Senate Bill 9 – Ministerial Urban Lot Splits & Two-Unit Developments  
Frequently Asked Questions (FAQ)

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**DISCLAIMER:** *This document is intended to provide general information and does not constitute legal advice. Additional facts, facts specific to a particular situation, or future developments may affect the subjects discussed in this FAQ. Seek the advice of your attorney before acting or relying upon the following information.*

## BASICS

1. **Q: When does SB 9 go into effect?**
2. A: January 1, 2022.
3. **Q: What is the definition of an urbanized area or urban cluster?**
4. A: As defined by the U.S. Census Bureau, an urbanized area is an area with 50,000 or more persons, and an urban cluster is an area with at least 2,500 people, but less than 50,000 people. Maps of urbanized areas and urban clusters can be found on the official U.S. Census Bureau website.
5. **Q: Can you use SB 9 in zones that allow single-family development but are zoned primarily for multi-family or mixed-use development?**
6. A: No. The language of the statute is clear that it applies only to parcels in single-family residential zones. Since the intent of the legislation was to upzone or densify areas where only single-family development is currently permitted, it would not serve the purposes of the legislation for it to apply in areas where multi-family or denser uses are already permitted. SB 9 also does not apply to a parcel that is currently developed with a single-family home, if that parcel is located in anything other than a single-family residential zone.
7. The California Department of Housing and Community Development (HCD) recently published “SB 9 Fact Sheet: On the Implementation of Senate Bill 9 (Chapter 162, Statutes of 2021)” (“HCD SB 9 Fact Sheet”). The HCD SB 9 Fact Sheet states: “The parcel that will contain the proposed housing development or that will be subject to the lot split must be located in a single-family residential zone. Parcels located in multi-family residential, commercial, agricultural, mixed-use zones, etc., are not subject to SB 9 mandates even if they allow single-family residential uses as a permitted use.”
8. **Q: Does SB 9 apply to homeowners’ associations (HOAs)?**
9. A: SB 9 overrides local zoning only. It does not address rules or restrictions implemented and adopted by homeowners’ associations or included in CC&Rs (covenants, conditions, and restrictions).
10. **Q: Is a lot eligible for an SB 9 lot split if it was split before SB 9?**
11. A: Yes. The language of SB 9 only prohibits an applicant from using SB 9 to subdivide a lot if it was previously split *using the authority contained in SB 9.* Even after using SB 9, the lot could be further split using ordinary procedures under the Subdivision Map Act and local subdivision ordinance subject to minimum lot size and other requirements that apply to the parcel.
12. **Q: Is the restriction on the demolition of 25% of the exterior walls of the building only applicable to deed-restricted affordable units?**
13. A: No. This restriction applies to all units unless (1) the city adopts an ordinance allowing for demolition of more than 25% of the exterior walls of an existing structure, or (2) a tenant has not resided on the property in the last three (3) years.
14. **Q: How do you verify that existing housing has not been rented in the last 3 years?**
15. A: SB 9 does not provide an explicit mechanism for determining whether existing housing has been rented in the last three years. Given that, this is an issue that local agencies will want to address in an implementing ordinance or in their application procedures. Some approaches might include:

* In jurisdictions with existing records of rental properties, which may include business licenses, rent control registries, or inspection records, using data from the local records to be cross-referenced upon submission of an SB 9 application;
* Requiring applicants to sign a declaration under penalty of perjury; and/or
* Providing that it is a violation of the Municipal Code or allowing a private cause of action if inaccurate information is submitted.

1. **Q: When the provisions of SB 9 are unclear, can we seek clarification from the Department of Housing and Community Development?**
2. A: Unlike other recent state laws, such as SB 35 or SB 330, SB 9 does not include any provisions requiring HCD to issue guidelines for the implementation of SB 9. However, as mentioned above, HCD did recently release an [SB 9 Fact Sheet](https://www.hcd.ca.gov/docs/planning-and-community-development/SB9FactSheet.pdf). The HCD SB 9 Fact Sheet states, in relevant part, that it is “for informational purposes only and is not intended to implement or interpret SB 9.”

## INTERSECTION WITH OTHER LAWS

1. **Q: How does the state Density Bonus Law apply to the 4-unit scenario?**
2. A: State Density Bonus Law would not be applicable to SB 9 projects. Government Code Section 65915(i) defines “housing development project,” for the purposes of state density bonus, as “a development project for five or more residential units.” SB 9 covers up to four units total on two contiguous lots. Additionally, the urban lot split section states specifically that local agencies are not required to allow more than the maximum of two units on each lot notwithstanding any provision of density bonus law.
3. **Q: How do SB 9 urban lot splits relate to the Subdivision Map Act and the fact that the Subdivision Map Act requires general plan conformance?**
4. A: The language in SB 9 overrides any conflicting provisions of the Subdivision Map Act. Specifically, Government Code Section 66411.7(b)(2) provides that “[a] local agency shall approve an urban lot split only if it conforms to all applicable objective requirements of the Subdivision Map Act…, except as otherwise expressly provided in this section.” General Plan and specific plan conformance is not required if it would preclude lot splits mandated by SB 9.
5. **Q: Do minimum frontage requirements apply to restrict lot subdivision?**
6. A: Minimum frontage requirements continue to apply unless the requirements would physically preclude the lot split or the construction of two units of at least 800 square feet each. However, SB 9 does allow local agencies to require the resulting parcels to have access to, provide access to, or adjoin the public right-of-way.
7. **Q: How does the Permit Streamlining Act apply if these are ministerial actions?**
8. A: SB 8, also effective January 1, 2022, extends the requirements of the Permit Streamlining Act to housing projects of one unit or more that require no discretionary approvals. As a consequence, SB 9 projects are subject to the Permit Streamlining Act’s requirements for completeness letters (within 30 days of submittal) and approval deadlines (within 60 days of determining that the project is exempt from CEQA).

## QUANTITY/ACCESSORY DWELLING UNITS

1. **Q: SB 9 states that “[a] housing development contains two residential units if the development proposes no more than two new units or if it proposes to add one new unit to an existing unit.” Why are some people saying that you can add two new units to a parcel with an existing single-family home?**
2. A: As the question states, Government Code Section 65852.21(i) provides that a development contains two residential units if “the development proposes no more thantwo ***new units*** or if it proposes to add one new unit to one existing unit.” This could be interpreted to mean that the statute applies to a two-unit proposal even if those units are proposed for a lot already containing a unit. While the urban lot split section (Government Code Section 66411.7) clearly allows local agencies to limit total development to two units per lot, including existing units, ADUs, and JADUs, the same language is not present in the two-unit development section. The Legislature’s intent regarding a two-unit development on a single lot is not clear. It may be possible for an applicant who only uses the two-unit development provisions, but not the urban lot split provisions, to have more than two units on the lot.
3. **Q: Does SB 9 prohibit ADUs with an urban lot split, or can jurisdictions disallow ADUs with an urban lot split?**
4. A: SB 9 does not prohibit accessory dwelling units or junior accessory dwelling units on lots created by an urban lot split. Under SB 9 a local agency “shall not be required to approve” more than two units (including ADUs and JADUs) on a lot created via an SB 9 lot split. Agencies may also prohibit ADUs and JADUs on parcels created by urban lot splits that use the two-unit provision. Given this language, local agencies could choose to limit development on lots created by an urban lot split to two primary units each via adoption of an SB 9 implementing ordinance.
5. **Q: Are the two new SB 9 units entitled to an ADU or JADU?**
6. A: If the two new SB 9 units are not located on a lot created via the urban lot split provision, then ADUs and JADUs are allowed as under existing law. ADU law provides additional guidance about the number of ADUs and JADUs that may be constructed on a parcel depending on the type of existing units (e.g. single-family or multi-family) on the parcel. If the applicant used both the SB 9 lot split provisions and the SB 9 two-unit development provisions, then a local ordinance can limit total development to two units per lot, including ADUs and JADUs, or could choose to allow only two primary units on each lot.
7. **Q: If there is an existing four-unit building on a parcel in a single-family residential zone, can an applicant still add a duplex?**
8. A: The existing four-unit building would already be a non-conforming use in a single-family zone. Depending on the jurisdiction’s non-conforming use policies, the non-conforming structure may need to be removed if the applicant wishes to add a duplex. However, the urban lot split provision (section 66411.7(i)) prohibits requiring correction of nonconforming zoning conditions for urban lot splits. Nonetheless, the agency can require that no more than two units be located on each lot.
9. **Q: Does SB 9 allow an applicant to use the duplex entitlements to build a single unit “monster home” and get around non-objective single-family design guidelines?**
10. A: Probably, yes. Section 65852.21(a) states, “A proposed housing development containing ***no more than two residential units*** within a single-family residential zone shall be considered ministerially, without discretionary review or a hearing….” Later in the section, in paragraph (i), it also states “[a] housing development contains two residential units if the development ***proposes no more than two new units*** or if it proposes to add one new unit to one existing unit.” Although it is not clear whether the legislature intended to include single-family home development, the “no more than two units” language in SB 9 could be interpreted to cover development projects proposing to construct one single-family home. The HCD SB 9 Fact Sheet states: “SB 9 requires ministerial approval of either one or two residential units.”
11. **Q: Are the new units created via the authority in SB 9 condominiums? Does SB 9 facilitate ministerial condominium conversions? Does SB 9 allow for condominium conversion of existing duplexes?**
12. A: SB 9 does not amend laws regarding condominiums. SB 9 does not allow denial of attached units so long as their design and construction allow them to be “separately conveyed,” i.e., sold separately as condominiums may be sold. New units created via the authority in SB 9 may be approved as condominiums if the applicant asks for that approval, but the application would need to meet state and local law concerning condominiums. A jurisdiction’s regular condominium conversion process would also continue to apply.

## OBJECTIVE STANDARDS

1. **Q: Can the applicant seek variances from zoning requirements?**
2. A: SB 9 provides that a local agency may apply its objective zoning standards so long as they do not physically preclude the construction of two units of at least 800 square feet each. In that situation, the applicant does not need to apply for a variance.
3. However, if the applicant desires to construct a larger unit which does not meet the agency’s zoning standards, it could be denied under SB 9, or the applicant could apply for a variance.
4. **Q: My understanding is that SB 330 requires only objective design standards for design standards adopted after Jan 1, 2020, is this the same for SB 9?**
5. A: SB 330 would apply to an SB 9 implementing ordinance, so any design standards adopted must be objective.
6. **Q: For purposes of a duplex, can jurisdictions adopt an objective standard that says the units have to be within, for example, 10 feet of each other?**
7. A: Yes, a city could adopt this as an objective standard. However, if the standard or requirement would physically preclude the construction of two units or the construction of a unit that is at least 800 square feet, then it cannot be applied to the specific project. Also note that section 66411.7(k) provides that an urban lot split “shall not be rejected solely because it proposes adjacent or connected structures provided that the structures meet building code safety standards and are sufficient to allow separate conveyance.”
8. **Q: Is there a street frontage or lot width requirement for ministerial lot splits?**
9. A: SB 9 does not contain street frontage or lot width requirements. A local agency may apply an objective frontage or lot width requirement. It must, however, allow lot splits that create lots that are at least 1,200 square feet each where both lots are of approximately equal size. This likely means that the local agency may not be able to apply its minimum lot dimensions or frontage requirements to some urban lot splits.
10. **Q: Is the 4-foot setback provision similar to that for ADUs?**
11. A: Yes. A local agency can always impose 4-foot rear and side setbacks, unless the structure is in the same location and with the same dimensions as an existing structure.
12. The HCD SB 9 Fact Sheet states: “SB 9 establishes an across-the-board maximum four-foot side and rear setbacks. The local agency may choose to apply a lesser setback (e.g., 0-4 feet), but it cannot apply a setback greater than four feet. The local agency cannot apply existing side and rear setbacks applicable in the single-family residential zone(s). Additionally, the four-foot side and rear setback standards are not subject to modification.”
13. **Q:Does the right of way dedication provision require cities to allow for flag lots, provided they meet the 60-40 split?**
14. A: The agency may require the parcel to have access to, provide access to, or adjoin a public right of way but must allow the lot split. Where a parcel does not front on a public right of way, the options are to allow a flag lot or to provide access to the public right-of-way via an easement through the other lot.
15. **Q: Could a jurisdiction define “sufficient to allow separate conveyance” to require separate HVAC systems and separate water connection to meet Title 24 requirements?**
16. A: Yes. Title 24 is a state law requirement. Therefore, compliance can be mandated assuming that Title 24 requires separate HVAC systems and water connections for units that are separately conveyed.
17. **Q: If a jurisdiction doesn’t require “dedications” but a property owner wants to put in some improvements in the right-of-way, could the jurisdiction require that those meet the jurisdiction’s standards for right of way improvements?**
18. A: If an applicant includes improvements to the public right of way in its SB 9 application, the jurisdiction can require that those improvements meet objective agency standards.
19. **Q: Does the requirement for one parking space/unit supersede other local minimum parking requirements? For example, if local parking standards require two covered spaces per residential unit and additional parking spaces tied to additional bedrooms.**
20. A: Yes, SB 9 supersedes local standards. A local agency “may require” off-street parking of up to one space per unit, and “shall not impose” parking requirements where the parcel is located within one-half mile walking distance of either a high-quality transit corridor or major transit stop, or where there is a car share vehicle located within one block of the parcel.
21. **Q: May a jurisdiction apply its usual design standards for parking spaces to units created via SB 9?**
22. A: Yes, a jurisdiction may apply its usual standards where parking spaces are required, such as standards for length, width, covered or uncovered. However, if the standards preclude the development of two 800 sq. ft. units, they may need to be waived or modified.
23. **Q: Can a jurisdiction impose affordability requirements on units created via SB 9?**
24. A: There is nothing in the statute that would prohibit the imposition of objective affordability requirements. However, agencies should examine the economic feasibility of any affordability requirements to ensure that urban lot splits and two-unit developments remain economically feasible.
25. **Q: Can a local jurisdiction impose conditions of approval on an SB 9 project?**

A: A jurisdiction may impose standard objective conditions of approval on an SB 9 project.

## FIRE/INFRASTRUCTURE CHALLENGES

1. **Q: Is it true that SB 9 cannot be used in high fire hazard severity zones?**
2. A: No. SB 9 provides that any proposed two-unit development or urban lot split must comply with the requirements of Government Code Section 65913.4(a)(6)(D), which excludes projects in high or very high fire hazard severity zones, *unless* either: (1) the site was excluded from the zone by the jurisdiction; or (2) the site has adopted fire hazard mitigation measures “pursuant to existing building standards or state fire mitigation measures applicable to the development.” “Fire hazard mitigation measures” and “state fire mitigation measures” are not defined. A local ordinance could specify which “building standards” apply or reference the appropriate “state fire mitigation measures.”
3. An agency may also reject SB 9 proposals on a case-by-case basis where the local building official makes a written finding that the project would have a specific, adverse impact on public health and safety or the physical environment, based on inconsistency with an objective standard, and there is no feasible method to satisfactorily mitigate or avoid the impact.
4. **Q: X County has some areas that are identified as “urban” or “urban clusters” and could be a qualifying parcel under SB 9. However, those areas do not have access to water or sewer connections and may have to expand an existing leach field and utilize other water sources. If the applicant cannot demonstrate that they can build what’s allowed under SB 9 with a wastewater treatment system and water source that meets Environmental Health Codes, would the County be able to deny them their application?**
5. A: Yes. In this scenario, the county could deny the application because it would not meet objective standards. The building official could also likely make a finding that the project would have a specific, adverse impact on public health and safety or the physical environment and that there is no feasible method to satisfactorily mitigate or avoid specific impact.
6. **Q: If a jurisdiction has substandard existing sewer infrastructure, can those areas of the jurisdiction be excluded from SB 9 applicability?**
7. A: The local agency likely could not outright exclude those areas from SB 9 applicability. However, if projects are proposed in these areas, the local building official could deny the application if it would have a specific, adverse impact on public health and safety or the physical environment, by violating an existing objective standard, with no feasible method to satisfactorily mitigate or avoid the impact.
8. **Q: Can a jurisdiction prohibit someone from creating a new unit in an existing structure that would be below the Base Flood Elevation?**
9. A: To qualify for ministerial approval, SB 9 provides that an applicant must comply with all the requirements in Government Code Section 65913.4(a)(6)(B)-(K). Subparagraphs (G) and (H) exclude development within a flood plain or floodway, respectively, as those sites are determined by maps promulgated by FEMA. However, subparagraphs (G) and (H) also allow development in a flood plain where FEMA has issued a flood plain development permit or meet FEMA criteria and allow development in a floodway where a no-rise certification has been issued or the project otherwise meets FEMA criteria. If these mitigation requirements are met, then it may be possible for the new unit to be built below Base Flood Elevation. Agencies should refer to the text of the statute.

## URBAN LOT SPLITS

1. **Q: Would the “sufficient to allow separate conveyance” provision allow someone to build an attached duplex but then sell them as two separate lots with their own yard?**
2. A: “Sufficient to allow separate conveyance” is not defined in the statute. However, “separate conveyance” means that the units can be sold separately. This phrase would seem to require that each unit be built to condominium standards so that they can be sold separately if the local agency approves a condominium application. Agencies may wish to define this in their local ordinances.
3. **Q: Should agencies record a deed restriction stating that the lot has been split using SB 9 and cannot be split further?**
4. A: This is not specifically addressed by SB 9. Two possibilities are a recorded deed restriction and a notation on the approved parcel map. It would be good practice for local agencies to include such a requirement in their implementing ordinances.

## REPORTING REQUIREMENTS/HOUSING ELEMENT

1. **Q: How do jurisdictions account for SB 9 in Housing Elements?**
2. A: SB 9 requires jurisdictions to report (1) the number of units constructed pursuant to SB 9 and (2) the number of applications for parcel maps for urban lot splits under SB 9 in their annual housing element report. SB 9 itself does not include any reference to housing elements.
3. The HCD SB 9 Fact Sheet states: “To utilize projections based on SB 9 toward a jurisdiction’s regional housing need allocation, the housing element must: 1) include a site-specific inventory of sites where SB 9 projections are being applied, 2) include a nonvacant sites analysis demonstrating the likelihood of redevelopment and that the existing use will not constitute an impediment for additional residential use, 3) identify any governmental constraints to the use of SB 9 in the creation of units (including land use controls, fees, and other exactions, as well as locally adopted ordinances that impact the cost and supply of residential development), and 4) include programs and policies that establishing zoning an development standards early in the planning period and implement incentives to encourage and facilitate development. The element should support this analysis with local information such as local developer or owner interest to utilize zoning and incentives established through SB 9.”
4. **Q: What can be included in a sites inventory?**
5. A: There is nothing in SB 9 that prohibits a jurisdiction from using SB 9-eligible parcels in their sites inventory, but there would be limited history to project how many units might be built and what income levels might be served.
6. **Q: Could cities use the Terner Center’s findings to project above moderate- and moderate-income housing in their Housing Elements?**
7. A: This may be a reasonable approach. It is not known if HCD will accept it, however.