

ATTACHMENT C

Attached are a set of brief descriptions of the important ABAG programs that will be the subject of our ongoing merger discussions with MTC.

ABAG Publicly OWned Energy Resources (POWER)

Mission: POWER is a joint powers agency (JPA) formed by ABAG in 1997 to conduct pooled purchasing of electricity and natural gas on behalf of local governments and special districts who voluntarily join the program. Pooled purchasing enables local governments to access more competitive, less volatile pricing by aggregating local demand. The Pool is currently purchasing natural gas for 38 local governments and special districts in the PG&E service territory.

Governance Structure: Every local government participating in the pool has a representative on the ABAG POWER Board which meets annually. An Executive Committee made up of Board members oversees program operations, and meets Bi-monthly. The current members of the Executive Committee are:

| | | |
|-------------------------|-----------------------------------|----------------------------|
| • Christopher Schroeder | Purchasing Officer | City of Milpitas |
| • Angela Walton | Public Works Administrator | City of Richmond |
| • Richard Sealana | Suprtdnt of Public Works | City of Richmond |
| • Julie Bueren | Dir of Public Works | Contra Costa County |
| • Dave Brees | Special Projects Manager | City of Los Altos |
| • Tonya Gilmore | Sr Management Analyst | City of Orinda |

Budget and Number of Staff: 2 FTE (Manager: Jerry Lahr)

| <u>Program</u> | <u>FY 15/16 Budget</u> |
|----------------|------------------------|
| POWER | \$ 7,298,792 |

Gas Purchasing Pool Participants:

- City of Alameda
- City of Albany
- Town of Atherton
- City of Benicia
- City of Cupertino
- City of Fremont
- City of Gonzales
- City of Half Moon Bay
- City of Hercules
- City of Los Altos
- City of Mill Valley
- City of Millbrae
- City of Milpitas
- City of Monte Sereno
- Town of Moraga
- City of Oakland
- City of Orinda
- City of Pacifica
- City of Petaluma
- City of Pleasanton
- City of Richmond
- City of Salinas
- City of San Carlos
- City of San Rafael
- City of Santa Rosa
- City of Saratoga
- City of Union City
- City of Vallejo
- City of Watsonville
- City of Winters
- County of Contra Costa
- County of Napa
- County of San Mateo
- County of Santa Clara
- Golden Gate Bridge, Highway & Trans Dist
- RAFC
- Vallejo Sanitation & Flood Control Dist
- Housing Authority of City of Alameda

For a list of the full Board of Directors representing all of the jurisdictions participating in ABAG POWER go to: http://abag.ca.gov/overview/ABAG_Roster.pdf

Bay Area Regional Energy Network (BayREN)

Bay Area communities working together for a sustainable energy future

Mission: Led by ABAG, BayREN implements effective energy saving programs on a regional level and draws on the expertise, experience, and track record of local governments to develop and administer successful programs. BayREN is funded by CA utility ratepayers under the auspices of the California Public Utilities Commission. One of only two Regional Energy Networks in the state, BayREN has been implementing programs since 2013. BayREN programs include:

Single Family Energy Retrofit

BayREN is the exclusive administrator of the state's Energy Upgrade California® Home Upgrade Program assessment incentive (rebate) for single-family homeowners in the Bay Area who install energy-efficient home improvements, including:

- \$1,000–\$3,150 Home Upgrade rebates
- \$1,000–\$6,500 Advanced Home Upgrade rebates and \$300 assessment incentive
- Home Upgrade Advisor for free one-to-one assistance for homeowners/contractors

To date, 2,713 projects have been completed and over \$6.2 million in energy rebates have been paid to Bay Area homeowners. BayREN has also trained over 200 local contractors, many of whom are active participants in the program.

Multifamily Energy Retrofit

BayREN offers free energy technical consulting for multifamily properties with five+ units. If a property owner implements measures resulting in at least 15% energy savings, they're eligible for \$750/unit in rebates. The program is recognized across the state for successfully implementing energy savings in this hard to reach market sector. Over \$12 million in rebates paid to Bay Area multifamily property owners.

Codes and Standards

BayREN provides free training to local building officials related to the Energy Code and compliance. Members work with officials to identify areas for improvement in code compliance relevant to the specific needs of local building departments.

Financing Portfolio Subprogram:

Pay-as-You-Save (PAYS) is an On-Bill-Energy/Water Efficiency program implemented by Partner Water Utilities. BayREN assists with program development.

BayREN's Commercial PACE program trains contractors about PACE and provides assistance to the building owners considering this financing. At the request of ABAG member jurisdictions, BayREN has entered into agreements with active PACE providers in the Bay Area regarding consumer protections and data disclosure.

Governance Structure: The CPUC oversees program design and delivery. The Coordinating Committee has decision making authority, with each county having a representative.

Budget and Number of Staff: An annual budget of at least \$12.5 million. Much of this budget is provided to each BayREN member agency for tasks within their jurisdiction. ABAG has one FTE and 4PTE working on this project.

| <u>Program</u> | <u>FY 15/16 Budget</u> |
|----------------|------------------------|
| POWER | \$ 21,836,261 |

Jurisdictions Served: All nine counties have an active BayREN member.

ABAG Pooled Liability Assurance Network (PLAN)
Risk Management and Insurance Services

Mission: ABAG PLAN is a nonprofit corporation, formed in 1986, to provide property, liability and crime insurance coverage to 28 jurisdictions in six Bay Area counties under a pooled risk sharing agreement. Its purpose is to establish a stable, cost-effective self-insurance, risk sharing and risk management program for each member. Members have access an array of resources including claims administration, grants, risk management training, and best practice guideline development and compliance. In addition, the SHARP Program (Workers Compensation Shared Risk Pool) provides affordable Workers Compensation coverage to its participating members.

Governance Structure: PLAN is governed by a Board of Directors made up of one representative from each of the 28 member jurisdictions. The governance structure includes an Executive Committee (9 Directors), Finance Committee (6 Directors), Claims Committee (8 Directors) and Actuary Committee (5 Directors). The group also has a Police Chief Steering Committee (8 Police Chiefs). PLAN members continue to be actively involved in the oversight and governance.

Number of Staff and Budget: ABAG's PLAN enterprise group includes a staff of 6 FTE. While ABAG continues as PLAN's pool administrator, the claims adjusting piece of the operation was contracted out to York Risk Services, a third-party claims administrator, in 2014. This change is yielding a cost savings of \$1.425 million in the first two years while increasing the efficiency of claims administration.

| <u>Program</u> | <u>FY 15/16 Budget</u> | <u>Reserves</u> |
|----------------|------------------------|-----------------|
| PLAN | \$ 9,210,927 | \$ 22,135,000 |
| SHARP | \$ 735,544 | \$ 875,000 |

Member Jurisdictions:

Half Moon Bay
Benicia
Suisun City
Saratoga
Tiburon
Atherton
San Bruno
Colma
Pacifica
Ross

Dublin
Newark
Milpitas
Foster City
San Carlos
East Palo Alto
Millbrae
Los Alto Hills
Cupertino

Campbell
Woodside
Los Gatos
American Canyon
South San Francisco
Portola Valley
Morgan Hill
Hillsborough
Burlingame



Committee Roles and Responsibilities

As a member governed organization, each of you play an important role in managing the affairs of the organization. The following is a brief description of each committee's role within the ABAG PLAN Corporation. For more details, please refer to referenced ABAG PLAN Bylaws, specifically, Article VIII – Committees.

Executive Committee - A standing committee of nine members: the Chair and Vice-Chair of the Board, the Chair of each standing committee and three at large members. The Executive Committee may exercise all powers of the Board necessary to further the timely and orderly conduct of business subject to restrictions contained in the Bylaws.

Claim Committee - The Claim Committee is charged with the duty and responsibility of reviewing and authorizing all payment of Settlements which exceed a Member Entity's deductible and is above the authority level of the PLAN Risk Management Officer. The Claim Committee, upon request by ABAG or a Member Entity, may hear and make recommendation(s) on the initial appeal on all staff determinations of coverage for, and settlement of, a third party claim against a Member. The Claim Committee may also establish a Defense Counsel list.

Actuary Committee - The Actuary Committee is charged with the duty and responsibility of conducting an annual review of PLAN Actuarial Report and all supplemental reports. The Committee provides recommendations to the Board with respect to Program Funding (Liability). The Committee evaluates excess insurance, reinsurance and other third party risk transfer mechanisms for compatibility with PLAN Program goals. The Committee recommends yearly Program Funding based on the Actuarial Report and PLAN Funding Policy.

Risk Management Committee - The Risk Management Committee is charged with the duty and responsibility of reviewing and making recommendations to the Board for risk management programs, including but not limited to, voluntary and mandatory program changes in Member activities, and restrictions on coverage. The Committee makes recommendations to the Board on applications for program admission, expulsion, withdrawal notices and proposals for cancellation, including but not limited to, the terms/conditions under which such admissions, withdrawals, expulsions and cancellations are implemented.

Finance Committee – The Finance Committee is charged with the duty and responsibility of reviewing and making recommendations to the Board on the results of the PLAN's annual financial audit. This also includes reviewing and making recommendations to the Board regarding the PLAN's investments and Investment Policy. The Finance Committee also reviews the PLAN Annual Administrative Fund Budget and makes recommendations to the Board.

A Link to ABAG PLAN's website is provided below, which contains the current 'Committee Member Listing', and 'Committee Meeting Schedule'. It also contains the most recent Committee Meeting Agenda's, Minutes, and Reports.

http://plan.abag.ca.gov/members/agenda_minutes.html

San Francisco Estuary Partnership

Under the National Estuary Program (NEP) of USEPA, the San Francisco Bay-Delta Estuary was designated as an estuary of national significance under the Clean Water Act (Section 320) in 1993. The San Francisco Estuary Partnership (SFEP) is one of 28 programs established by USEPA and Congress and works as a non-regulatory leader to improve the waters, habitats and living resources of the San Francisco Bay-Delta Estuary and to protect these resources from the impacts of climate change.

Budget and Number of Staff: 13 FTE (Executive Director: Caitlin Sweeney)

| <u>Program</u> | <u>FY 15/16 Budget</u> | <u>FY 16-17 Budget</u> |
|----------------|------------------------|------------------------|
| SFEP | \$ 22,546,000 | \$ 24,500,000 |

Partnerships:

ABAG: The Association of Bay Area Governments serves as host entity for SFEP. Since 1993, ABAG has been SFEP's fiscal and administrative agent, and SFEP staff work closely with other ABAG staff on issues of mutual concern (e.g. climate resiliency, water supply, and stormwater management). In addition, SFEP benefits from a close relationship with the ABAG as a way to engage local municipalities in the work of SFEP.

San Francisco Regional Water Quality Control Board: The National Estuary Program requires individual programs to provide both matching funds and leverage opportunities. The SF Bay Regional Water Quality Control Board provides SFEP with office space and equipment. This results in lower billing rates for SFEP staff as overhead is not included, enabling a larger percentage of grant funds to go to projects that benefit the Estuary.

Municipalities: SFEP staff includes several contract positions that are funded by local municipalities or districts to provide permitting assistance to the SF Regional Water Quality Control Board. These municipalities currently include: the *Santa Clara Valley Water District*, the *Sonoma county Water Agency*, the *Alameda County Flood Control and Water Conservation District*, and the *Marin County Department of Public Works*. These positions benefit both the San Francisco Water Board and the permitted community by providing cost efficient regulatory assistance and reducing the likelihood of permitting delays.

Restoration Authority: ABAG is responsible for appointing the seven member Governing Board of the Restoration Authority. In addition, ABAG provides voluntary staff support to the Authority in the form of SFEP's director and staff, ABAG's Executive Director, Legal Counsel, Finance Director and Board Clerk. The staff of the SF Bay Area Conservancy Program has also served the Authority on a voluntary basis. With the passage of Measure AA, SFEP will work with other ABAG staff and the Conservancy to administer a twenty-year \$500 million grant program to fund shoreline restoration, flood protection, and public access projects.

Governance Structure:

CCMP: Each National Estuary Program is required to produce a *Comprehensive Conservation and Management Plan* (CCMP) that guides the work of the Program in its region. SFEP is currently in the process of updating the San Francisco Bay-Delta CCMP which represents the unifying collaborative vision for the future of the Estuary. Over the past 2.5 year revision process, approximately 70 different partner entities participated.

Implementation Committee: SFEP is guided by an Implementation Committee, comprised of various stakeholders including local, state and federal agencies, and representatives from public and user interest groups. The Partnership's 30-member Implementation Committee meets quarterly and provides the overall policy direction.

Executive Council: SFEP is also guided by a five member Executive Council. The Executive Council meets when necessary to provide guidance and approve major revisions of the CCMP. It consists of the following: the *Regional Administrator, USEPA Region 9*; the *Regional Director, US Fish and Wildlife Service Pacific Southwest Office*; the *Secretary of California EPA*; the *Secretary of California Resources Agency*; and *Executive Director of the Association of Bay Area Governments*.

Projects:

SFEP currently manages approximately \$100 million for more than 75 projects around the Estuary funded by grants and contracts. SFEP manages projects in all nine counties of the Bay Area as well as in the Delta region, collaborating with local, state and federal governments, special districts, non-profits and private entities.

Planning and Research Department

Providing tools and resources to enhance local/regional planning

Mission: ABAG's Planning and Research Department includes land-use and demographics research as well as the San Francisco Bay and Water Trails and the Resilience Program.

Planning and Research Staff provide the following:

- Serve as a resource for policy makers and local planning staff on land-use policies and programs that enhance regional as well as local well-being;
- Conduct a wide range of land use planning and research activities that support development and implementation of Plan Bay Area in conjunction with the MTC, local jurisdictions and stakeholders;
- Address housing affordability, production and preservation by initiating and supporting efforts to develop new funding sources for, and to remove obstacles to, local infill and address displacement;
- Conduct regional housing need allocation (RHNA) process with towns, cities and counties;
- Provide planning assistance, research, and institutional coordination for implementation of PDAs, PCAs, and preservation of industrial areas, critical to economic vitality;
- Continue to support local and regional economic development through a variety of activities.

Resilience

- Develop a regional land use pattern which reduces the risk of natural hazards;
- Provide a platform for local governments to jointly plan, share best practices and propose solutions to regional resilience challenges;
- Provide tools for local governments to develop and implement resilience solutions;
- Improve the resilience of the region's building stock by promoting stronger codes and upgrading existing buildings;
- Disseminate scientific information in an understandable and usable way that facilitates good policy and planning decisions.

Bay Trail

- Work to complete a 500-mile continuous hiking and bicycling trail for recreation and active transportation around the shoreline of San Francisco Bay linking nine counties and 47 cities;
- Over 340 miles complete. Project has significant support from elected officials, local jurisdictions, numerous agencies, organizations and the public;
- Bay Trail non-profit board of directors provides ongoing project direction.

Water Trail

- Coordinate completion of a growing network of launching and landing sites in all nine counties around San Francisco Bay for small non-motorized boats;
- Partnership project with Coastal Conservancy, BCDC and Division of Boating and Waterways.

Governance Structure

The Regional Planning Committee (RPC), one of ABAG's long-standing committees, includes representation from local governments as well as public agencies and non-profit organizations with missions related to affordable housing, education, environmental sustainability, building industry, economic development, and social justice. The RPC hears issues of regional concern, and makes policy recommendations to the ABAG Executive Board. The RPC provides a unique forum for regional policy discussion that is important to ABAG's role coordinating planning initiatives in the Bay Area.

The Bay Trail Project is a non-profit organization that plans, promotes and advocates for implementation of the Bay Trail network. The independent Board of Directors is made up of representatives of local, state and federal agencies, special districts, trail advocates and members of the public

Budget and Number of Staff: 21.5 FTE

FY 2016-2017 Budget: \$38,286,048

Jurisdictions Served: Bay Area cities, towns and counties

Partners program works with

BACEI, California Department of Finance, Housing and Community Development, FEMA, Rockefeller Foundation, USGS, MTC, Coastal Conservancy, BCDC, California State Parks, Division of Waterways

Grants/Recipients/Projects

Resilience

- \$1.3 million grant funding from FEMA through October 2017
- \$300,000 grant funding from Rockefeller Foundation through October 2016
- \$68,271 grant funding from United States Geological Survey through December 2016

Bay/Water Trail

- Staff costs and project operations funded through MTC bridge toll funds. Funding approved annually; \$715,380 secured through June 2016
- MTC bridge toll funds provided for project operations since 1989
- Grant program pass-through funds from state park bonds via Coastal Conservancy. Over \$18 million awarded since 1999 for planning, design, engineering and construction
- \$1.75 million Coastal Conservancy block grant provides staff support and grant program pass through funds through March 2017.