

**STATE OF CALIFORNIA  
MARKS-ROOS YEARLY FISCAL STATUS REPORT  
FOR AUTHORITY ISSUE**

California Debt and Investment Advisory Commission  
915 Capitol Mall, Room 400, Sacramento, CA 95814  
P.O. Box 942809, Sacramento, CA 94209-0001  
(916) 653-3269 FAX (916) 654-7440

Internet Submission - <b>CURRENT</b>  CDIAC # 2001-1382  Fiscal Year _____
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**I. GENERAL INFORMATION**

A. Issuer	Association of Bay Area Governments
B. Name/Title/Series of Bond Issue	2001 Lease Revenue Bonds
Senior Issue: <input checked="" type="radio"/> Yes <input type="radio"/> No	Subordinate Issue: <input type="radio"/> Yes <input checked="" type="radio"/> No
C. Project Name:	Antioch, Cypress, Half Moon Bay & Marysville Series A
D. Date of Bond Issuance	07-20-2001
E. Original Principal Amount of Bonds	\$ 15,110,000.00
F. Reserve Minimum Balance	\$ .00
G. Total Issuance Costs	\$ .00 <i>(Report Issuance Costs only at initial filing)</i>

**II. FUND BALANCE FISCAL STATUS**

Balances Reported as of:	06-30-2020
A. Principal Amount of Bonds Outstanding	\$ .00
B. Bond Reserve Fund	\$ .00
Bond Reserve Cash \$0.00	Bond Reserve Surety Bond \$0.00
C. Capitalized Interest Fund	\$ .00

**III. AUTHORITY FINANCIAL INFORMATION**

A. Fees Paid for Professional Services (Annual Totals)

1. Type of Service	2. Amount of Fees
	\$ .00
	\$ .00
	\$ .00
	\$ .00
	\$ .00

**Total Professional Fees:                                    \$0.00**

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(Continued)

B. Local Obligor 1. Issuer/Borrower	2. Bond Purchase (BP), Loan (L), Other (O)	3. Amount of BP, L or CL (from Authority Issue)	4. Administration Fee (Charged to LOB)	5. Provide CDIAC#
ANTIOCH	BP	\$6,300,000	\$0	2016-0604
CYPRESS	BP	\$5,505,000	\$0	2016-0606
HALF MOON BAY	BP	\$580,000	\$0	2016-0607
MARYSVILLE	BP	\$2,725,000	\$0	2016-0603
<b>Total:</b>		\$15,110,000	\$0	

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C. Investment Contracts

1. Terms of Investment Contracts

a. Final Maturity of the Investment Contract (MM/DD/YYYY) \_\_\_\_\_

b. Other (see Guidelines for explanation)

2. Commission/Fee for Contract                      Total                      \$ .00

3. Interest Earnings on Contract                      Current                      \$ .00

D. Does this Agency participate in the County's Teeter Plan?                       Yes                       No

**IV. ISSUE RETIRED**

This issue is retired and no longer subject to the Yearly Fiscal Status filing requirements. (Indicate reason for retirement.)

Reason for Retirement                       Refunded                       Matured                       Other

Final Maturity Date/Issue Date                      ~~10-21-2019~~ 3/18/2015 & 9/26/2019

Refunding Bond Title/Other                      NA Partially Refunded by CDIAC# 2014-2003 & 2019-1012

**V. NAME OF PARTY COMPLETING THIS FORM**

Name                      Nick Mar

Title                      Portfolio Administrator

Firm/Agency                      Association of Bay Area Governments

Address                      375 Beale Street, Suite 800

City/State/Zip                      San Francisco, CA 94105

Phone No.                      (415) 778-5234

Date of Report                      10-15-2020

Email                      nmar@bayareametro.gov

**VI. Comments**

Section 6599.1 of the California Government Code requires that all issuers selling Mark-Roos bonds, which is part of the Mark-Roos Local Bond Pooling Act of 1985, after January 1, 1996 are required to report specific information to the Commission by October 30th of the current year and each year thereafter.