANTA CLARA	** Supervisor Mike Wasserman	Supervisor George Shirakawa
SANTA CLARA	** Supervisor David Cortese	Supervisor Ken Yeager
SOLANO	* Supervisor Linda Seifert	Supervisor Erin Hannigan
SONOMA	* Supervisor David Rabbitt	Supervisor Mike McGuire

Cities in the County of	Representative	Alternate
ALAMEDA	* Mayor Bill Harrison (Fremont)	Mayor Michael Sweeney (Hayward)
ALAMEDA	* Mayor Tim Sbranti (Dublin)	Mayor Marie Gilmore (Alameda)
CONTRA COSTA	** Mayor Julie Pierce (Clayton)	Councilmember Brandt Andersson (Lafayette)
CONTRA COSTA	** Councilmember Dave Hudson (San Ramon)	Councilmember Ben Johnson (Pittsburg)
MARIN	* Mayor Pat Eklund (Novato)	Vice Mayor Daniel Hillmer (Larkspur)
NAPA	* Mayor Leon Garcia (American Canyon)	Vice Mayor Ann Nevero (St. Helena)
CITY OF SAN FRANCISCO	* Mayor Edwin Lee	Jeff Buckley, Office of the Mayor
CITY OF SAN FRANCISCO	* Jason Elliott, Dir, Legislative/Government Affairs	Kelly Pretzer Office of the Mayor
CITY OF SAN FRANCISCO	* Joaquin Torres, Office of the Mayor	Gillian Gillett, Office of the Mayor
SAN MATEO	** Mayor Pro Tem Sepi Richardson (Brisbane)	Councilmember Pedro Gonzalez (S San Francisco)
SAN MATEO	** Vice Mayor Richard Garbarino (S San Francisco)	Councilmember Nadia Holober (Millbrae)
SANTA CLARA	* Councilmember Joe Pirzynski (Los Gatos)	Councilmember Gilbert Wong (Cupertino)
SANTA CLARA	* Councilmember Ronit Bryant (Mountain View)	Vice Mayor Greg Scharff (Palo Alto)
SOLANO	** Mayor Harry Price (Fairfield)	Mayor Jack Batchelor (Dixon)
SONOMA	** To Be Appointed	Vice Mayor Tiffany Renee (Petaluma)
CITY OF OAKLAND	* Councilmember Rebecca Kaplan	To Be Appointed
CITY OF OAKLAND	* Councilmember Jane Brunner	To Be Appointed
CITY OF OAKLAND	* Councilmember Desley Brooks	To Be Appointed
CITY OF SAN JOSE	* Councilmember Sam Liccardo	Councilmember Rose Herrera
CITY OF SAN JOSE	* Councilmember Kansan Chu	Councilmember Nancy Pyle
CITY OF SAN JOSE	* Councilmember Ash Kalra	Mayor Chuck Reed

Advisory Members	Representative	Alternate
RWQCB	Terry Young	To Be Appointed

* Term of Appointment: July 1, 2012 - June 30, 2014

** Term of Appointment: July 1, 2011 - June 30, 2013



ABAG

Meeting Schedule 2013

Executive Board Meetings

January 17

March 21

May 16

June 20 – Special Meeting with MTC

July 18

September 19

November 21

START TIME

7:00 PM

LOCATION

Joseph P. Bort MetroCenter Auditorium

101 8th Street

Oakland, California 94607

Across from the Lake Merritt BART Station

Spring General Assembly

April 18

Location TBD

Fall General Assembly

October TBD

Location TBD